RESEARCH ARTICLE

Journal of the London Mathematical Society

The Q-shaped derived category of a ring

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Funding information

Danish National Research Foundation, Grant/Award Number: DNRF156; Independent Research Fund Denmark, Grant/Award Number: 1026-00050B; Aarhus University Research Foundation, Grant/Award Number: AUFF-F-2020-7-16

Abstract

For any ring A and a small, pre-additive, Hom-finite, and locally bounded category Q that has a Serre functor and satisfies the (strong) retraction property, we show that the category of additive functors $Q \rightarrow {}_{A}$ Mod has a projective and an injective model structure. These model structures have the same trivial objects and weak equivalences, which in most cases can be naturally characterized in terms of certain (co)homology functors introduced in this paper. The associated homotopy category, which is triangulated, is called the Q-shaped derived category of A. The usual derived category of A is one example; more general examples arise by taking Q to be the mesh category of a suitably nice stable translation quiver. This paper builds upon, and generalizes, works of Enochs, Estrada, and García-Rozas (Math. Nachr. 281 (2008), no. 4, 525-540) and Dell'Ambrogio, Stevenson, and Šťovíček (Math. Z. 287 (2017), no. 3-4, 1109-1155).

MSC 2020 16E35, 18G80, 18N40, 18E35 (primary)

1 | INTRODUCTION

Let Q and \mathcal{M} be categories, where Q is small. It is well known that in some cases — for example, if Q is a direct, an inverse, or a Reedy category — a model structure on \mathcal{M} , in the sense of Quillen [35], induces a model structure on the category Fun (Q, \mathcal{M}) of functors from Q to \mathcal{M} . The category $\mathcal{M} = {}_A$ Mod of left modules over a ring A does not, in general, have any non-trivial model structures (unless A is special, for example, Gorenstein). Nevertheless, we show in this paper that if Q = Q is a suitably nice pre-additive category, then the category ${}_{O.A}$ Mod of additive functors

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 $Q \rightarrow {}_A$ Mod does always have two interesting model structures, the so-called *projective* and *injective* model structures. These model structures have the same weak equivalences and hence the same homotopy category,

$$\mathcal{D}_{Q}(A) := \operatorname{Ho}_{Q,A}\operatorname{Mod} = \{ \operatorname{weak equivalences} \}^{-1}(Q,A}\operatorname{Mod}),$$

which we call *Q*-shaped derived category of *A*. This terminology is inspired by the situation where *Q* is the mesh category of the repetitive quiver of $\vec{A}_2 = \cdot \rightarrow \cdot$. Indeed, in this case, $_{Q,A}$ Mod is equivalent to the category Ch *A* of chain complexes of left *A*-modules and the *Q*-shaped derived category is the ordinary derived category of *A* (see Example 8.13). However, the theory developed in this paper applies to many other types of categories as well; for example, let *Q* be the path category of the quiver

$$\underbrace{a_1}_1 \xrightarrow{a_2}_2 \underbrace{a_2^*}_2 \underbrace{a_2^*}_3$$

modulo the (mesh) relations $a_1^*a_1 = 0$, $a_1a_1^* + a_2^*a_2 = 0$, and $a_2a_2^* = 0$. Also in this case, there is a *Q*-shaped derived category of any ring *A* (and Propositions 7.27 and 8.18 yield explicit descriptions of the weak equivalences). More examples can be found in Section 8.

The precise statements about the model structures we construct on $_{Q,A}$ Mod are contained in the next result, which is a special case of Theorem 6.1 and Proposition 6.3 with $\Bbbk = \mathbb{Z}$.

Theorem A. Let *Q* be a small pre-additive category which is Hom-finite, locally bounded, has a Serre functor and has the Retraction Property (Setup 2.5). For any ring *A*, there is a class \mathscr{E} of exact objects in _{0.A}Mod (Definition 4.1) and two model structures as follows.

- The projective model structure on $_{Q,A}$ Mod, where $^{\perp}\mathscr{E}$ is the class of cofibrant objects, \mathscr{E} is the class of trivial objects, and every object is fibrant.
- The injective model structure on _{Q,A}Mod, where *E*[⊥] is the class of fibrant objects, *E* is the class of trivial objects, and every object is cofibrant.

These two model categories have the same weak equivalences.

To prove Theorem A, we apply Hovey's theory [29] for abelian model categories, which in this case boils down to demonstrating that we have complete hereditary cotorsion pairs $(^{\perp}\mathscr{E}, \mathscr{E})$ and $(\mathscr{E}, \mathscr{E}^{\perp})$ in $_{Q,A}$ Mod such that $^{\perp}\mathscr{E} \cap \mathscr{E} = _{Q,A}$ Prj and $\mathscr{E} \cap \mathscr{E}^{\perp} = _{Q,A}$ Inj; here $_{Q,A}$ Prj and $_{Q,A}$ Inj denote the classes of projective and injective objects in $_{Q,A}$ Mod. These arguments take up Sections 4 and 5 and Appendix A. In fact, for the cotorsion pair $(\mathscr{E}, \mathscr{E}^{\perp})$, we show in Theorem 5.9 an even stronger result:

Theorem B. Let Q be as in Theorem A. The cotorsion pair $(\mathscr{E}, \mathscr{E}^{\perp})$ in $_{Q,A}$ Mod is perfect meaning that every object in $_{Q,A}$ Mod has an \mathscr{E} -cover and an \mathscr{E}^{\perp} -envelope.

Let us again consider the special case where Q is the mesh category of the repetitive quiver of \mathbb{A}_2 and $_{Q,A}$ Mod is the category of chain complexes of left A-modules. In this case, completeness of the cotorsion pairs ($^{\perp}\mathcal{E}, \mathcal{E}$) and ($\mathcal{E}, \mathcal{E}^{\perp}$) means that every chain complex has an epic DG-projective and a monic DG-injective resolution. This is of course well known and goes back to Spaltenstein

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[39]. Theorem B asserts in this case that every chain complex has an exact cover and a DG-injective envelope; this is also well known and can be found in [15] by Enochs, Jenda, and Xu.

Once the complete hereditary cotorsion pairs $(^{\perp}\mathcal{E}, \mathcal{E})$ and $(\mathcal{E}, \mathcal{E}^{\perp})$ in $_{0,A}$ Mod have been established, the general theory of abelian model categories provides us with rich information about the homotopy category of $_{OA}$ Mod. For example, an application of main results from [21] by Gillespie yields the following, which is contained in Theorem 6.5.

Theorem C. Let O be as in Theorem A. There are equivalences of categories.

$$\frac{\bot_{\mathscr{C}}}{Q,A}\operatorname{Prj} \simeq \mathcal{D}_Q(A) \simeq \frac{\mathscr{E}^{\bot}}{Q,A}\operatorname{Inj}$$

Here the leftmost, respectively, rightmost, category is the stable category of the Frobenius category $^{\perp}\mathscr{E}$ *,* respectively, \mathscr{E}^{\perp} . In particular, $\mathcal{D}_{O}(A)$ is triangulated.

The goal of Section 7 is to obtain (co)homological characterizations of the trivial objects and the weak equivalences in the projective/injective model structure on $_{OA}$ Mod. To that end, we consider a category Q as in Theorem A, only the Retraction Property (condition (4) in Setup 2.5) must now be replaced with the Strong Retraction Property (condition (4^*) in Definition 7.3). This slightly stronger assumption on Q does not exclude any examples of interest to us. The power of the Strong Retraction Property is that it allows one to define the *pseudo-radical* ideal r of Q and certain (co)homology functors,

$$\mathbb{H}^{i}_{[q]}, \mathbb{H}^{[q]}_{i} \colon {}_{Q,A}\mathrm{Mod} \longrightarrow {}_{A}\mathrm{Mod} ,$$

for every object $q \in Q$ and $i \ge 0$. The next result is a special case of Theorems 7.1 and 7.2 (it also explains the terminology 'exact' for the objects in \mathcal{E} , introduced in Theorem A).

Theorem D. Let Q be a small pre-additive category which is Hom-finite, locally bounded, has a Serre functor and has the Strong Retraction Property. Assume that the pseudo-radical \mathbf{r} is nilpotent, that is, $\mathfrak{r}^N = 0$ for some $N \in \mathbb{N}$. Finally, let A be any ring.

For every object X in $_{O,A}$ Mod, the following conditions are equivalent.

- (i) $X \in \mathscr{E}$.
- (ii) $\mathbb{H}^{i}_{[q]}(X) = 0$ for every $q \in Q$ and i > 0.
- (iii) $\mathbb{H}_{i}^{\left[\hat{q}\right]}(X) = 0$ for every $q \in Q$ and i > 0.

For every morphism φ in $_{O,A}$ Mod, the following conditions are equivalent.

- (i) φ is a weak equivalence.
- (ii) $\mathbb{H}_{[q]}^{i}(\varphi)$ is an isomorphism for every $q \in Q$ and i > 0. (iii) $\mathbb{H}_{i}^{[q]}(\varphi)$ is an isomorphism for every $q \in Q$ and i > 0.

By definition, $\mathbb{H}^{i}_{[q]}$, respectively, $\mathbb{H}^{[q]}_{i}$, is the *i*th right, respectively, left, derived of a certain functor K_q , respectively, C_q , which is treated in Propositions 7.15 and 7.18. Together with the class \mathcal{E} , the functors C_q and K_q provide useful characterizations of the projective and injective objects in $_{O,A}$ Mod. The following is a special case of Theorem 7.29.

Theorem E. Let Q be as in Theorem D and A be any ring. For every $X \in {}_{O,A}$ Mod, one has:

(a) X ∈ _{Q,A} Prj if and only if X ∈ & and the A-module C_q(X) is projective for all q ∈ Q.
(b) X ∈ _{Q,A} Inj if and only if X ∈ & and the A-module K_q(X) is injective for all q ∈ Q.

In the special case where Q is the mesh category of the repetitive quiver of \vec{A}_2 , and hence $_{Q,A}$ Mod \simeq Ch A, Theorem E asserts that a chain complex (X, ∂) is projective, respectively, injective, if and only if X is exact and each cokernel Cok ∂_q , respectively, kernel Ker ∂_q , is a projective, respectively, an injective, A-module. These characterizations of the projective and injective objects in the category Ch A are of course well known.

In Section 8, we study some concrete examples of pre-additive categories Q that satisfy the assumptions in Theorem D (and thus all the theorems in this Introduction apply to Q). More precisely, we consider the case where Q is the (integral) mesh category $Q_{\text{mesh}}(\Gamma)$ of a stable translation quiver Γ . We prove in Theorems 8.8 and 8.11 that if Γ is the *double quiver* or the *repetitive quiver* of $\vec{\mathbb{A}}_n$, then its mesh category does, in fact, fulfill the requirements in Theorem D. We expect the same to be true if $\vec{\mathbb{A}}_n$ is replaced by, for example, $\vec{\mathbb{D}}_n$.

For the mesh category $Q = Q_{\text{mesh}}(\Gamma)$ of a *normal* stable translation quiver Γ , we show in Proposition 8.18 that the homology functor $\mathbb{H}_1^{[*]}$ from Section 7 agrees with the *mesh homology* \mathcal{H}_* . Combined with Theorem 7.1, this gives a hands-on description of the trivial (= exact) objects in $_{Q,A}$ Mod. As shown in Theorems 8.16 and 8.17, the double quiver and the repetitive quiver of $\vec{\mathbb{A}}_n$ are, in fact, normal, and we expect the same to be true if $\vec{\mathbb{A}}_n$ is replaced by, for example, $\vec{\mathbb{D}}_n$.

We end this Introduction by explaining how our work is related to the existing literature. First of all, the entire theory developed in this paper is relative to a commutative base ring k. This means that *Q* is actually a k-preadditive category, *A* is a k-algebra, and $_{Q,A}$ Mod is the category of k-linear functors $Q \rightarrow _A$ Mod, but in this Introduction we have focused on the special case $k = \mathbb{Z}$. In this generality, the assumptions needed on the k-algebra *A* in Theorems A–C are that k is Gorenstein and *A* has finite projective/injective dimension over k; in Theorems D and E, the ring k must be Noetherian and hereditary but *A* can be any k-algebra. All these assumptions are satisfied if we take k to be \mathbb{Z} .

We emphasize that the conditions in Setup 2.5 (which are the assumptions on Q in Theorems A–C) come from the paper [8] by Dell'Ambrogio, Stevenson, and Šťovíček. If k is arbitrary and A is **Gorenstein**, then [8, Theorem 4.6] shows[†] that for a k-preadditive category Q that satisfies Setup 2.5, the abelian category $_{Q,A}$ Mod is *locally Gorenstein* in the sense of Enochs, Estrada, and García-Rozas [10, Definition 2.18] (see also Definition 2.1). Being a locally Gorenstein category with enough projectives, $_{Q,A}$ Mod has by [10, Theorem 2.32] both a projective and an injective model structure; thus Theorem A is known in this case.

In the case where k is a **field** and *A* is any k-algebra, some results in this paper follow from our previous work [27]. Indeed, if k is a field one can apply [27, Theorem 3.2], combined with Theorem E, to obtain the previously mentioned hereditary cotorsion pairs $(^{\perp}\mathscr{E}, \mathscr{E})$ and $(\mathscr{E}, \mathscr{E}^{\perp})$ in $_{Q,A}$ Mod such that $^{\perp}\mathscr{E} \cap \mathscr{E} = _{Q,A}$ Prj and $\mathscr{E} \cap \mathscr{E}^{\perp} = _{Q,A}$ Inj. It follows from [27, Theorem 3.3(i)] that the cotorsion pair $(^{\perp}\mathscr{E}, \mathscr{E})$ is complete, and hence one gets the projective model structure on $_{Q,A}$ Mod (cf. the proof of Theorem 6.1). However, completeness of the other cotorsion pair $(\mathscr{E}, \mathscr{E}^{\perp})$,

[†] More precisely, the assertion in [8, Theorem 4.6] is that the k-preadditive category $A \otimes Q$, that is, the *extension* of Q by A, is *Gorenstein*, which by [8, Definition 2.1] means that the category $_{A \otimes Q}$ Mod of k-linear functors $A \otimes Q \rightarrow _{k}$ Mod is *locally Gorenstein*. However, it is easy to see that $_{A \otimes Q}$ Mod is equivalent to the category $_{Q,A}$ Mod of k-linear functors $Q \rightarrow _{A}$ Mod.

and hence the existence of the injective model structure on $_{Q,A}$ Mod, was only proved under special circumstances in [27, Theorem 3.3(ii)].

The reader should notice that condition (3) in Setup 2.5, that is, existence of a Serre functor, and Definition 8.15, that is, normality of a stable translation quiver, depend on the base ring k. If k is a **field** and Γ is the Auslander–Reiten quiver of a suitable k-linear category *C*, then the Auslander–Reiten theory of *C* can be used easily to prove that Γ is normal and that the mesh category $Q = Q_{\text{mesh}}(\Gamma)$ has a Serre functor. However, this approach is not available if k is a general commutative ring (and we are mainly interested in the case $k = \mathbb{Z}$). This is why we have provided proofs of Theorems 8.8 and 8.16, which yield normality of the double quiver of \vec{A}_n and the existence of a Serre functor on its mesh category relative to any commutative base ring k. The proofs are hands-on, but technical, so in order not to interrupt the flow of the paper, they have been relegated to Appendix B. Theorems 8.11 and 8.17 — which yield normality of the *repetitive* quiver of \vec{A}_n and the existence of a Serre functor on its mesh category relative to any commutative base ring k — have similar (but easier) proofs, which are left to the reader.

As already pointed out, Theorems A–E in this Introduction hold for any ring *A* (corresponding to the case $\Bbbk = \mathbb{Z}$). On the one hand, Theorem A generalizes the results from [8] and [10] mentioned above; on the other hand, these results play an important role in our arguments and even in the very definition of the class \mathscr{E} of *exact* objects (see Definition 4.1). Nevertheless, existence and completeness of the cotorsion pairs (${}^{\perp}\mathscr{E}, \mathscr{E}$) and ($\mathscr{E}, \mathscr{E}^{\perp}$) in $_{Q,A}$ Mod are far from automatic when *A* is a general ring, and the proofs require a mix of known and new techniques. The (co)homological theory developed in Section 7 and the systematic treatment of examples found in Section 8 are new; however, the ideas go back to [27] (which only treats the easier special case where *A* is an algebra over a field).

Finally, we mention a related paper [22] by Gillespie and Hovey which also studies generalizations of the derived category of a ring. In Theorem 4.1 in [22], it is shown that if k is a commutative ring of finite global dimension, Λ is a \mathbb{Z} -graded Gorenstein k-algebra that is flat over k, and A is any k-algebra, then the category $_{\Lambda \otimes_k A}$ GrMod of graded left modules over $\Lambda \otimes_k A$ has a natural projective model structure. Gillespie and Hovey write $\mathcal{D}_{\Lambda}(A)$ for the associated homotopy category, Ho($_{\Lambda \otimes_k A}$ GrMod), which could be called the *derived category of* A with respect to Λ . For $\mathbb{k} = \mathbb{Z}$ and $\Lambda = \mathbb{Z}[x]/(x^2)$, one has $\Lambda \otimes_k A = A[x]/(x^2)$, and in this case $\mathcal{D}_{\Lambda}(A)$ is just the ordinary derived category of A. We point out that the present paper has some overlap with [22]; for example, the theories developed in this work and in [22] both apply to construct the derived category of N-complexes, which has also been studied by Iyama, Kato, and Miyachi [30].

2 | PRELIMINARIES AND NOTATION

Let \mathcal{A} be an abelian category and \mathcal{C} be a class of objects in \mathcal{A} . If \mathcal{A} has enough projectives (respectively, enough injectives), then \mathcal{C} is called *resolving* (respectively, *coresolving*) if it contains all projective (respectively, all injective) objects in \mathcal{A} and is closed under extensions and kernels of epimorphisms (respectively, extensions and cokernels of monomorphisms). We set

$${}^{\perp}C = \{X \in \mathcal{A} \mid \operatorname{Ext}^{1}_{\mathcal{A}}(X, C) = 0 \text{ for all } C \in C\} \text{ and}$$
$$C^{\perp} = \{X \in \mathcal{A} \mid \operatorname{Ext}^{1}_{\mathcal{A}}(C, X) = 0 \text{ for all } C \in C\}.$$

A cotorsion pair in \mathcal{A} consists of a pair $(\mathcal{C}, \mathcal{D})$ of classes of objects in \mathcal{A} such that $\mathcal{C}^{\perp} = \mathcal{D}$ and $\mathcal{C} = {}^{\perp}\mathcal{D}$. A cotorsion pair $(\mathcal{C}, \mathcal{D})$ is hereditary if $\operatorname{Ext}_{\mathcal{A}}^{i}(\mathcal{C}, \mathcal{D}) = 0$ for all $\mathcal{C} \in \mathcal{C}, \mathcal{D} \in \mathcal{D}$, and i > 0.

If \mathcal{A} has enough projectives (respectively, enough injectives), then a cotorsion pair $(\mathcal{C}, \mathcal{D})$ is hereditary if and only if \mathcal{C} is resolving (respectively, \mathcal{D} is coresolving); see (the proof of) [18, Theorem 1.2.10] or [23, Lemma 2.2.10]. A cotorsion pair $(\mathcal{C}, \mathcal{D})$ is *complete* if it satisfies the following two conditions.

- (i) For every $X \in A$, there is an exact sequence $0 \to D \to C \to X \to 0$ with $C \in C, D \in D$.
- (ii) For every $X \in A$, there is an exact sequence $0 \to X \to D \to C \to 0$ with $C \in C, D \in D$.

By Salce's lemma, one has (i) \Rightarrow (ii) if the category A has enough injectives, and similarly (ii) \Rightarrow (i) holds if A has enough projectives; see [23, (proof of) Lemma 2.2.6].

We write $pd_A X$ and $id_A X$ for the projective and injective dimensions of an object X in A. The finitistic projective and finitistic injective dimensions of A are defined as usual:

$$\begin{split} & \operatorname{FPD}(\mathcal{A}) \,=\, \sup\{\operatorname{pd}_{\mathcal{A}} X \,|\, X \in \mathcal{A} \text{ with } \operatorname{pd}_{\mathcal{A}} X < \infty\} \quad \text{and} \\ & \operatorname{FID}(\mathcal{A}) \,=\, \sup\{\operatorname{id}_{\mathcal{A}} X \,|\, X \in \mathcal{A} \text{ with } \operatorname{id}_{\mathcal{A}} X < \infty\} \,. \end{split}$$

The next definition is due to Enochs, Estrada, and García-Rozas [10].

Definition 2.1 [10, Definition 2.18]. A Grothendieck category A is said to be *locally Gorenstein*[†] if it satisfies the following conditions.

- (1) For every object X in A, one has $pd_A X < \infty$ if and only if $id_A X < \infty$.
- (2) FPD(A) and FID(A) are both finite.
- (3) A has a generator of finite projective dimension.

In this situation, one sets $\mathcal{L}(\mathcal{A}) = \{X \in \mathcal{A} \mid \mathrm{pd}_{\mathcal{A}} X < \infty\} = \{X \in \mathcal{A} \mid \mathrm{id}_{\mathcal{A}} X < \infty\}.$

Note that in the definition above, the category A is not assumed to have enough projectives. Thus, the projective dimension of an object X in A is defined in terms of vanishing of $\operatorname{Ext}_{A}^{*}(X, -)$ and not in terms of a projective resolution of X. However, the Grothendieck categories of interest in this paper do have enough projectives.

The following is a recap of some main results by Enochs, Estrada, and García-Rozas [10]. The definition of Gorenstein projective and Gorenstein injective objects in abelian categories can be found in García Rozas [18, Definition 1.2.8]. Gorenstein projective and Gorenstein injective modules over a ring were introduced and studied by Enochs and Jenda [13].

Theorem 2.2 [10, Theorems 2.25, 2.26, and 2.28]. Let A be a locally Gorenstein category with enough projectives. There exist two complete and hereditary cotorsions pairs,

 $(\operatorname{GPrj}(\mathcal{A}), \mathcal{L}(\mathcal{A}))$ and $(\mathcal{L}(\mathcal{A}), \operatorname{GInj}(\mathcal{A}))$,

where $\operatorname{GPrj}(\mathcal{A})$ and $\operatorname{GInj}(\mathcal{A})$ are the classes of Gorenstein projective and Gorenstein injective objects in \mathcal{A} . Moreover, there is an equality $\operatorname{FPD}(\mathcal{A}) = \operatorname{FID}(\mathcal{A})$.[†]

[†] The authors of [10] simply use the term *Gorenstein* for such a category, but we have adopted the term *locally Gorenstein* from Dell'Ambrogio, Stevenson, and Šťovíček [8, Definition 2.1]. See 2.3 for further details.

[†] The number $FPD(\mathcal{A}) = FID(\mathcal{A})$ also coincides with the global Gorenstein projective dimension $glGpd(\mathcal{A})$ and the global Gorenstein injective dimension $glGid(\mathcal{A})$; however, this is not important to us.

2.3

In the situation of Theorem 2.2, Dell'Ambrogio, Stevenson, and Šťovíček [8, Definition 2.5] refer to \mathcal{A} as a *locally n-Gorenstein* category, where $n = \text{FPD}(\mathcal{A}) = \text{FID}(\mathcal{A})$. They reserve the term *n*-*Gorenstein* for the following situation.

Let k be a non-trivial commutative ring and Q be a small k-pre-additive category (also just called a k-category), that is, Q is a category enriched over the symmetric monoidal category $_{\Bbbk}$ Mod of kmodules. Consider the Grothendieck category $\mathcal{A} = \text{Mod}_Q$ of right Q-modules, that is, the category of k-linear functors $Q^{\text{op}} \rightarrow_{\Bbbk}$ Mod. In the terminology of [8, Definition 2.1], the k-pre-additive Q is called (*n*-)Gorenstein if the associated Grothendieck category Mod_Q is locally (*n*-)Gorenstein in the sense of Definition 2.1.

Recall that a commutative ring k is said to be *n*-Gorenstein if it is Noetherian with self-injective dimension *n*. One says that k is Gorenstein if it *n*-Gorenstein for some $n \in \mathbb{N}_0$.

A main result in [8] by Dell'Ambrogio, Stevenson, and Šťovíček is the following.

Theorem 2.4 [8, Theorem 1.6 / 4.6]. Assume that a small &-pre-additive category Q satisfies the conditions in Setup 2.5 and that & is n-Gorenstein. In this case, Q is n-Gorenstein, that is, the Grothendieck category Mod_Q is locally n-Gorenstein (as in Definition 2.1).

Actually the result mentioned above is the special case of [8, Theorem 4.6] where $R = \Bbbk$. See Section 1 for further details.

Setup 2.5. Throughout this paper, \Bbbk denotes a non-trivial commutative ring and *Q* a small \Bbbk -pre-additive category which may or may not satisfy the following conditions coming from [8, Definitions 4.1 and 4.5 and Remark 4.7].

- (1) *Hom-finiteness*: Each hom \Bbbk -module Q(p, q) is finitely generated and projective.
- (2) Local Boundedness: For each $q \in Q$, there are only finitely many objects in Q mapping non-trivially into or out of q, that is, the following sets are finite:

 $N_{-}(q) = \{p \in Q \mid Q(p,q) \neq 0\}$ and $N_{+}(q) = \{r \in Q \mid Q(q,r) \neq 0\}$.

- (3) *Existence of a Serre Functor* (*relative to* k): There exists a k-linear auto-equivalence \mathbb{S} : $Q \to Q$ and a natural isomorphism $Q(p,q) \cong \operatorname{Hom}_{\Bbbk}(Q(q,\mathbb{S}(p)),\mathbb{K})$.
- (4) *Retraction Property*: For each $q \in Q$, the unit map $\Bbbk \to Q(q, q)$ given by $x \mapsto x \cdot id_q$ has a \Bbbk -module retraction; whence there is a \Bbbk -module decomposition:

$$Q(q,q) = (\Bbbk \cdot \mathrm{id}_q) \oplus \mathfrak{r}_q$$

Note that the defining properties of a Serre functor depend on the base ring k. Also note that in part (4) of Setup 2.5, the complement, \mathbf{r}_q , of $\mathbf{k} \cdot \mathbf{id}_q$ in Q(q, q) is not unique; see Remark 7.4 and Example 7.5 for further details.

Remark 2.6. The conditions in Setup 2.5 are self-dual, that is, if *Q* satisfies these conditions, then so does its opposite category Q^{op} (for example, if S is a Serre functor for *Q*, then S^{-1} is a Serre functor for Q^{op}). Thus, if *Q* satisfies the conditions in Setup 2.5 and k is a Gorenstein ring, then Theorem 2.4 yields that both $_O$ Mod and Mod $_O$ are locally Gorenstein categories. Here $_O$ Mod = Mod $_{O^{\text{op}}}$ is the

category of left *Q*-modules, that is, the category of k-linear functors $Q \rightarrow {}_{k}$ Mod. In this paper, we shall favour the category ${}_{O}$ Mod over Mod ${}_{O}$.

The final result we will need from [8] is the following.

Theorem 2.7 [8, Corollary 4.8]. Assume that Q satisfies the conditions in Setup 2.5 and that \Bbbk is Gorenstein. An object $X \in Q$ Mod is Gorenstein projective (respectively, Gorenstein injective) if and only if the \Bbbk -module X(q) is Gorenstein projective (respectively, Gorenstein injective) for every $q \in Q$.

In the special case where Q is the mesh category of the repetitive quiver of \vec{A}_2 , and hence Q Mod is the category Ch k of chain complexes of k-modules (see Example 8.13), the result above is due to Enochs and García-Rozas [11, Theorems 2.7 and 4.5].

3 | THE CATEGORY _{0,A}Mod

In the rest of this paper, A is any k-algebra and A Mod is the category of left A-modules.

Definition 3.1. We introduce the following k-pre-additive categories.[†]

 $_{Q,A}$ Mod = the category of k-linear functors $Q \rightarrow _A$ Mod.

 $_{Q,A}$ (G)Prj = the category of (Gorenstein) projective objects in $_{Q,A}$ Mod.

 $_{O,A}$ (G)Inj = the category of (Gorenstein) injective objects in $_{O,A}$ Mod .

The hom set (k-module) in the category $_{Q,A}$ Mod is written $\text{Hom}_{Q,A}$ and its right derived functors are denoted by $\text{Ext}_{Q,A}^i$. For the projective and injective dimensions of $X \in _{Q,A}$ Mod, we write $\text{pd}_{Q,A} X$ and $\text{id}_{Q,A} X$.

In the case where $A = \Bbbk$, we drop the subscript A in the definitions above, that is, we write, for example, $_Q \operatorname{Mod}$, $_Q \operatorname{GPrj}$, Ext^i_Q , and $\operatorname{pd}_Q X$ instead of $_{Q,\Bbbk} \operatorname{Mod}$, $_{Q,\Bbbk} \operatorname{GPrj}$, $\operatorname{Ext}^i_{Q,\Bbbk}$, and $\operatorname{pd}_{Q,\Bbbk} X$.

Beware that $\text{Ext}_Q^0 = \text{Hom}_Q$ is the hom set in the category $_Q$ Mod and *not* the hom set in Q; the latter is written Q(-, -) as indicated in Setup 2.5.

Definition 3.2. We use the (same) symbol $(-)^{\natural}$ for the forgetful functors,

 $(-)^{\natural}: {}_{A}\operatorname{Mod} \longrightarrow {}_{\Bbbk}\operatorname{Mod}$ and $(-)^{\natural}: {}_{O,A}\operatorname{Mod} \longrightarrow {}_{O}\operatorname{Mod}.$

Remark 3.3. Let $\mathcal{V} = \mathbb{I}_{\mathbb{K}}$ Mod be the bicomplete closed symmetric monoidal category of \mathbb{K} -modules. In the language of enriched category theory, Q and $_A$ Mod are both \mathcal{V} -categories and $_{Q,A}$ Mod is the \mathcal{V} -category of \mathcal{V} -functors $Q \to _A$ Mod. Thus, by Kelly [32, equation (2.10)], the hom set (\mathbb{K} -module)

[†] The notation introduced here is in slight conflict with some of the notation introduced in Section 2. For example, according to Theorem 2.2, we could use the notation $\text{GPrj}_{(Q,A}\text{Mod})$ for the category of Gorenstein projective objects in $_{Q,A}\text{Mod}$, however, the shorthand notation $_{O,A}$ GPrj is much more convenient.

in $_{O,A}$ Mod can be expressed by the following end in $_{\Bbbk}$ Mod:

$$\operatorname{Hom}_{Q,A}(X,Y) = \int_{q \in Q} \operatorname{Hom}_{A}(X(q),Y(q)) . \tag{\sharp1}$$

Proposition 3.4. For every $M \in {}_A \operatorname{Mod} and N \in \operatorname{Mod}_A$, there are the following adjunctions, where the left adjoints are displayed in the top of the diagrams:

$$_{Q}\operatorname{Mod} \xrightarrow{-\otimes_{\Bbbk} M} _{Q,A}\operatorname{Mod}$$
 and $_{Q,A}\operatorname{Mod} \xrightarrow{N\otimes_{A}-} _{Q,M}\operatorname{Mod}$.

(These functors are defined objectwise, for example, for $U \in {}_Q$ Mod the functor $U \otimes_{\Bbbk} M$ is given by $(U \otimes_{\Bbbk} M)(q) = U(q) \otimes_{\Bbbk} M$ for $q \in Q$.)

Proof. For $U \in {}_{O}$ Mod and $X \in {}_{O,A}$ Mod, there are by (\ddagger 1) isomorphisms:

$$\operatorname{Hom}_{Q,A}(U \otimes_{\Bbbk} M, X) \cong \int_{q \in Q} \operatorname{Hom}_{A}(U(q) \otimes_{\Bbbk} M, X(q))$$
$$\cong \int_{q \in Q} \operatorname{Hom}_{\Bbbk}(U(q), \operatorname{Hom}_{A}(M, X(q)))$$
$$\cong \operatorname{Hom}_{Q}(U, \operatorname{Hom}_{A}(M, X)).$$

This establishes the first adjunction; the other adjuntion is proved similarly.

Corollary 3.5. There is an adjoint triple $(-\bigotimes_{\Bbbk} A, (-)^{\natural}, \operatorname{Hom}_{\Bbbk}(A, -))$ as follows:



Proof. Apply Proposition 3.4 with $M = {}_A A$ and $N = A_A$ and note that $\text{Hom}_A(M, -)$ and $N \otimes_A -$ are both naturally isomorphic to the forgetful functor $(-)^{\natural}$: ${}_{O,A}\text{Mod} \rightarrow {}_O \text{Mod}$.

Remark 3.6. As in Remark 3.3, set $\mathcal{V} = {}_{\mathbb{k}}$ Mod. The \mathcal{V} -category ${}_{A}$ Mod is both *cotensored* and *tensored*, and the cotensor and tensor products are given by

 $V \pitchfork M = \operatorname{Hom}_{\Bbbk}(V, M) \in {}_{A}\operatorname{Mod}$ and $V \odot M = V \otimes_{\Bbbk} M \in {}_{A}\operatorname{Mod}$

for $V \in {}_{\Bbbk}$ Mod and $M \in {}_{A}$ Mod. Indeed, the required/defining isomorphisms, see Kelly [32, equation (3.42) and (3.44)], in this case take the form

$$\operatorname{Hom}_{A}(N, \operatorname{Hom}_{\Bbbk}(V, M)) \cong \operatorname{Hom}_{\Bbbk}(V, \operatorname{Hom}_{A}(N, M))$$
 and

$$\operatorname{Hom}_{A}(V \otimes_{\Bbbk} M, N) \cong \operatorname{Hom}_{\Bbbk}(V, \operatorname{Hom}_{A}(M, N)),$$

which are the well-known swap and adjointness isomorphims from [6, (A.2.8) and (A.2.9)].

Now, consider objects $U \in {}_Q \operatorname{Mod}, W \in \operatorname{Mod}_Q$, and $X \in {}_{Q,A}\operatorname{Mod}$, that is, \mathcal{V} -functors $U : Q \to {}_{\mathbb{A}}\operatorname{Mod}, W : Q^{\operatorname{op}} \to {}_{\mathbb{A}}\operatorname{Mod}$, and $X : Q \to {}_A \operatorname{Mod}$. As in [32, §3.1], we write

 $\{U, X\} \in {}_A$ Mod for the *limit* of *X* indexed (or weighted) by *U*, and $W \in X$

 $W \star X \in {}_A$ Mod for the *colimit* of *X* indexed (or weighted) by *W*.

It follows from [32, equation (3.69) and (3.70)] that the indexed (or weighted) limit and colimit can be computed by the following end and coend in $_A$ Mod.

$$\{U, X\} = \int_{q \in Q} \operatorname{Hom}_{\Bbbk}(U(q), X(q)) = \operatorname{Hom}_{Q}(U, X)$$
(\$\\$2)

$$W \star X = \int^{q \in Q} W(q) \otimes_{\Bbbk} X(q) = W \otimes_{Q} X.$$
(#3)

The last equality in (\sharp 2) follows from (\sharp 1). The last equality in (\sharp 3) can be taken as a definition of the symbol ' \otimes_Q ', however, it is precisely the tensor product of k-linear functors studied by Oberst and Röhrl [34, p. 93], where the same symbol, ' \otimes_Q ', is used.

Lemma 3.7. For $W \in Mod_O$, $U \in O Mod$, and $M \in A Mod$, there is an isomorphism,

$$W \otimes_O (U \otimes_{\Bbbk} M) \cong (W \otimes_O U) \otimes_{\Bbbk} M$$

Proof. The asserted isomorphism in $_A$ Mod follows from the formula (\sharp 3) and the fact that the functor $-\bigotimes_{\Bbbk} M$ preserves colimits (in particular, coends).

Proposition 3.8. For every $U \in {}_Q$ Mod and $W \in Mod_Q$, there are the following adjunctions, where the left adjoints are displayed in the top of the diagrams:

$$_{A}\operatorname{Mod} \xrightarrow{U \otimes_{\Bbbk} -}_{Q,A}\operatorname{Mod} \operatorname{and}_{Q,A}\operatorname{Mod} \xrightarrow{W \otimes_{Q} -}_{Hom_{\Bbbk}(W,-)} {}_{A}\operatorname{Mod}$$

Proof. For $M \in A$ Mod and $X \in O_A$ Mod, there are by (#1) and (#2) isomorphisms:

$$\operatorname{Hom}_{Q,A}(U \otimes_{\Bbbk} M, X) \cong \int_{q \in Q} \operatorname{Hom}_{A}(U(q) \otimes_{\Bbbk} M, X(q))$$
$$\cong \int_{q \in Q} \operatorname{Hom}_{A}(M, \operatorname{Hom}_{\Bbbk}(U(q), X(q)))$$
$$\cong \operatorname{Hom}_{A}\left(M, \int_{q \in Q} \operatorname{Hom}_{\Bbbk}(U(q), X(q))\right)$$
$$\cong \operatorname{Hom}_{A}(M, \operatorname{Hom}_{Q}(U, X)),$$

where the third isomorphism holds as the functor $\text{Hom}_A(M, -)$ preserves limits (in particular, ends). This proves the first adjunction, and the other is shown similarly.

Corollary 3.9. For every $q \in Q$, there is an adjoint triple (F_q, E_q, G_q) as follows:



Moreover, the following assertions hold.

- (a) The functor F_q is exact if the \Bbbk -module Q(q, r) is flat for every $r \in Q$.
- (b) The functor G_q is exact if the k-module Q(p,q) is projective for every $p \in Q$.

Proof. Apply Proposition 3.8 with U = Q(q, -) and W = Q(-, q). In this case, both functors $\operatorname{Hom}_Q(U, ?) = \operatorname{Hom}_Q(Q(q, -), ?)$ and $W \otimes_Q ? = Q(-, q) \otimes_Q ?$ are naturally isomorphic to the evaluation functor $E_q(?)$ by the Yoneda isomorphisms [32, equation (3.10)] and ($\sharp 2$), ($\sharp 3$). By the way, the isomorphism $Q(-, q) \otimes_Q ? \cong E_q(?)$ is also established in [34, §1, p. 93]. The assertions in (a) and (b) follow directly from the formulae for F_q and G_q .

Example 3.10. In Section 8, we study the case where $Q = Q_{\text{mesh}}(\Gamma)$ is the mesh category of a stable translation quiver Γ . If $\Gamma = (\vec{\mathbb{A}}_2)^{\text{rep}}$ is the repetitive quiver of $\vec{\mathbb{A}}_2 = \bullet \to \bullet$, then $_{Q,A}$ Mod is equivalent to the category Ch *A* of chain complexes of left *A*-modules (Example 8.13), and the adjoint triple from Corollary 3.9 takes, for $q \in \mathbb{Z}$, the form:



Here $(-)_q$ is the functor that maps a chain complex X to the module X_q in degree q, and D_q is the functor that maps a module M to the (disk) complex $D_q(M) = 0 \rightarrow M \xrightarrow{=} M \rightarrow 0$ concentrated in homological degrees q and q - 1.

Lemma 3.11. Each functor F_q preserves projective objects and finitely presentable objects. Each functor G_q preserves injective objects.

Proof. For every object M in $_A$ Mod there is a natural isomorphism $\operatorname{Hom}_{Q,A}(F_q(M), -) \cong \operatorname{Hom}_A(M, E_q(-))$ by Corollary 3.9. The first assertion now follows as the functor E_q is exact and preserves direct limits. The second assertion follows from the natural isomorphism $\operatorname{Hom}_{Q,A}(-, G_q(M)) \cong \operatorname{Hom}_A(E_q(-), M)$ and exactness of the functor E_q .

Proposition 3.12. The category _{0,A}Mod is Grothendieck and locally finitely presentable.

- (a) The objects $F_q(A) = Q(q, -) \otimes_{\Bbbk} A$, where $q \in Q$, are projective and finitely presentable and they generate $_{O,A}$ Mod.
- (b) The objects $G_q(I) = \text{Hom}_{\Bbbk}(Q(-,q),I)$, where $q \in Q$ and I is any (fixed) faithfully injective left *A*-module, are injective and they cogenerate $_{O,A}$ Mod.

Proof.

- (a) By Lemma 3.11, each object F_q(A) is projective and finitely presentable. To see that these objects generate _{Q,A}Mod, let τ be any morphism in this category. By Corollary 3.9, we have Hom_{Q,A}(F_q(A), τ) ≅ Hom_A(A, τ(q)). Since A is a faithfully projective left A-module, it follows that if Hom_{Q,A}(F_q(A), τ) = 0 holds for every q ∈ Q, then τ = 0.
- (b) Dual to the proof of part (a).

It is well known that $_{Q,A}$ Mod is a Grothendieck category. By (a), the category $_{Q,A}$ Mod even has a *projective* generator. Part (a) shows that $_{Q,A}$ Mod is generated by a set of finitely presentable objects, so it is a locally finitely presentable Grothendieck category in the sense of Breitsprecher [5, Definition (1.1)]. Hence, it is also a locally finitely presentable category in the usual sense of Crawley–Boevey [7, §1] or Adámek and Rosický [1, Definition 1.17 with $\lambda = \aleph_0$] (cf. Appendix A). This follows from [5, Satz (1.5)] and is also pointed out in [7, (2.4)].

4 | EXISTENCE AND HEREDITY OF THE COTORSION PAIRS $(^{\perp}\mathscr{E}, \mathscr{E})$ AND $(\mathscr{E}, \mathscr{E}^{\perp})$

Recall from Definition 3.2 that we write $(-)^{\natural}$ for the forgetful functor. The next is the key definition in this paper.

Definition 4.1. Let *Q* satisfy the conditions in Setup 2.5 and let k be Gorenstein. We set

$${}_{O}\mathcal{L} = \{X \in {}_{O} \operatorname{Mod} \mid \operatorname{pd}_{O} X < \infty\} = \{X \in {}_{O} \operatorname{Mod} \mid \operatorname{id}_{O} X < \infty\},\$$

where the last equality holds by Theorem 2.4 / Remark 2.6 and Definition 2.1. We also set

$$\mathscr{E} = \{ X \in {}_{O,A} \operatorname{Mod} | X^{\natural} \in {}_{O}\mathcal{L} \}$$

The objects in \mathscr{E} are said to be *exact*; this terminology is justified by Theorem 7.1.

Our first goal is to investigate when there exist (hereditary) cotorsion pairs $(^{\perp}\mathscr{E}, \mathscr{E})$ and $(\mathscr{E}, \mathscr{E}^{\perp})$ in $_{Q,A}$ Mod. As the next remark shows, a necessary condition for the existence of such cotorsion pairs is that *A* has finite projective/injective dimension as a k-module. As proved in Theorem 4.4, this condition is (perhaps surprisingly) also sufficient.

Remark 4.2. We claim that if there exists a cotorsion pair of the form $(\mathscr{E}, \mathscr{E}^{\perp})$ in $_{Q,A}$ Mod and Q is not empty, then A must have finite projective/injective dimension as a k-module.

To see this, choose any $q \in Q$. As $X = Q(q, -) \bigotimes_{\Bbbk} A$ is in $_{Q,A}$ Prj, see Proposition 3.12, it belongs to the left half, \mathscr{E} , of the assumed cotorsion pair. By Definition 4.1, this means that $X^{\natural} = Q(q, -) \bigotimes_{\Bbbk} A^{\natural}$ belongs to $_{Q}\mathcal{L}$, that is, X^{\natural} has finite projective dimension in $_{Q}$ Mod. Let $0 \to P_n \to \cdots \to P_0 \to X^{\natural} \to 0$ be an augmented projective resolution of X^{\natural} in $_{Q}$ Mod. The evalution functor $E_q : {}_{Q} \operatorname{Mod} \to {}_{\Bbbk} \operatorname{Mod}$ has by Corollary 3.9 (with $A = {}_{\Bbbk}$) a right adjoint G_q . By Corollary 3.9(b) and condition (1) in Setup 2.5, the functor G_q is exact; whence E_q preserves projective objects. As E_q is also exact, $0 \to P_n(q) \to \cdots \to P_0(q) \to X^{\natural}(q) \to 0$ is a projective resolution of $X^{\natural}(q)$ in $_{\Bbbk}$ Mod. Thus, the ${}_{\Bbbk}$ -module $X^{\natural}(q) = Q(q, q) \bigotimes_{\Bbbk} A^{\natural}$ has finite projective (equivalently, finite injective) dimension. By condition (4) in Setup 2.5, the ${}_{k}$ -module Q(q, q) has a direct summand isomorphic to \Bbbk , and thus $Q(q, q) \otimes_{\Bbbk} A^{\natural}$ has a direct summand isomorphic to $\Bbbk \otimes_{\Bbbk} A^{\natural} \cong A^{\natural}$. Hence also A^{\natural} has finite projective dimension.

Lemma 4.3. Assume that Q satisfies the conditions in Setup 2.5, \Bbbk is Gorenstein, and A has finite projective/injective dimension as a \Bbbk -module. For every $X \in _{Q,A}$ Mod, there are isomorphisms of \Bbbk -modules.

- (a) $\operatorname{Ext}_{O,A}^{i}(G \otimes_{\Bbbk} A, X) \cong \operatorname{Ext}_{O}^{i}(G, X^{\natural})$ for every $G \in_{O} \operatorname{GPrj} and i \geq 0$.
- (b) $\operatorname{Ext}_{O,A}^{i}(X, \operatorname{Hom}_{\Bbbk}(A, H)) \cong \operatorname{Ext}_{O}^{i}(X^{\natural}, H)$ for every $H \in {}_{Q}$ GInj and $i \ge 0$.

Proof.

(a) Let *G* be in _Q GPrj and let $P_{\bullet} = \cdots \rightarrow P_1 \rightarrow P_0 \rightarrow 0$ be a projective of resolution of *G* in _Q Mod. We show that $P_{\bullet} \otimes_{\Bbbk} A$ is a projective resolution of $G \otimes_{\Bbbk} A$ in _{Q.A}Mod.

By Corollary 3.5, the functor $-\bigotimes_{\Bbbk} A : {}_{Q} \operatorname{Mod} \to {}_{Q,A} \operatorname{Mod}$ has a right adjoint, namely the forgetful functor $(-)^{\natural}$, which is exact. Hence the functor $-\bigotimes_{\Bbbk} A$ preserves projective objects, so each $P_i \bigotimes_{\Bbbk} A$ is a projective object in ${}_{Q,A} \operatorname{Mod}$. It remains to see that the sequence $\cdots \to P_1 \bigotimes_{\Bbbk} A \to P_0 \bigotimes_{\Bbbk} A \to G \bigotimes_{\Bbbk} A \to 0$ is exact, that is, that

$$\cdots \longrightarrow P_1(q) \otimes_{\Bbbk} A \longrightarrow P_0(q) \otimes_{\Bbbk} A \longrightarrow G(q) \otimes_{\Bbbk} A \longrightarrow 0 \tag{\sharp4}$$

is an exact sequence of modules for every $q \in Q$. We know that the sequence

$$\cdots \longrightarrow P_1(q) \longrightarrow P_0(q) \longrightarrow G(q) \longrightarrow 0 \tag{$\sharp 5$}$$

is exact. As noted in Remark 4.2, each k-module $P_i(q)$ is projective, so (\sharp 5) is an augmented projective resolution of G(q) in k Mod. Since the k-module G(q) is Gorenstein projective by Theorem 2.7 and one has $id_k A < \infty$ by assumption, we have $Tor_i^k(G(q), A) = 0$ for all i > 0 by [14, Corollary 10.3.10 and Theorem 10.3.8, (1) \Leftrightarrow (9)]. Hence (\sharp 4) is exact.

The asserted isomorphism now follows from the computation below, where the first and last isomorphisms hold by the definition of Ext and the middle isomorphism holds as $(-)^{\natural}$ is the right adjoint of $-\bigotimes_{\Bbbk} A$ by Corollary 3.5,

$$\operatorname{Ext}_{Q,A}^{i}(G \otimes_{\Bbbk} A, X) \cong \operatorname{H}^{i} \operatorname{Hom}_{Q,A}(P_{\bullet} \otimes_{\Bbbk} A, X) \cong \operatorname{H}^{i} \operatorname{Hom}_{Q}(P_{\bullet}, X^{\natural}) \cong \operatorname{Ext}_{Q}^{i}(G, X^{\natural}) \,.$$

(b) Dual to the proof of part (a).

Theorem 4.4. Assume that Q satisfies the conditions in Setup 2.5, \Bbbk is Gorenstein, and A has finite projective/injective dimension as a \Bbbk -module. The following assertions hold.

- (a) $({}^{\perp}\mathscr{E}, \mathscr{E})$ is a cotorsion pair in ${}_{Q,A}$ Mod; in fact, it is the cotorsion pair generated by $\{G \otimes_{\Bbbk} A \mid G \in {}_{Q} \operatorname{GPrj}\}$, that is, $\{G \otimes_{\Bbbk} A \mid G \in {}_{Q} \operatorname{GPrj}\}^{\perp} = \mathscr{E}$. Moreover, the cotorsion pair $({}^{\perp}\mathscr{E}, \mathscr{E})$ is hereditary and one has ${}^{\perp}\mathscr{E} \cap \mathscr{E} = {}_{Q,A} \operatorname{Prj}$.
- (b) $(\mathscr{E}, \mathscr{E}^{\perp})$ is a cotorsion pair in $_{Q,A}$ Mod; in fact, it is the cotorsion pair cogenerated by $\{\operatorname{Hom}_{\Bbbk}(A, H) \mid H \in _{Q} \operatorname{GInj}\}, \text{ that is, }^{\perp}\{\operatorname{Hom}_{\Bbbk}(A, H) \mid H \in _{Q} \operatorname{GInj}\} = \mathscr{E}.$ Moreover, the cotorsion pair $(\mathscr{E}, \mathscr{E}^{\perp})$ is hereditary and one has $\mathscr{E} \cap \mathscr{E}^{\perp} = _{Q,A}$ Inj.

Furthermore, the class \mathscr{E} is thick, that is, it is closed under direct summands and if two out of three objects in a short exact sequence $0 \to X' \to X \to X'' \to 0$ in $_{Q,A}$ Mod belong to \mathscr{E} , then so does the third.

 \Box

Proof. The final assertion in the theorem follows directly from Definition 4.1 and the well-known fact that ${}_{O}\mathcal{L}$ is a thick subcategory of ${}_{O}$ Mod.

(a) The equality $\{G \otimes_{\mathbb{K}} A \mid G \in_{Q} \text{GPrj}\}^{\perp} = \mathscr{E}$ follows from Lemma 4.3(a), the fact that $(_{Q} \text{GPrj}, _{Q}\mathcal{L})$ is a cotorsion pair in $_{Q}$ Mod (see Theorem 2.4 / Remark 2.6 and Theorem 2.2), and the definition of the class \mathscr{E} (see Definition 4.1).

To see that $({}^{\perp}\mathscr{E}, \mathscr{E})$ is hereditary, we must argue that the class \mathscr{E} in the right half of the cotorsion pair is coresolving. However, this is a special case of the final assertion in the theorem, which has already been proved. We now prove the equality ${}^{\perp}\mathscr{E} \cap \mathscr{E} = {}_{O,A}$ Prj.

'⊇': Evidently, [⊥] $\mathscr{E} \supseteq_{Q,A}$ Prj. To prove $\mathscr{E} \supseteq_{Q,A}$ Prj, it suffices by Proposition 3.12(a) to show that $F_q(A) = Q(q, -) \bigotimes_{\Bbbk} A$ is in \mathscr{E} for every $q \in Q$. Thus we must argue that the object $F_q(A)^{\natural} = Q(q, -) \bigotimes_{\Bbbk} A^{\natural} = F_q(A^{\natural})$ has finite projective dimension in $_Q$ Mod (here the first F_q is viewed as a functor $_A \operatorname{Mod} \to _{Q,A} \operatorname{Mod}$ and the second as a functor $_{\Bbbk} \operatorname{Mod} \to _Q \operatorname{Mod}$). By assumption, A^{\natural} has finite projective dimension in $_{\Bbbk} \operatorname{Mod} \to _Q \operatorname{Mod}$ is exact and preserves projective objects, see Corollary 3.9(a) (and condition (1) in Setup 2.5) and Lemma 3.11, it follows that $F_q(A^{\natural})$ has finite projective dimension in $_Q \operatorname{Mod}$.

'⊆': Assume that *X* belongs to $^{\perp} \mathscr{E} \cap \mathscr{E}$. Take an exact sequence $0 \to Y \to P \to X \to 0$ in $_{Q,A}$ Mod with *P* projective. As argued above, one has $P \in \mathscr{E}$. Since $X \in \mathscr{E}$ by assumption, it follows from the final assertion in the theorem that $Y \in \mathscr{E}$ as well. We also have $X \in ^{\perp} \mathscr{E}$; consequently $\text{Ext}^{1}_{Q,A}(X,Y) = 0$ and the sequence $0 \to Y \to P \to X \to 0$ splits. Hence *X* is a direct summand of the projective object *P*, so *X* is projective too.

(b) Dual to the proof of part (a).

5 | COMPLETENESS OF THE COTORSION PAIRS $(^{\perp}\mathcal{E}, \mathcal{E})$ AND $(\mathcal{E}, \mathcal{E}^{\perp})$

In this section, we prove completeness of the cotorsion pairs established in Theorem 4.4 (see Theorems 5.5 and 5.9 below). This completeness relies, in part, on some general properties of the class of objects of injective dimension $\leq n$ (for some fixed $n \in \mathbb{N}_0$) in a locally Noetherian Grothendieck category, which we now establish.

Definition 5.1. For a Grothendieck category A and a natural number $n \in \mathbb{N}_0$, we set

 $\mathcal{I}_n = \mathcal{I}_n(\mathcal{A}) = \{ X \in \mathcal{A} \mid \mathrm{id}_{\mathcal{A}} X \leq n \} \,.$

Lemma 5.2. Let A be a locally finitely presented Grothendieck category. For $X \in A$ and $n \in \mathbb{N}_0$, one has $X \in \mathcal{I}_n$ if and only if $\operatorname{Ext}_A^{n+1}(F, X) = 0$ for every finitely generated $F \in A$.

Proof. Let $0 \to X \to I^0 \to I^1 \to \cdots$ be an augmented injective resolution of X in \mathcal{A} . Write $\Omega^i(X) = \text{Ker}(I^i \to I^{i+1})$ for the *i*th cosyzygy of X. Note that $X \in \mathcal{I}_n$ if and only if $\Omega^n(X)$ is injective. By Baer's criterion in \mathcal{A} , see Krause [33, Lemma 2.5], and by dimension shifting, this happens if and only if $\text{Ext}_{\mathcal{A}}^{n+1}(F, X) \cong \text{Ext}_{\mathcal{A}}^1(F, \Omega^n(X)) = 0$ for every finitely generated object $F \in \mathcal{A}$.

In the special case where A is the category of modules over a (Noetherian) ring, parts (a) and (b) in the next result can be found in [23, Theorem 4.1.7] and [14, Lemma 9.1.5].

Proposition 5.3. *Let* A *be a locally finitely presented Grothendieck category and* $n \in \mathbb{N}_0$ *.*

 \square

- (a) If A has enough projectives, then ([⊥]I_n, I_n) is a hereditary cotorsion pair in A; this cotorsion pair is generated by a set and hence it is complete.
- (b) If A is generated by a set of projective noetherian objects, then I_n is closed under pure subobjects and pure quotients. This means that for every pure exact sequence 0 → X' → X → X'' → 0 in A (see A.1) with X ∈ I_n, one has X', X'' ∈ I_n.

Proof.

- (a) By assumption, A is generated by a set X of finitely presented (and hence finitely generated) objects. An object in A is finitely generated if and only if it is a quotient of a finite direct sum of objects from X, see, for example, Breitsprecher [5, Satz (1.6)]. Thus, up to isomorphism, there is only a set, F, of finitely generated objects in A. As A has enough projectives, we can choose for every F ∈ F a projective resolution ... → P₁^F → P₀^F → F → 0. Let Ω_i(F) = Cok(P_{i+1}^F → P_i^F) be the *i*th syzygy of F in this resolution. For X ∈ A, one has Extⁿ⁺¹_A(F,X) ≅ Ext¹_A(Ω_n(F),X) by dimension shifting. In view of this and Lemma 5.2, it follows that the set Ω_n(F) = {Ω_n(F) | F ∈ F} satisfies Ω_n(F)[⊥] = I_n. Thus ([⊥]I_n, I_n) is the cotorsion pair generated by the set Ω_n(F). Hence Saorín and Šťoviček [37, Corollary 2.15(3)] yields that ([⊥]I_n, I_n) is complete. Evidently I_n is coresolving so ([⊥]I_n, I_n) is hereditary.
- (b) Since A is generated by a set of projective Noetherian objects, we may assume that all the projective objects P^F_i and all the syzygies Ω_i(F) in the proof of part (a) are finitely presented (= finitely generated = Noetherian, as the category A is locally Noetherian). Let F ∈ F be given. Applying the functor Hom_A(Ω_n(F), −) to the given pure exact sequence, we get an exact sequence

$$\operatorname{Hom}_{\mathcal{A}}(\Omega_n(F),X) \twoheadrightarrow \operatorname{Hom}_{\mathcal{A}}(\Omega_n(F),X'') \to \operatorname{Ext}^1_{\mathcal{A}}(\Omega_n(F),X') \to \operatorname{Ext}^1_{\mathcal{A}}(\Omega_n(F),X) = 0.$$

In this exact sequence, the first homomorphism is surjective as $0 \to X' \to X \to X'' \to 0$ is pure exact and $\Omega_n(F)$ is finitely presented. Furthermore, $\operatorname{Ext}^1_{\mathcal{A}}(\Omega_n(F), X) = 0$ as $X \in \mathcal{I}_n$, cf. the proof of part (a). It follows that $\operatorname{Ext}^1_{\mathcal{A}}(\Omega_n(F), X') = 0$ and hence $X' \in \mathcal{I}_n$. Since \mathcal{I}_n is coresolving we also get $X'' \in \mathcal{I}_n$.

In a locally Noetherian Grothendieck category A, the class of injective objects is closed under coproducts; see Gabriel [17, Chapter IV, § 2, Proposition 6, p. 387] or Stenström [40, V§ 4, Proposition 4.3]. It follows from Roos [36, Theorem 1, p. 201] that A has a *strict cogenerator*, so Simson [38] yields the next result, which also follows easily from Proposition 5.3(b).

Theorem 5.4 [38, Corollary, p. 163]. Let A be a locally Noetherian Grothendieck category and let $n \in \mathbb{N}_0$. The subcategory \mathcal{I}_n is closed under direct limits.

Theorem 5.5. Assume that Q satisfies the conditions in Setup 2.5, k is Gorenstein, and A has finite projective/injective dimension as a k-module. The cotorsion pair $({}^{\perp}\mathcal{E}, \mathcal{E})$ in $_{Q,A}$ Mod from Theorem 4.4(a) is generated by a set; whence it is complete.

Proof. Note that Proposition 5.3(a) applies to $\mathcal{A} = {}_Q$ Mod by Proposition 3.12 (with $\mathcal{A} = \Bbbk$). Let *n* be the finitistic injective dimension of this category, which is finite by Definition 2.1 and Theorem 2.4/Remark 2.6. Now the class \mathcal{I}_n is equal to ${}_Q\mathcal{L} = \{X \in {}_Q \text{ Mod } | \text{ id}_Q X < \infty\}$, so the cotorsion pairs (${}^{\perp}\mathcal{I}_n, \mathcal{I}_n$) and (${}_Q \text{ GPrj}, {}_Q\mathcal{L}$) (see Theorem 2.2) in ${}_Q \text{ Mod coincide. By Proposition 5.3(a), there$

is a set $\mathcal{G} \subseteq_Q$ GPrj with $\mathcal{G}^{\perp} = {}_Q \mathcal{L}$. It follows from Lemma 4.3(a) that one has $\{G \otimes_{\Bbbk} A \mid G \in \mathcal{G}\}^{\perp} = \mathscr{E}$ in ${}_{Q,A}$ Mod, cf. the proof of Theorem 4.4(a), so the cotorsion pair $({}^{\perp}\mathscr{E}, \mathscr{E})$ in ${}_{Q,A}$ Mod is generated by the set $\{G \otimes_{\Bbbk} A \mid G \in \mathcal{G}\}$. Hence [37, Corollary 2.15(3)] yields completeness of the cotorsion pair $({}^{\perp}\mathscr{E}, \mathscr{E})$.

In the language of relative homological algebra, the result above shows that ${}^{\perp}\mathscr{E}$ is special precovering and \mathscr{E} is special pre-enveloping in $_{Q,A}$ Mod. In general, ${}^{\perp}\mathscr{E}$ is not covering and \mathscr{E} is not enveloping, see [12, Theorem 3.4] by Enochs and García Rozas.

Lemma 5.6. Assume that a k-pre-additive category Q satisfies the following requirements.

- (1) Each \Bbbk -module Q(q, r) is finitely generated.
- (2) For every $q \in Q$, the set $N_+(q) = \{r \in Q \mid Q(q,r) \neq 0\}$ is finite.
- (3) The ring \Bbbk is Noetherian.

In this situation, every Q(q, -) is a Noetherian object in $_Q$ Mod. In particular, the category $_Q$ Mod is generated by a set of projective Noetherian objects.

Proof. Let $q \in Q$ be given. We must show that every subobject I of Q(q, -) is finitely generated; see [40, Chapter V, § 4, Proposition 4.1]. Such a subobject I is the same as a left ideal in the the category Q at q, that is, each I(r) is a k-submodule of Q(q, r) and for every $h \in Q(r, p)$ and $g \in I(r)$, one has $hg \in I(p)$. By (2), the set $N_+(q)$ is finite, say, $N_+(q) = \{r_1, ..., r_n\}$. By (1), each k-module $Q(q, r_i)$ is finitely generated, and as k is Noetherian by (3), the k-submodule $I(r_i) \subseteq Q(q, r_i)$ is finitely generated as well, say, $I(r_i) = kg_{i1} + \cdots + kg_{i\ell(i)}$. Consider the morphism

$$\tau: \bigoplus_{i=1}^n \bigoplus_{j=1}^{\ell(i)} Q(r_i, -) \longrightarrow Q(q, -)$$

given by $Q(g_{ij}, -)$: $Q(r_i, -) \rightarrow Q(q, -)$ on the component corresponding to $i \in \{1, ..., n\}$ and $j \in \{1, ..., \ell(i)\}$. We will show that $\operatorname{Im} \tau = I$, and hence *I* is finitely generated by [40, Chapter V, § 3, Lemma 3.1] as each of the finitely many objects $Q(r_i, -)$ is finitely generated. To prove the equality $\operatorname{Im} \tau = I$, we must show that for every $p \in Q$ one has

$$\sum_{i=1}^{n} \sum_{j=1}^{\ell(i)} \operatorname{Im} Q(g_{ij}, p) = I(p) .$$
(#6)

Let $p \in Q$ be given. To prove the equality displayed above, we argue as follows.

'⊆': Consider any of the morphisms $Q(g_{ij}, p)$: $Q(r_i, p) \rightarrow Q(q, p)$. For any $h \in Q(r_i, p)$, one has $Q(g_{ij}, p)(h) = hg_{ij}$, which belongs to I(p) as g_{ij} is in $I(r_i)$ and I is a left ideal.

'⊇': If $p \notin N_+(q)$, then Q(q, p) = 0 and hence I(p) = 0. So we may assume that $p = r_i$ for some $i \in \{1, ..., n\}$. To prove that $I(p) = I(r_i)$ is contained in the left-hand side of ($\sharp 6$) (with $p = r_i$), it suffices to show that every generator $g_{i1}, ..., g_{i\ell(i)}$ of $I(r_i)$ is in the left-hand side. But g_{ij} is in the image of $Q(g_{ij}, r_i)$: $Q(r_i, r_i) \rightarrow Q(q, r_i)$ as $Q(g_{ij}, r_i)(\mathrm{id}_{r_i}) = g_{ij}$.

Lemma 5.7. The forgetful functor $(-)^{\natural}$: $_{Q,A}$ Mod $\rightarrow _Q$ Mod preserves colimits and pure exact sequences (see A.1).

Proof. The forgetful functor $(-)^{\natural}$ is a left adjoint by Corollary 3.5, so it preserves colimits. By [2, Proposition 3] (with $\lambda = \aleph_0$) the pure exact sequences are precisely the sequences that are direct limits of split exact sequences. As $(-)^{\natural}$ preserves direct limits and split exact sequences,

it preserves pure exact sequences as well. Here is a more direct argument, which does not use [2, Proposition 3]: Let $\xi = 0 \rightarrow X' \rightarrow X \rightarrow X'' \rightarrow 0$ be a pure exact sequence in $_{Q,A}$ Mod and let $F \in _Q$ Mod be finitely presented. Note that $F \otimes_{\Bbbk} A$ is finitely presented in $_{Q,A}$ Mod as the functor $\operatorname{Hom}_{Q,A}(F \otimes_{\Bbbk} A, -) \cong \operatorname{Hom}_Q(F, (-)^{\natural})$ preserves direct limits. Thus, the sequence $\operatorname{Hom}_{Q,A}(F \otimes_{\Bbbk} A, \xi) \cong \operatorname{Hom}_Q(F, \xi^{\natural})$ is exact, so ξ^{\natural} is pure exact in $_Q$ Mod.

The results in [3] by Aldrich, Enochs, García Rozas, and Oyonarte are valid for a Grothendieck category *with enough projectives* (see the Introduction of [3]). In view of the definition of a (minimal) generator of Ext, see [3, Definition 2.8], the following is simply a reformulation of [3, Theorem 2.9] in the language of relative homological algebra.

Theorem 5.8 [3, Theorem 2.9]. Let \mathcal{A} be a Grothendieck category with enough projectives and \mathcal{F} be a class of objects in \mathcal{A} . If \mathcal{F} is closed under well-ordered direct limits and every object in \mathcal{A} has a special \mathcal{F}^{\perp} -pre-envelope, then every object in \mathcal{A} has an \mathcal{F}^{\perp} -envelope.

Theorem 5.9. Assume that Q satisfies the conditions in Setup 2.5, k is Gorenstein, and A has finite projective/injective dimension as a k-module. The cotorsion pair $(\mathscr{E}, \mathscr{E}^{\perp})$ in $_{Q,A}$ Mod from Theorem 4.4(b) is complete, in fact, it is perfect, meaning that every object in $_{Q,A}$ Mod has an \mathscr{E} -cover and an \mathscr{E}^{\perp} -envelope.

Proof. We start by proving the following assertions.

(*) ${}_{O}\mathcal{L}$ is closed under pure subobjects and pure quotients in ${}_{O}$ Mod.

(**) ${}_{O}\mathcal{L}$ is closed under direct limits in ${}_{O}$ Mod.

Ad (*): As in the proof of Theorem 5.5, we have $\mathcal{I}_n = {}_Q \mathcal{L}$ where *n* is the finitistic injective dimension of ${}_Q$ Mod. By Lemma 5.6 (and Setup 2.5), the category $\mathcal{A} = {}_Q$ Mod is generated by a set of projective Noetherian objects, so Proposition 5.3(b) yields the conclusion.

Ad (**): By applying Theorem 5.4 to $\mathcal{A} = {}_Q$ Mod (which is locally Noetherian, as just noted), it follows that the class $\mathcal{I}_n = {}_Q \mathcal{L}$ is closed under direct limits.

Next note that $\mathcal{M} = {}_{Q,A}$ Mod is a locally finitely presented Grothendieck category by Proposition 3.12. We show that $\mathcal{F} = \mathscr{E}$ satisfies the requirements (1) and (2) in Theorem A.3.

Ad (1): It follows from (*) above, Definition 4.1, and Lemma 5.7, that \mathscr{E} is closed under pure subobjects and pure quotients in $_{O,A}$ Mod.

Ad (2): The class \mathscr{E} contains all projective objects in $_{Q,A}$ Mod and hence also a projective generator of $_{Q,A}$ Mod, which exists by Proposition 3.12(a). It follows from (**) above, Definition 4.1, and Lemma 5.7 that \mathscr{E} is closed under direct limits (and hence coproducts).

We conclude from Theorem A.3 that the cotorsion pair $(\mathscr{E}, \mathscr{E}^{\perp})$ in $_{Q,A}$ Mod is complete and that every object in $_{Q,A}$ Mod has an \mathscr{E} -cover. It remains to prove that every object has an \mathscr{E}^{\perp} -envelope, however, this follows immediately from Theorem 5.8 applied to $\mathcal{F} = \mathscr{E}$.

6 | THE PROJECTIVE AND INJECTIVE MODEL STRUCTURES

We show that for any category *Q* satisfying the conditions in Setup 2.5 and any ring *A*, the category $_{Q,A}$ Mod from Definition 3.1 has two different model structures with the same homotopy category $\mathcal{D}_{Q}(A) := \text{Ho}(_{Q,A} \text{Mod})$. Indeed, this is a special case of Theorem 6.1 with $\Bbbk = \mathbb{Z}$. The

proof is easy: we essentially just have to combine results from the previous Sections 4 and 5 with Gillespie/Hovey's theory of abelian model categories [21, 29].

To parse the next result, recall that \mathscr{E} denotes the class of exact objects in $_{Q,A}$ Mod in the sense of Definition 4.1.

Theorem 6.1. Assume that Q satisfies the conditions in Setup 2.5, \Bbbk is Gorenstein, and A has finite projective/injective dimension as a \Bbbk -module (for example, $\Bbbk = \mathbb{Z}$ and A is any ring).

- (a) There exists an abelian model structure on _{Q,A}Mod where [⊥] *E* is the class of cofibrant objects, *E* is the class of trivial objects, and every object is fibrant.
- (b) There exists an abelian model structure on _{Q,A}Mod where ℰ[⊥] is the class of fibrant objects, ℰ is the class of trivial objects, and every object is cofibrant.

Proof.

- (a) We claim that $(\mathcal{C}, \mathcal{W}, \mathcal{F}) = ({}^{\perp}\mathcal{E}, \mathcal{E}, {}_{O,A}Mod)$ is a *Hovey triple* in ${}_{O,A}Mod$.
 - The class $\mathcal{W} = \mathscr{E}$ is thick by the last assertion in Theorem 4.4.
 - By Theorem 4.4(a), one has (C ∩ W, F) = ([⊥] & ∩ &, _{Q,A}Mod) = (_{Q,A} Prj,_{Q,A}Mod). It is a complete cotorsion pair as _{Q,A}Mod has enough projectives by Proposition 3.12(a).
 - Clearly, $(\mathcal{C}, \mathcal{W} \cap \mathcal{F}) = ({}^{\perp}\mathscr{E}, \mathscr{E})$. This is a complete cotorsion pair by Theorem 5.5.
 - The desired conclusion now follows from Hovey [29, Theorem 2.2].
- (b) By arguing as above, it follows from Theorems 4.4(b) and 5.9 that $(C, W, F) = (_{O,A}\text{Mod}, \mathscr{E}, \mathscr{E}^{\perp})$ is a Hovey triple in $_{O,A}\text{Mod}$. Now apply [29, Theorem 2.2] once more.

Definition 6.2. Following the terminology in [20, Definition 4.5 and Lemma 4.6] by Gillespie, we refer to the model structures on $_{Q,A}$ Mod established in parts (a) and (b) in Theorem 6.1 as the *projective model structure* and the *injective model structure*.

Having established the model structures above, the general theory of abelian model categories provides us with rich information about the associated homotopy categories. We recall (with appropriate references) the most important facts below.

Proposition 6.3. Assume that Q satisfies the conditions in Setup 2.5, \Bbbk is Gorenstein, and A has finite projective/injective dimension as a \Bbbk -module. The two model categories

 $(_{O,A}Mod, projective model structure)$ and $(_{O,A}Mod, injective model structure)$

have the same weak equivalences, in fact, a morphism φ in $_{Q,A}$ Mod is a weak equivalence in either of the two model structures if and only if it satisfies the next equivalent conditions.

- (i) $\varphi = \pi \iota$ where ι is monic with $\operatorname{Cok} \iota \in \mathscr{E}$ and π is epic with $\operatorname{Ker} \pi \in \mathscr{E}$.
- (ii) $\varphi = \pi \iota$ where ι is monic with $\operatorname{Cok} \iota \in {}_{Q,A}$ Prj and π is epic with $\operatorname{Ker} \pi \in \mathscr{E}$.
- (iii) $\varphi = \pi \iota$ where ι is monic with $\operatorname{Cok} \iota \in \mathscr{E}$ and π is epic with $\operatorname{Ker} \pi \in {}_{Q,A}$ Inj.

Proof. As seen in the proof of Theorem 6.1, the projective model structure on $_{Q,A}$ Mod is given by the Hovey triple $(C_p, \mathcal{W}_p, \mathcal{F}_p) = (^{\perp} \mathscr{E}, \mathscr{E}, _{Q,A} \text{Mod})$, and the injective model structure is given by $(C_i, \mathcal{W}_i, \mathcal{F}_i) = (_{Q,A} \text{Mod}, \mathscr{E}, \mathscr{E}^{\perp})$. Thus by definition, see [29, Definition 5.1], the morphisms described in (ii), respectively, (iii), are precisely the weak equivalences in the projective, respectively, injective, model structure on $_{Q,A}$ Mod. Evidently, one has (ii) \Rightarrow (i) and (iii) \Rightarrow (i) as $_{Q,A}$ Prj \subseteq \mathscr{E} and $_{Q,A}$ Inj $\subseteq \mathscr{E}$. By [29, Lemma 5.8], a monic with cokernel in $\mathcal{W}_p = \mathscr{E} = \mathcal{W}_i$ is a weak equivalence in both model structures and so is an epic with kernel in \mathscr{E} . Hence, if (i) holds, then φ is a composition of weak two equivalences in either model structure, and as already noted this means that (ii) and (iii) hold.

Definition 6.4. Assume that *Q* satisfies the conditions in Setup 2.5, k is Gorenstein, and *A* has finite projective/injective dimension as a *k*-module. The *Q*-shaped derived category of *A* is defined to be the homotopy category of the model category $_{Q,A}$ Mod (see Theorem 6.1 and Proposition 6.3), that is,

$$D_Q(A) := \operatorname{Ho}(_{Q,A}\operatorname{Mod}) = \{ \text{weak equivalences} \}^{-1}(_{Q,A}\operatorname{Mod}) .$$

Theorem 6.5. Assume that Q satisfies the conditions in Setup 2.5, \Bbbk is Gorenstein, and A has finite projective/injective dimension as a \Bbbk -module. The category $\bot \mathscr{E}$, respectively, \mathscr{E}^{\bot} , is Frobenius with $_{Q,A}$ Prj, respectively, $_{Q,A}$ Inj, as the class of pro-injective objects, and there are equivalences of categories,

$$\frac{\perp_{\mathscr{C}}}{Q,A}\operatorname{Prj} \simeq \mathcal{D}_Q(A) \simeq \frac{\mathscr{E}^{\perp}}{Q,A}\operatorname{Inj}$$

Here the leftmost, respectively, rightmost, category is the stable category of the Frobenius category ${}^{\perp}\mathscr{E}$, respectively, \mathscr{E}^{\perp} . In particular, $\mathcal{D}_{O}(A)$ is triangulated.

Proof. The cotorsion pairs associated with the Hovey triples that define the projective and injective model structures (see the proof of Theorem 6.1) are hereditary by Theorem 4.4. Hence the assertions follow from Gillespie [21, Proposition 4.2 and Theorem 4.3].

7 | (CO)HOMOLOGY

Our goal in this section is to obtain tractable descriptions of the trivial objects and the weak equivalences in the projective and injective model structures on $_{Q,A}$ Mod. At this point, the only available descriptions of these objects and morphisms come from Definition 4.1 and Proposition 6.3, which are not particularly enlightening.

Condition (4) in Setup 2.5 is called the Retraction Property. In Definition 7.3, we introduce a stronger condition, called the Strong Retraction Property, which holds in most natural examples. This property allows us to define the pseudo-radical \mathbf{r} (Lemma 7.7) and (co)homology functors $\mathbb{H}_{[q]}^{i}$ and $\mathbb{H}_{i}^{[q]}$ for every $q \in Q$ and $i \ge 0$ (Definition 7.11). The main results in this section are Theorems 7.1 and 7.2. The first result characterizes the trivial (= exact) objects as the objects with vanishing (co)homology; the second one characterizes the weak equivalences as the morphisms that are isomorphisms in (co)homology.

The proofs of these theorems require preparations that take up most of this section. Theorems 7.1 and 7.2 themselves are proved towards the end of the section.

Theorem 7.1. Assume that the following hold.

- Q satisfies conditions (1)–(3) in Setup 2.5 and condition (4*) in Definition 7.3.
- The pseudo-radical \mathfrak{r} is nilpotent, that is, $\mathfrak{r}^N = 0$ for some $N \in \mathbb{N}$.
- The ring \Bbbk is Noetherian and hereditary (for example, $\Bbbk = \mathbb{Z}$).

For every k-algebra A and object $X \in {}_{O,A}$ Mod, the following conditions are equivalent.

- (i) $X \in \mathscr{E}$. (ii) $\mathbb{H}^{i}_{[q]}(X) = 0$ for every $q \in Q$ and i > 0. (ii') $\mathbb{H}^{1}_{[q]}(X) = 0$ for every $q \in Q$. (iii) $\mathbb{H}^{[q]}_{i}(X) = 0$ for every $q \in Q$ and i > 0.
- (iii') $\mathbb{H}_{1}^{[q]}(X) = 0$ for every $q \in Q$.

Theorem 7.2. Adopt the setup of Theorem 7.1. For every \Bbbk -algebra A and morphism φ in $_{Q,A}$ Mod, the following conditions are equivalent.

- (i) φ is a weak equivalence.
- (ii) $\mathbb{H}^{i}_{[q]}(\varphi)$ is an isomorphism for every $q \in Q$ and i > 0.
- (ii') $\mathbb{H}_{[q]}^{i''}(\varphi)$ and $\mathbb{H}_{[q]}^{2}(\varphi)$ are isomorphisms for every $q \in Q$.
- (iii) $\mathbb{H}_{i}^{[q]}(\varphi)$ is an isomorphism for every $q \in Q$ and i > 0.
- (iii') $\mathbb{H}_{1}^{[q]}(\varphi)$ and $\mathbb{H}_{2}^{[q]}(\varphi)$ are isomorphisms for every $q \in Q$.

Looking at Theorem 7.2 (in comparison with Theorem 7.1), one may wonder if a morphism φ for which $\mathbb{H}^{1}_{[q]}(\varphi)$ (or $\mathbb{H}^{[q]}_{1}(\varphi)$) is an isomorphism for every $q \in Q$ necessarily must be a weak equivalence. In general, this is *not* the case; a counterexample is given in Example 8.21. However, it *is* true under the assumption $\mathfrak{r}^{2} = 0$, see Proposition 7.27.

Definition 7.3. For a small k-preadditive category Q, we consider the condition below.

(4*) Strong Retraction Property: For every object $q \in Q$, the unit map $\Bbbk \to Q(q, q)$, given by $x \mapsto x \cdot id_q$, has a \Bbbk -module retraction and there exists a collection $\{\mathfrak{r}_q\}_{q \in Q}$ of complements, that is, \Bbbk -modules \mathfrak{r}_q such that

$$Q(q,q) = (\Bbbk \cdot \mathrm{id}_q) \oplus \mathfrak{r}_q ,$$

compatible with composition in Q in the following sense:

- (†) $\mathbf{r}_q \circ \mathbf{r}_q \subseteq \mathbf{r}_q$ for all $q \in Q$.
- (‡) $Q(q, p) \circ Q(p, q) \subseteq \mathfrak{r}_p$ for all $p \neq q$ in Q.

Remark 7.4. If the unit map $\Bbbk \to Q(q, q)$ given by $x \mapsto x \cdot id_q$ has a k-module retraction, then there exists a k-module decomposition $Q(q, q) = (\Bbbk \cdot id_q) \oplus \mathbf{r}_q$, but the complement \mathbf{r}_q it is not unique! Indeed, for every retraction (that is, left inverse) τ of the unit map, the kernel Ker τ is a complement of $\Bbbk \cdot id_q$ in Q(q, q). The content of the Strong Retraction Property is that one can choose a collection $\{\mathbf{r}_q\}_{q\in Q}$ of k-submodules, where each \mathbf{r}_q is a complement of $\Bbbk \cdot id_q$ in Q(q, q), which is compatible with composition in Q as described in (†) and (‡). As the next example shows, this is not always possible, that is, the Strong Retraction Property does not always hold. When it does hold there may be more than one possible choice of such a compatible collection $\{\mathbf{r}_q\}_{q\in Q}$. In this section, we consider the situation where Q satisfies the Strong Retraction Property (Setup 7.13), and we tacitly assume that some fixed choice of a compatible collection $\{\mathbf{r}_q\}_{q\in Q}$ has been made. Note that the pseudo-radical \mathbf{r} (Lemma 7.7), the stalk functors $S\langle q \rangle$, $S\{q\}$ (Definition 7.9), and the (co)homology functors $\mathbb{H}^i_{[q]}$, $\mathbb{H}^i_{[q]}$ (Definition 7.11) all depend on this fixed choice. Of course, Theorems 7.1 and 7.2 are true no matter which choice is made.

Example 7.5. Even in the presence of the conditions Hom-finiteness, Local Boundedness, and Existence of a Serre Functor (conditions (1)–(3) in Setup 2.5), the Retraction Property (condition (4) in Setup 2.5) is strictly weaker than the Strong Retraction Property (condition (4*) in Definition 7.3).

Indeed, let $\Bbbk = \mathbb{R}$ and let Q be the \mathbb{R} -pre-additive category with one object, *, and hom set $Q(*, *) = \mathbb{C}$. Composition in Q is given by multiplication of complex numbers. This category satisfies all four conditions in Setup 2.5 (the Serre functor is given by $\mathbb{S}(*) = *$). The unit map $\mathbb{R} \to Q(*, *) = \mathbb{C}$ is just the inclusion map and any \mathbb{R} -linear retraction of this map has the form $\tau^a : \mathbb{C} \to \mathbb{R}$ given by $x + iy \mapsto x - ay$ for some $a \in \mathbb{R}$. The complement of \mathbb{R} in \mathbb{C} corresponding to this retraction is $\mathbf{r}^a = \operatorname{Ker}(\tau^a) = \operatorname{span}_{\mathbb{R}}\{a + i\} \subseteq \mathbb{C}$. For every $a \in \mathbb{R}$, we have $(a + i)^2 \notin \operatorname{span}_{\mathbb{R}}\{a + i\}$ and hence $\mathbf{r}^a \circ \mathbf{r}^a \notin \mathbf{r}^a$. Thus, Q does not satisfy the Strong Retraction Property.

From our viewpoint, this example is a bit 'exotic', and as we shall see in Theorems 8.8 and 8.11, the Strong Retraction Property does hold in more 'natural' examples.

Remark 7.6. The Strong Retraction Property (condition (4^{*}) in Definition 7.3) implies that if two objects $p, q \in Q$ are isomorphic, then they are equal. Indeed, suppose for contradiction that there exists an isomorphism $f : p \to q$ with $p \neq q$. By (‡), one has $id_p = f^{-1}f \in \mathfrak{r}_p$, and clearly $id_p \in \mathbb{k} \cdot id_p$. By assumption, we have $(\mathbb{k} \cdot id_p) \cap \mathfrak{r}_p = 0$, and hence $id_p = 0$. But this is impossible as the map $x \mapsto x \cdot id_p$ is (even split) monic.

Lemma 7.7. Assume that Q satisfies condition (4^*) in Definition 7.3. The k-modules

$$\mathbf{r}(p,q) = \begin{cases} \mathbf{r}_q & \text{if } p = q \\ Q(p,q) & \text{if } p \neq q \end{cases} \quad (p,q \in Q)$$

constitute an ideal r in Q; this ideal is called the pseudo-radical of Q.

Proof. We must prove the inclusions $Q(q, r) \circ \mathfrak{r}(p, q) \subseteq \mathfrak{r}(p, r)$ and $\mathfrak{r}(q, r) \circ Q(p, q) \subseteq \mathfrak{r}(p, r)$ for all $p, q, r \in Q$. For $p \neq r$, we have $\mathfrak{r}(p, r) = Q(p, r)$ and the inclusions are trivial. For p = r, the inclusions read $Q(q, p) \circ \mathfrak{r}(p, q) \subseteq \mathfrak{r}_p$ and $\mathfrak{r}(q, p) \circ Q(p, q) \subseteq \mathfrak{r}_p$. These inclusions hold for $p \neq q$ by (‡). For p = q, the inclusions read $Q(q, q) \circ \mathfrak{r}_q \subseteq \mathfrak{r}_q$ and $\mathfrak{r}_q \circ Q(q, q) \subseteq \mathfrak{r}_q$. By using the equality $Q(q, q) = (\Bbbk \cdot id_q) \oplus \mathfrak{r}_q$ both inclusions become $\Bbbk \cdot \mathfrak{r}_q + (\mathfrak{r}_q \circ \mathfrak{r}_q) \subseteq \mathfrak{r}_q$, and this inclusion holds by (†).

Example 7.8. Assume that k is a field and that each endomorphism k-algebra Q(q, q) is local with Jacobson radical $\mathbf{r}_q := \operatorname{rad} Q(q, q)$. With this choice of $\mathbf{r}_q (q \in Q)$, the requirements in the Strong Retraction Property are met and the ideal \mathbf{r} defined in Lemma 7.7 is precisely the *radical*, rad_Q , of the category Q in the sense of Kelly [31]. See, for example, Assem, Simson, and Skowroński [4, Appendix A.3, Proposition 3.5] for details. In more general situations, \mathbf{r} need not be the radical of Q, however, our terminology *pseudo-radical* for the ideal \mathbf{r} is inspired by the situation just mentioned.

Definition 7.9. Assume that *Q* satisfies condition (4^{*}) in Definition 7.3. The *stalk functors* (this name is explained by Lemma 7.10) at an object $q \in Q$ are defined to be

$$S\langle q \rangle = Q(q, -)/\mathfrak{r}(q, -) \in O \operatorname{Mod}$$
 and $S\{q\} = Q(-, q)/\mathfrak{r}(-, q) \in \operatorname{Mod}_O$

Note that these stalk functors generalize the functors introduced in [27, Setup 3.1].

Lemma 7.10. Assume that Q satisfies condition (4^*) in Definition 7.3. Let $q \in Q$ be given. For every object $p \in Q$, one has

$$S\langle q\rangle(p) = \begin{cases} \mathbb{k} & \text{if } p = q \\ 0 & \text{if } p \neq q \end{cases}$$

For every morphism f in Q, one has

$$S\langle q\rangle(f) = \begin{cases} x \cdot \mathrm{id}_{\Bbbk} & \text{if } f = x \cdot \mathrm{id}_q + g \in (\Bbbk \cdot \mathrm{id}_q) \oplus \mathfrak{r}_q = Q(q,q) \\ 0 & \text{otherwise} . \end{cases}$$

The (contravariant) functor $S{q}$ can be described similarly.

Proof. The assertions follow from the definition, 7.9, of the stalk functors. Note that one has $S(q)(q) = Q(q,q)/\mathfrak{r}_q \cong \Bbbk$ by the \Bbbk -module decomposition $Q(q,q) = (\Bbbk \cdot \mathrm{id}_q) \oplus \mathfrak{r}_q$.

The stalk functors allow us to define a notion of (co)homology for objects in $_{Q,A}$ Mod.

Definition 7.11. Assume that *Q* satisfies condition (4^{*}) in Definition 7.3. Let $X \in _{Q,A}$ Mod. For $q \in Q$ and $i \ge 0$, we define the *i*th (*co*)*homology* of *X* at *q* as follows:

$$\mathbb{H}^{i}_{[q]}(X) = \operatorname{Ext}^{i}_{Q}(S\langle q \rangle, X) \quad \text{and} \quad \mathbb{H}^{[q]}_{i}(X) = \operatorname{Tor}^{Q}_{i}(S\{q\}, X) + C_{i}(X)$$

Note that $\mathbb{H}_{[q]}^{i}$ and $\mathbb{H}_{i}^{[q]}$ are functors $_{Q,A}$ Mod $\rightarrow _{A}$ Mod.

Remark 7.12. The Ext and Tor functors in the definition above are the right and left derived functors of Hom_Q and \bigotimes_Q from (#2) and (#3), and the functors $\mathbb{H}^*_{[q]} = \operatorname{Ext}^*_Q(S\langle q \rangle, -)$ and $\mathbb{H}^{[q]}_* = \operatorname{Tor}^Q_*(S\{q\}, -)$ are computed via projective resolutions of $S\langle q \rangle \in Q$ Mod and $S\{q\} \in \operatorname{Mod}_Q$. Note that we can also consider Definition 7.11 in the special case where $A = \Bbbk$. It follows that for $X \in Q \setminus M$ Mod, one has

$$\mathbb{H}^{i}_{[q]}(X)^{\natural} = \operatorname{Ext}^{i}_{Q}(S\langle q \rangle, X)^{\natural} = \operatorname{Ext}^{i}_{Q}(S\langle q \rangle, X^{\natural}) = \mathbb{H}^{i}_{[q]}(X^{\natural}),$$

and similarly, $\mathbb{H}_{i}^{[q]}(X)^{\natural} = \mathbb{H}_{i}^{[q]}(X^{\natural})$. So (co)homology commutes with the forgetful functor.

Setup 7.13. Throughout this section, we assume without further mention that the k-pre-additive category *Q* satisfies the Strong Retraction Property (condition (4^{*}) in Definition 7.3) such that the pseudo-radical \mathfrak{r} (Lemma 7.7), the stalk functors $S\langle q \rangle$, $S\{q\}$ (Definition 7.9), and the (co)homology functors $\mathbb{H}_{[q]}^{i}$, $\mathbb{H}_{i}^{[q]}$ (Definition 7.11) are defined. If further conditions (for example, the ones from Setup 2.5) need to be imposed on *Q*, this will be explicitly mentioned.

Recall the functors F_q and G_q from Corollary 3.9. The following result provides examples of objects in $_{O,A}$ Mod with trivial (co)homology.

Lemma 7.14. Assume that the category Q satisfies condition (1) in Setup 2.5. For every $p \in Q$ and $M \in A$ Mod, one has:

- (a) $\mathbb{H}_{i}^{[q]}(F_{p}(M)) = 0$ for every $q \in Q$ and i > 0; (b) $\mathbb{H}_{[a]}^{i}(G_{p}(M)) = 0$ for every $q \in Q$ and i > 0.

Proof.

(a) Let $P_{\bullet} = \cdots \rightarrow P_1 \rightarrow P_0 \rightarrow 0$ be a projective of resolution of $S\{q\}$ in $_O$ Mod. By Definition 7.11, the homology of the complex $P_{\bullet} \otimes_Q F_p(M)$ computes $\mathbb{H}^{[q]}_*(F_p(M))$. In the following computation, the first isomorphism holds by the definition of the functor F_n , the middle isomorphism follows from Lemma 3.7, and the last isomorphism is established in the proof of Corollary 3.9:

$$P_{\bullet} \otimes_{O} F_{p}(M) \cong P_{\bullet} \otimes_{O} (Q(p, -) \otimes_{\Bbbk} M) \cong (P_{\bullet} \otimes_{O} Q(p, -)) \otimes_{\Bbbk} M \cong P_{\bullet}(p) \otimes_{\Bbbk} M.$$

The evaluation functor E_p is exact. Its right adjoint G_p is also exact by Corollary 3.9(b), as Q satisfies condition (1) in Setup 2.5, so E_p preserves projective objects. Hence the complex $E_p(P_*) = P_*(p)$ is a projective resolution of the k-module $E_p(S\{q\}) = S\{q\}(p)$. But this k-module is projective, as it is either k or 0 by Lemma 7.10, and thus the complex $P_{\bullet}(p)$ is homotopy equivalent to either k or 0. Thus, the complex $P_{\bullet}(p) \otimes_{k} M$ is homotopy equivalent to either M or 0, in particular, it has zero homology in all degrees i > 0.

(b) Dual to the proof of part (a).

Proposition 7.15. For every $q \in Q$, there is an adjoint triple (C_q, S_q, K_q) as follows:



Proof. Apply Proposition 3.8 with $U = S\langle q \rangle$ and $W = S\{q\}$. As $S_q = S\langle q \rangle \otimes_{\mathbb{K}} -$, its right adjoint is Hom₀($S\langle q \rangle$, -). From the descriptions of $S\langle q \rangle$ and $S\{q\}$ in Lemma 7.10, we see that S_q is also given by $S_q \cong \operatorname{Hom}_{\Bbbk}(S\{q\}, -)$, so its left adjoint is $S\{q\} \otimes_Q -$. П

Note that $\mathbb{H}_{[q]}^i$ is the *i*th right derived functor of K_q and $\mathbb{H}_i^{[q]}$ is the *i*th left derived functor of C_q ; see Definition 7.11. We wish to give more hands-on descriptions of the functors K_q and C_q , and to this end, we first find concrete projective presentations of $S\langle q \rangle$ and $S\{q\}$.

Definition 7.16. For each $q \in Q$, we define sets of morphisms in Q as follows:

$$J_q = \bigcup_{r \in O} \mathfrak{r}(q, r)$$
 and $I_q = \bigcup_{p \in O} \mathfrak{r}(p, q)$

So J_q , respectively, I_q , contains all morphisms in \mathfrak{r} with domain, respectively, codomain, q.

 \Box

Lemma 7.17. For every $q \in Q$, there are two exact sequences:

$$\bigoplus_{g \in J_q} Q(\operatorname{cod} g, -) \xrightarrow{\psi_q} Q(q, -) \longrightarrow S(q) \longrightarrow 0 \quad \text{in } Q \operatorname{Mod}$$
(\$

$$\bigoplus_{f \in I_q} Q(-, \operatorname{dom} f) \xrightarrow{\varphi_q} Q(-, q) \longrightarrow S[q] \longrightarrow 0 \quad \text{in } \operatorname{Mod}_Q. \tag{$\$8$}$$

Here ψ_q is the unique morphism in $_Q$ Mod given by Q(g, -) on the component corresponding to $g \in J_q$ and φ_q is the unique morphism in Mod $_Q$ given by Q(-, f) on the component corresponding to $f \in I_q$.

Proof. We only show exactness of the sequence (\sharp 7) as exactness of (\sharp 8) is proved similarly. By the definition, 7.9, of $S\langle q \rangle$ we must argue that one has Im $\psi_q = \mathfrak{r}(q, -)$, and by the definition of ψ_q this is equivalent to proving that for every $r \in Q$, one has

$$\sum_{g \in J_a} \operatorname{Im} Q(g, r) = \mathfrak{r}(q, r) . \tag{$\$9$}$$

'⊆': For every $g \in J_q$, one has Im $Q(g, r) \subseteq \mathfrak{r}(q, r)$. Indeed, for any $h \in Q(\operatorname{cod} g, r)$, the morphism Q(g, r)(h) = hg is in $\mathfrak{r}(q, r)$ as g belongs to $\mathfrak{r}(q, \operatorname{cod} g)$ and \mathfrak{r} is an ideal.

'⊇': If $h \in \mathfrak{r}(q, r)$, then h is in J_q and hence Im Q(h, r) is contained in the left-hand side of (\sharp 9). Clearly, h is in the image of Q(h, r): $Q(r, r) \rightarrow Q(q, r)$ as $Q(h, r)(\mathrm{id}_r) = h$.

We now give some explicit formulae for the functors K_q and C_q from Proposition 7.15.

Proposition 7.18. For every $q \in Q$ and $X \in {}_{O,A}$ Mod, there are isomorphisms:

$$K_q(X) \cong \operatorname{Ker}\left(X(q) \xrightarrow{\Psi_q^X} \prod_{g \in J_q} X(\operatorname{cod} g)\right) = \bigcap_{g \in J_q} \operatorname{Ker} X(g)$$
(\$10)

$$C_q(X) \cong \operatorname{Cok}\left(\bigoplus_{f \in I_q} X(\operatorname{dom} f) \xrightarrow{\Phi_q^X} X(q)\right) = X(q) / \left(\sum_{f \in I_q} \operatorname{Im} X(f)\right).$$
(\$11)

Here Ψ_q^X is the unique morphism whose coordinate map corresponding to $g \in J_q$ is X(g), and Φ_q^X is the unique morphism given by X(f) on the component corresponding to $f \in I_q$.

Proof. The last equalities in (\sharp 10) and (\sharp 11) are evident from the definitions of Ψ_q^X and Φ_q^X . To show the first isomorphism in (\sharp 10), apply the left exact functor Hom_Q(?, *X*) to the exact sequence (\sharp 7) to obtain the exact sequence

$$0 \longrightarrow \operatorname{Hom}_{Q}(S(q), X) \longrightarrow \operatorname{Hom}_{Q}(Q(q, -), X) \xrightarrow{\operatorname{Hom}_{Q}(\psi_{q}, X)} \operatorname{Hom}_{Q}(\bigoplus_{g \in J_{q}} Q(\operatorname{cod} g, -), X) .$$

The functor $\text{Hom}_Q(?, X)$ converts coproducts to products. Furthermore, for every $p \in Q$, one has $\text{Hom}_Q(Q(p, -), X) \cong X(p)$ by Yoneda's lemma. In view of this and the definition, 7.15, of the functor K_q , it follows that the sequence above is isomorphic to

$$0 \longrightarrow K_q(X) \longrightarrow X(q) \xrightarrow{\Psi_q^X} \prod_{g \in J_q} X(\operatorname{cod} g) \; .$$

Thus one has $K_q(X) \cong \operatorname{Ker} \Psi_q^X$, as claimed. Similarly, by applying the right exact functor ? $\otimes_Q X$, which preserves coproducts, to the exact sequence (\sharp 8) and using the isomorphism $Q(-, p) \otimes_Q X \cong X(p)$ (see the proof of Corollary 3.9), it follows that $C_q(X) \cong \operatorname{Cok} \Phi_q^X$.

Next we give a useful criterion to check if an object in $_{O,A}$ Mod is zero.

Proposition 7.19. Assume that the pseudo-radical \mathfrak{r} is nilpotent, that is, $\mathfrak{r}^N = 0$ for some $N \in \mathbb{N}$. For every $X \in _{O,A}$ Mod, the following conditions are equivalent.

- (i) X = 0, that is, X(q) = 0 for every $q \in Q$.
- (ii) $K_q(X) = 0$ for every $q \in Q$.
- (iii) $C_q(X) = 0$ for every $q \in Q$.

Proof. As $K_q(X)$ is a submodule and $C_q(X)$ is a quotient module of X(q), see Proposition 7.18, it is clear that (i) implies both (ii) and (iii). We now show that (ii) \Rightarrow (i); the proof of (iii) \Rightarrow (i) is similar. Assume (ii) and suppose for contradiction that $X \neq 0$. Choose any $q_1 \in Q$ with $X(q_1) \neq$ 0. Since $K_{q_1}(X) = 0$, we have $X(q_1) \notin K_{q_1}(X) = \bigcap_{g \in J_{q_1}} \operatorname{Ker} X(g)$, so there exists some morphism $g_1 : q_1 \to q_2$ in \mathfrak{r} for which $X(q_1) \notin \operatorname{Ker} X(g_1)$. This means that the map $X(g_1) : X(q_1) \to$ $X(q_2)$ is non-zero. Since $0 \neq \operatorname{Im} X(g_1) \subseteq X(q_2)$ and $K_{q_2}(X) = 0$ we have $\operatorname{Im} X(g_1) \notin K_{q_2}(X) =$ $\bigcap_{g \in J_{q_2}} \operatorname{Ker} X(g)$, so there exists some $g_2 : q_2 \to q_3$ in \mathfrak{r} for which $\operatorname{Im} X(g_1) \notin \operatorname{Ker} X(g_2)$. This means that $X(g_2)X(g_1) = X(g_2g_1)$ is non-zero. By continuing in this manner, we obtain a sequence of morphisms,

$$q_1 \xrightarrow{g_1} q_2 \xrightarrow{g_2} q_3 \xrightarrow{g_3} \cdots$$

where each g_i is in \mathfrak{r} and $X(g_1), X(g_2g_1), X(g_3g_2g_1), \dots$ are all non-zero. But $g_N \cdots g_2g_1$ is in $\mathfrak{r}^N = 0$; in particular, $X(g_N \cdots g_2g_1) = 0$, which is a contradiction.

Proposition 7.20. For every $X \in {}_{O,A}$ Mod, the following assertions hold.

(a) There is a short exact sequence in $_{O,A}$ Mod,

$$0 \longrightarrow \bigoplus_{q \in Q} S_q K_q(X) \longrightarrow X \longrightarrow X' \longrightarrow 0$$

Now assume that the next conditions are satisfied.

- Each hom \Bbbk -module Q(q, r) is finitely generated.
- For every $q \in Q$, the set $N_+(q) = \{r \in Q \mid Q(q, r) \neq 0\}$ is finite.
- *G* is a class of left *A*-modules closed under extensions and submodules.
- If $X(q) \in \mathcal{G}$ for every $q \in Q$, then $K_q(X), X'(q) \in \mathcal{G}$ for every $q \in Q$.
- (b) There is a short exact sequence in $_{O,A}$ Mod,

$$0 \longrightarrow X'' \longrightarrow X \longrightarrow \prod_{q \in Q} S_q C_q(X) \longrightarrow 0$$

Now assume that the next conditions are satisfied.

- Each hom \Bbbk -module Q(p,q) is finitely generated.
- For every $q \in Q$, the set $N_{-}(q) = \{p \in Q \mid Q(p,q) \neq 0\}$ is finite.
- H is a class of left A-modules closed under extensions and quotient modules.

If $X(q) \in \mathcal{H}$ for every $q \in Q$, then $C_q(X), X''(q) \in \mathcal{H}$ for every $q \in Q$.

Proof.

(a) For $q \in Q$, we consider the counit $\eta_q^X : S_q K_q(X) \to X$ of the adjunction (S_q, K_q) from Proposition 7.15. By the universal property of the coproduct, we get an induced morphism $\eta^X : \bigoplus_{q \in Q} S_q K_q(X) \to X$ whose cokernel we denote by $X' = \operatorname{Cok} \eta^X$. To establish the asserted short exact sequence, we need to show that η^X is monic. For $p \in Q$, we have

$$S_q K_q(X)(p) = S\langle q \rangle(p) \otimes_{\mathbb{k}} K_q(X) = \begin{cases} K_p(X) & \text{if } p = q \\ 0 & \text{if } p \neq q \end{cases}$$

by the definitions of S_q and $S\langle q \rangle$; see Proposition 7.15 and Lemma 7.10. Hence $\eta^X(p)$ is the canonical map $K_p(X) \to X(p)$, which is monic by Proposition 7.18. Thus, η^X is monic.

Next assume that the three conditions (marked with bullets in the Proposition) are satisfied and that $X(q) \in \mathcal{G}$ for every $q \in Q$.

Proposition 7.18 shows that $K_q(X)$ is a submodule of X(q). Since one has $X(q) \in \mathcal{G}$ and \mathcal{G} is closed under submodules, it follows that $K_q(X) \in \mathcal{G}$.

To prove $X'(q) \in G$ for every $q \in Q$, we argue as follows. Fix $q \in Q$. The set $N_+(q)$ is finite, say, $N_+(q) = \{r_1, \dots, r_m\}$. In particular, $\mathfrak{r}(q, r) = 0$ if $r \notin \{r_1, \dots, r_m\}$. Thus, from the definition, 7.16, of the set J_q and the formula for $K_q(X)$ given in Proposition 7.18, we get

$$K_q(X) = \bigcap_{g \in J_q} \operatorname{Ker} X(g) = \left(\bigcap_{g \in \mathfrak{r}(q,r_1)} \operatorname{Ker} X(g)\right) \cap \dots \cap \left(\bigcap_{g \in \mathfrak{r}(q,r_m)} \operatorname{Ker} X(g)\right). \quad (\sharp 12)$$

Each k-module $Q(q, r_i)$ is finitely generated. Since $\mathfrak{r}(q, r_i)$ is a direct summand in $Q(q, r_i)$, see Lemma 7.7 and condition (4*) in Definition 7.3, it follows that $\mathfrak{r}(q, r_i)$ is finitely generated as well, say, $\mathfrak{r}(q, r_i) = kg_{i1} + \cdots + kg_{i\ell(i)}$. Evidently, one now has

$$\bigcap_{g \in \mathfrak{r}(q,r_i)} \operatorname{Ker} X(g) = \operatorname{Ker} X(g_{i1}) \cap \dots \cap \operatorname{Ker} X(g_{i\ell(i)}).$$
(\$13)

Combining (#12) and (#13), we see that there exist finitely many morphisms $g_j : q \to \operatorname{cod} g_j$, j = 1, ..., n, in the ideal \mathfrak{r} such that $K_q(X) = \operatorname{Ker} X(g_1) \cap ... \cap \operatorname{Ker} X(g_n)$, and hence

$$X'(q) = X(q)/K_q(X) = X(q)/(\operatorname{Ker} X(g_1) \cap \dots \cap \operatorname{Ker} X(g_n)) +$$

where the first equality follows from the definition of X'; cf. the first part of the proof. To finish the proof, we argue that given finitely many morphisms $g_j : q \to \operatorname{cod} g_j, j = 1, ..., n$, in Q (they need not belong to the ideal \mathfrak{r}), the module

$$X(q) / (\operatorname{Ker} X(g_1) \cap \dots \cap \operatorname{Ker} X(g_n))$$
(#14)

belongs to \mathcal{G} . We use induction on n. For n = 0, the intersection $\operatorname{Ker} X(g_1) \cap ... \cap \operatorname{Ker} X(g_n)$ is taken over the empty set, so the module in ($\sharp 14$) is the zero module, which is in \mathcal{G} .

Next let n > 0 and set $L = \text{Ker } X(g_1) \cap ... \cap \text{Ker } X(g_{n-1})$. By the induction hypothesis, we have $X(q)/L \in \mathcal{G}$. We must show that $X(q)/(L \cap \text{Ker } X(g_n))$ belongs to \mathcal{G} . To this end, consider the short exact sequence

$$0 \longrightarrow \frac{\operatorname{Ker} X(g_n)}{L \cap \operatorname{Ker} X(g_n)} \longrightarrow \frac{X(q)}{L \cap \operatorname{Ker} X(g_n)} \longrightarrow \frac{X(q)}{\operatorname{Ker} X(g_n)} \longrightarrow 0.$$
(\$15)

The module $X(q)/\operatorname{Ker} X(g_n)$ is isomorphic to the submodule $\operatorname{Im} X(g_n)$ of $X(\operatorname{cod} g_n)$. Since $X(\operatorname{cod} g_n) \in \mathcal{G}$ and \mathcal{G} is closed under submodules, it follows that $X(q)/\operatorname{Ker} X(g_n) \in \mathcal{G}$.

Noether's second isomorphism theorem shows that $\operatorname{Ker} X(g_n)/(L \cap \operatorname{Ker} X(g_n))$ is isomorphic to $(L + \operatorname{Ker} X(g_n))/L$, which is a submodule of X(q)/L. Since X(q)/L belongs to \mathcal{G} , so does $\operatorname{Ker} X(g_n)/(L \cap \operatorname{Ker} X(g_n))$. Consequently, the short exact sequence ($\sharp 15$) shows that $X(q)/(L \cap \operatorname{Ker} X(g_n))$ is an extension of modules from \mathcal{G} , and as \mathcal{G} is closed under extensions, we get $X(q)/(L \cap \operatorname{Ker} X(g_n)) \in \mathcal{G}$.

(b) Dual to the proof of part (a).

We will apply Proposition 7.20 successively in the following construction.

Construction 7.21. Let $X \in {}_{O,A}$ Mod be given.

(a) Define $X^0, X^1, X^2, ...$ in $_{Q,A}$ Mod as follows. Set $X^0 = X$. Having defined X^ℓ , let $X^{\ell+1}$ be the third term in the next short exact sequence coming from Proposition 7.20(a):

$$0 \longrightarrow \bigoplus_{q \in Q} S_q K_q(X^{\ell}) \longrightarrow X^{\ell} \longrightarrow X^{\ell+1} \longrightarrow 0$$

(b) Define $X_0, X_1, X_2, ...$ in $_{Q,A}$ Mod as follows. Set $X_0 = X$. Having defined X_{ℓ} , let $X_{\ell+1}$ be the first term in the next short exact sequence coming from Proposition 7.20(b):

$$0 \longrightarrow X_{\ell+1} \longrightarrow X_{\ell} \longrightarrow \prod_{q \in Q} S_q C_q(X_{\ell}) \longrightarrow 0$$

Note that in (a) we use superscripts but in (b) we use subscripts on the constructed objects.

Consider the sequence of objects $X^0, X^1, X^2, ...$ from part (a) in the construction above. For each $q \in Q$ and $\ell \ge 0$, we can consider the modules $X^{\ell}(q)$ and $K_q(X^{\ell})$. Below we show how these modules can be computed directly from $X^0 = X$ (and from q and ℓ).

Definition 7.22. For $\ell \ge 0$, let \mathfrak{r}^{ℓ} be the ℓ th power of the pseudo-radical ideal \mathfrak{r} . We set

$$J_q^{\ell} = \bigcup_{r \in Q} \mathfrak{r}^{\ell}(q, r)$$
 and $I_q^{\ell} = \bigcup_{p \in Q} \mathfrak{r}^{\ell}(p, q)$.

For $X \in {}_{Q,A}$ Mod, we also set

$$K_q^{\ell}(X) = \bigcap_{g \in J_q^{\ell}} \operatorname{Ker} X(g)$$
 and $C_q^{\ell}(X) = X(q) / \left(\sum_{f \in I_q^{\ell}} \operatorname{Im} X(f) \right)$.

Remark 7.23. For the sets J_a^{ℓ} and the functors K_q^{ℓ} , we observe the following:

- $J^0_q \supseteq J^1_q \supseteq J^2_q \supseteq \cdots$ and hence $K^0_q(X) \to K^1_q(X) \to K^2_q(X) \to \cdots \to X(q)$.
- As $\mathbf{r}^0(-, -) = Q(-, -)$, we have $\mathrm{id}_q \in J_q^0$ and hence $K_q^0 = 0$.
- $J_q^1 = J_q$ (see Definition 7.16) and $K_q^1 = K_q$ (see Proposition 7.18).

Dually, for the sets I_q^{ℓ} and the functors C_q^{ℓ} , one has:

- $I_q^0 \supseteq I_q^1 \supseteq I_q^2 \supseteq \cdots$ and hence $X(q) \twoheadrightarrow \cdots \twoheadrightarrow C_q^2(X) \twoheadrightarrow C_q^1(X) \twoheadrightarrow C_q^0(X);$
- $\hat{C}_{q}^{0} = \hat{0};$
- $I_q^1 = I_q$ and $C_q^1 = C_q$.

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Lemma 7.24. Adopt the notation from Construction 7.21. For all $q \in Q$ and $\ell \ge 0$, one has:

(a)
$$X^{\ell}(q) = \operatorname{Cok}(K_q^{\ell}(X) \to X(q))$$
 and $K_q(X^{\ell}) = \operatorname{Cok}(K_q^{\ell}(X) \to K_q^{\ell+1}(X));$
(b) $X_{\ell}(q) = \operatorname{Ker}(X(q) \twoheadrightarrow C_q^{\ell}(X))$ and $C_q(X_{\ell}) = \operatorname{Ker}(C_q^{\ell+1}(X) \twoheadrightarrow C_q^{\ell}(X)).$

Proof.

(a) By induction on ℓ . As $X^0 = X$, $K^0_q = 0$, and $K^1_q = K_q$, see Remark 7.23, the formulae hold for $\ell = 0$. Assume that they hold for some ℓ . In the next commutative diagram, the upper row is exact by the induction hypothesis $K_q(X^{\ell}) = \operatorname{Cok}(K^{\ell}_q(X) \rightarrow K^{\ell+1}_q(X))$.

Applying the Snake Lemma and the induction hypothesis $X^{\ell}(q) = \operatorname{Cok}(K_q^{\ell}(X) \rightarrow X(q))$ to this diagram, we get the short exact sequence

$$0 \longrightarrow K_q(X^{\ell}) \longrightarrow X^{\ell}(q) \longrightarrow \operatorname{Cok}(K_q^{\ell+1}(X) \rightarrowtail X(q)) \longrightarrow 0.$$

By definition of $X^{\ell+1}$ (see also the first part of the proof of Proposition 7.20), the module $X^{\ell+1}(q)$ is precisely the cokernel of the homomorphism $K_q(X^{\ell}) \rightarrow X^{\ell}(q)$, so we conclude that $X^{\ell+1}(q) = \operatorname{Cok}(K_q^{\ell+1}(X) \rightarrow X(q))$. Hence, the first of the asserted formulae hold for $\ell + 1$. For a morphism $g: q \rightarrow \operatorname{cod} g$ in Q the homomorphism

$$X(q) / K_q^{\ell+1}(X) = X^{\ell+1}(q) \xrightarrow{X^{\ell+1}(g)} X^{\ell+1}(\operatorname{cod} g) = X(\operatorname{cod} g) / K_{\operatorname{cod} g}^{\ell+1}(X)$$

is induced by X(g): $X(q) \rightarrow X(\operatorname{cod} g)$. Consequently, there is an equality

$$\operatorname{Ker} X^{\ell+1}(g) = \left(X(g)^{-1} \left(K_{\operatorname{cod} g}^{\ell+1}(X) \right) \right) / K_q^{\ell+1}(X) \, .$$

It follows that

$$K_q(X^{\ell+1}) = \bigcap_{g \in J_q} \operatorname{Ker} X^{\ell+1}(g) = \Big(\bigcap_{g \in J_q} X(g)^{-1} \Big(K_{\operatorname{cod}g}^{\ell+1}(X) \Big) \Big) / K_q^{\ell+1}(X) \, .$$

To finish the proof, we must show that the numerator in the last expression above is equal to $K_a^{\ell+2}(X)$. To this end, consider the following computation:

$$\begin{split} \bigcap_{g \in J_q} X(g)^{-1} \Big(K_{\operatorname{cod}g}^{\ell+1}(X) \Big) &= \bigcap_{g \in J_q} X(g)^{-1} \bigg(\bigcap_{h \in J_{\operatorname{cod}g}^{\ell+1}} \operatorname{Ker} X(h) \bigg) \\ &= \bigcap_{g \in J_q} \bigcap_{h \in J_{\operatorname{cod}g}^{\ell+1}} X(g)^{-1} (\operatorname{Ker} X(h)) \\ &= \bigcap_{g \in J_q} \bigcap_{h \in J_{\operatorname{cod}g}^{\ell+1}} \operatorname{Ker} X(hg) =: M \,. \end{split}$$

For $g \in J_q$ and $h \in J_{\operatorname{cod} g}^{\ell+1}$, we have by definition $g \in \mathfrak{r}(q, \operatorname{cod} g)$ and $h \in \mathfrak{r}^{\ell+1}(\operatorname{cod} g, \operatorname{cod} h)$ and thus $hg \in \mathfrak{r}^{\ell+2}(q, \operatorname{cod} h)$, that is, $hg \in J_q^{\ell+2}$. Hence there is an inclusion,

$$M \supseteq \bigcap_{k \in J_q^{\ell+2}} \operatorname{Ker} X(k) = K_q^{\ell+2}(X).$$
(\$16)

On the other hand, every morphism $k \in J_q^{\ell+2}$ belongs to $\mathfrak{r}^{\ell+2}(q,r)$ for some $r \in Q$. By definition of the ideal $\mathfrak{r}^{\ell+2}$, the morphism k is therefore a k-linear combination of morphisms of the form $g_{\ell+2} \cdots g_2 g_1$, that is, compositions,

$$q \xrightarrow{g_1} p_1 \xrightarrow{g_2} p_2 \longrightarrow \cdots \longrightarrow p_{\ell+1} \xrightarrow{g_{\ell+2}} r$$
,

where each morphism g_i is in \mathfrak{r} . With $h = g_{\ell+2} \cdots g_2$ and $g = g_1$, we have $g_{\ell+2} \cdots g_2 g_1 = hg$ where $g \in J_q$ and $h \in J_{p_1}^{\ell+1} = J_{codg}^{\ell+1}$. Therefore, k is a k-linear combination of morphisms of the form hg where $g \in J_q$ and $h \in J_{codg}^{\ell+1}$. Thus, equality holds in (#16), as desired. \Box

(b) Dual to the proof of part (a).

Theorem 7.25. Assume that the following hold.

- Q satisfies condition (1)–(3) in Setup 2.5 and condition (4*) in Definition 7.3.
- The pseudo-radical \mathfrak{r} is nilpotent, that is, $\mathfrak{r}^N = 0$ for some $N \in \mathbb{N}$.
- The ring \Bbbk is 1-Gorenstein, that is, \Bbbk is Noetherian and $id_{\Bbbk} \& \leq 1$.
- The k-algebra A has finite projective/injective dimension as a k-module.

For every $X \in {}_{O,A}$ Mod the following conditions are equivalent.

(i) $X \in \mathscr{E}$ (see Definition 4.1).

(ii) $\operatorname{Ext}_{O}^{i}(S_{q}(G), X^{\natural}) = 0$ for every $G \in {}_{\Bbbk} \operatorname{GPrj}, q \in Q$, and i > 0.

- (ii') $\operatorname{Ext}_{O}^{1}(S_{q}(G), X^{\natural}) = 0$ for every $G \in {}_{\Bbbk} \operatorname{GPrj} and q \in Q$.
- (iii) $\operatorname{Ext}_{O}^{i}(X^{\natural}, S_{q}(H)) = 0$ for every $H \in {}_{\Bbbk} \operatorname{GInj}, q \in Q$, and i > 0.
- (iii') $\operatorname{Ext}_{O}^{1}(X^{\natural}, S_{q}(H)) = 0$ for every $H \in {}_{\Bbbk} \operatorname{GInj} and q \in Q$.

Proof. Let \mathcal{Y} be the class of $Y \in \mathcal{O}$ Mod for which the k-module Y(q) is Gorenstein projective for every $q \in Q$. By our assumptions and Theorem 2.7, we have $\mathcal{Y} = {}_{Q} \operatorname{GPrj}$, so $(\mathcal{Y}, {}_{Q}\mathcal{L})$ is a hereditary cotorsion pair in $_{O}$ Mod by Theorem 2.4 / Remark 2.6 and Theorem 2.2. We now prove the equivalence between (i), (ii), and (ii') in the theorem.

(i) \Rightarrow (ii): If $X \in \mathscr{E}$ then, by Definition 4.1, one has $X^{\natural} \in {}_{O}\mathcal{L}$. As $(\mathcal{Y}, {}_{O}\mathcal{L})$ is a hereditary cotorsion pair, this means that $\operatorname{Ext}_{O}^{i}(Y, X^{\natural}) = 0$ for every $Y \in \mathcal{Y}$ and i > 0. Since $S_{q}(G) \in \mathcal{Y}$ for every $G \in \mathcal{Y}$ K GPrj, we conclude that (ii) holds.

(ii) \Rightarrow (ii'): This implication is trivial.

(ii') \Rightarrow (i): Since $(\mathcal{Y}, \mathcal{OL})$ is a cotorsion pair, we know that $\mathcal{Y}^{\perp} = \mathcal{OL}$. The assumption in (ii') is that X^{\natural} is in $\{S_q(G) \mid G \in \mathbb{K} \text{ GPrj}\}^{\perp}$. We need to show $X \in \mathcal{E}$, that is, $X^{\natural} \in {}_{O}\mathcal{L}$, so the desired implication follows if we can prove the next inclusion in O Mod:

$$\{S_a(G) \mid G \in \mathsf{k} \operatorname{GPrj}\}^{\perp} \subseteq \mathcal{Y}^{\perp} . \tag{\sharp}17$$

To this end, consider any $Y \in \mathcal{Y}$. Applying Construction 7.21(a) (with $A = \Bbbk$) to this object, we get objects $Y^0, Y^1, Y^2, ...$ with $Y^0 = Y$ and short exact sequences in $_O$ Mod,

$$(0) \qquad 0 \longrightarrow \bigoplus_{q \in Q} S_q K_q(Y^0) \longrightarrow Y^0 \longrightarrow Y^1 \longrightarrow 0$$

$$(1) \qquad 0 \longrightarrow \bigoplus_{q \in Q} S_q K_q(Y^1) \longrightarrow Y^1 \longrightarrow Y^2 \longrightarrow 0$$

$$\vdots \qquad \vdots \qquad \vdots \qquad \vdots$$

As k is 1-Gorenstein, the class $\mathcal{G} = {}_{\Bbbk}$ GPrj is closed under submodules. Indeed, by [14, Theorem 10.2.14], every k-module has Gorenstein projective dimension ≤ 1 , so the claim follows from [25, Theorem 2.20]. The class $\mathcal{G} = {}_{\Bbbk}$ GPrj is always closed under extensions by [25, Theorem 2.5]. By assumption, $Y(q) \in {}_{\Bbbk}$ GPrj for every $q \in Q$, so it follows from successive applications of Proposition 7.20(a) that $K_q(Y^{\ell}), Y^{\ell}(q) \in {}_{\Bbbk}$ GPrj for every $q \in Q$ and $\ell \geq 0$. In particular, every $S_qK_q(Y^{\ell})$ belongs to the class { $S_q(G) | G \in {}_{\Bbbk}$ GPrj }.

Consider the sets J_q^{ℓ} and the functors K_q^{ℓ} from Definition 7.22. As $\mathfrak{r}^N = 0$, it follows that for every $\ell \ge N$ the set J_q^{ℓ} consists of all zero morphisms in Q with domain q, and therefore $K_q^{\ell}(Y) = Y(q)$. Thus, Lemma 7.24(a) yields that for every $q \in Q$ one has:

$$K_q(Y^N) = \operatorname{Cok}(K_q^N(Y) \rightarrow K_q^{N+1}(Y)) = \operatorname{Cok}(Y(q) \xrightarrow{\operatorname{id}} Y(q)) = 0$$

Now Proposition 7.19 implies $Y^N = 0$ and thus the short exact sequence number (N - 1) in the display above shows that $\bigoplus_{q \in Q} S_q K_q(Y^{N-1}) \cong Y^{N-1}$. Consequently, the short exact sequence number (N - 2) reads

$$(N-2) \qquad 0 \longrightarrow \bigoplus_{q \in Q} \ S_q K_q(Y^{N-2}) \longrightarrow Y^{N-2} \longrightarrow \bigoplus_{q \in Q} \ S_q K_q(Y^{N-1}) \longrightarrow 0 \ .$$

As already mentioned, every $S_q K_q(Y^{\ell})$ belongs to the class $\{S_q(G) \mid G \in \mathbb{K} \text{ GPrj}\}$. Hence, if an object $U \in Q$ Mod belongs to the left-hand side in ($\sharp 17$), then

$$\operatorname{Ext}_Q^1(\bigoplus_{q \in Q} S_q K_q(Y^{\ell}), U) = \prod_{q \in Q} \operatorname{Ext}_Q^1(S_q K_q(Y^{\ell}), U) = 0$$

for all $\ell \ge 0$. Thus the short exact sequence number (N - 2) shows that $\operatorname{Ext}_Q^1(Y^{N-2}, U) = 0$. Then the short exact sequence number (N - 3) shows that $\operatorname{Ext}_Q^1(Y^{N-3}, U) = 0$. Continuing in this way, we arrive at the conclusion that $\operatorname{Ext}_Q^1(Y^0, U) = 0$; and since $Y^0 = Y$, which was an arbitrary object in \mathcal{Y} , we get $U \in \mathcal{Y}^{\perp}$. This proves the desired inclusion ($\sharp 17$).

The equivalence between (i), (iii), and (iii') is proved by arguments dual to the ones above, using Propositions 7.19 and 7.20(b), Construction 7.21(b), and Lemma 7.24(b). In this case, one needs to know that the class $\mathcal{H} = {}_{\mathbb{k}}$ GInj is closed under quotient modules. As \mathbb{k} is 1-Gorenstein this follows from [14, Theorem 10.1.13(1)] and [25, Theorem 2.22]. The class $\mathcal{H} = {}_{\mathbb{k}}$ GInj is closed under extensions by [25, Theorem 2.6] (see also [14, Theorem 10.1.4]).

At this point, we are finally ready to prove the main theorems of this section.

Proof of Theorem 7.1. As k is Noetherian and hereditary it is, in particular, 1-Gorenstein and A has projective/injective dimension at most 1 as a k-module. Thus we can apply Theorem 7.25. Furthermore, in this case the class $_{k}$ GPrj (respectively, $_{k}$ GInj) of Gorenstein projective (respectively,

Gorenstein injective) k-modules coincides with the class $_{\Bbbk}$ Prj (respectively, $_{\Bbbk}$ Inj) of projective (respectively, injective) k-modules by [14, Propositions 10.2.3 and 10.1.2]. By Proposition 7.15 and its proof, we have $S_q = S\langle q \rangle \otimes_{\Bbbk} - \cong \text{Hom}_{\Bbbk}(S\{q\}, -)$, and thus conditions (ii), (ii'), (iii), and (iii') in Theorem 7.25 take the form.

- (ii_{*}) $\operatorname{Ext}^{i}_{O}(S\langle q \rangle \otimes_{\Bbbk} P, X^{\natural}) = 0$ for every $P \in_{\Bbbk} \operatorname{Prj}, q \in Q$, and i > 0.
- (ii'_{*}) $\operatorname{Ext}_{Q}^{1}(S\langle q \rangle \otimes_{\Bbbk} P, X^{\natural}) = 0$ for every $P \in_{\Bbbk} \operatorname{Prj}$ and $q \in Q$.
- (iii_{*}) $\operatorname{Ext}_{O}^{i}(X^{\natural}, \operatorname{Hom}_{\Bbbk}(S\{q\}, I)) = 0$ for every $I \in {}_{\Bbbk}\operatorname{Inj}, q \in Q$, and i > 0.
- (iii'_{*}) $\operatorname{Ext}_{O}^{1}(X^{\natural}, \operatorname{Hom}_{\Bbbk}(S\{q\}, I)) = 0$ for every $I \in {}_{\Bbbk}$ Inj and $q \in Q$.

As every projective k-module *P* is a direct summand in a coproduct of copies of k, it follows that, for fixed $q \in Q$ and i > 0, the vanishing of $\operatorname{Ext}_Q^i(S\langle q \rangle \otimes_{\Bbbk} P, X^{\natural})$ for every projective k-module *P* is equivalent to the vanishing of

$$\operatorname{Ext}^{i}_{Q}(S\langle q\rangle \otimes_{\mathbb{k}} {\mathbb{k}}, X^{\natural}) \cong \operatorname{H}^{i}_{[q]}(X^{\natural}) = \operatorname{H}^{i}_{[q]}(X)^{\natural};$$

cf. Definition 7.11 and Remark 7.12. Hence conditions (ii'_*) and (ii'_*) above are equivalent to conditions (ii) and (ii') in the theorem we are proving.

For every injective \Bbbk -module $I, q \in Q$, and i > 0, there are isomorphisms

$$\operatorname{Ext}_{Q}^{i}(X^{\natural},\operatorname{Hom}_{\Bbbk}(S\{q\},I)) \cong \operatorname{Hom}_{\Bbbk}(\operatorname{Tor}_{i}^{Q}(S\{q\},X^{\natural}),I) = \operatorname{Hom}_{\Bbbk}(\mathbb{H}_{i}^{[q]}(X)^{\natural},I),$$

so conditions (iii' $_{*}$) and (iii' $_{*}$) above are equivalent to (iii) and (iii') in this theorem.

Proof of Theorem 7.2. We start by proving the equivalence between (i), (ii), and (ii').

(i) \Rightarrow (ii): Assume that $\varphi : X \to Y$ is a weak equivalence. By Proposition 6.3, there exists a factorization $\varphi = \pi \iota$ where $\iota : X \to Z$ is monic with $\operatorname{Cok} \iota \in _{Q,A}$ Prj and $\pi : Z \twoheadrightarrow Y$ is epic with $\operatorname{Ker} \pi \in \mathscr{E}$. The short exact sequence $0 \to X \xrightarrow{\iota} Z \to \operatorname{Cok} \iota \to 0$ is split exact, and hence so is the sequence

$$0 \longrightarrow \mathbb{H}^{i}_{[q]}(X) \xrightarrow{\mathbb{H}^{i}_{[q]}(\iota)} \mathbb{H}^{i}_{[q]}(Z) \longrightarrow \mathbb{H}^{i}_{[q]}(\operatorname{Cok} \iota) \longrightarrow 0$$

for every $q \in Q$ and i > 0. As $\operatorname{Cok} \iota \in_{Q,A} \operatorname{Prj} \subseteq \mathscr{E}$, we have $\mathbb{H}^{i}_{[q]}(\operatorname{Cok} \iota) = 0$ by Theorem 7.1, so the short exact sequence above shows that $\mathbb{H}^{i}_{[q]}(\iota)$ is an isomorphism. The short exact sequence $0 \to \operatorname{Ker} \pi \to Z \xrightarrow{\pi} Y \to 0$ induces a long exact Ext-sequence,

$$\cdots \longrightarrow \mathbb{H}^{i}_{[q]}(\operatorname{Ker} \pi) \longrightarrow \mathbb{H}^{i}_{[q]}(Z) \xrightarrow{\mathbb{H}^{i}_{[q]}(\pi)} \mathbb{H}^{i}_{[q]}(Y) \longrightarrow \mathbb{H}^{i+1}_{[q]}(\operatorname{Ker} \pi) \longrightarrow \cdots$$

For $q \in Q$ and i > 0, we have $\mathbb{H}^{i}_{[q]}(\operatorname{Ker} \pi) = 0$ by Theorem 7.1 as $\operatorname{Ker} \pi \in \mathscr{E}$, so the long exact sequence shows that $\mathbb{H}^{i}_{[q]}(\pi)$ is an isomorphism. Having proved that $\mathbb{H}^{i}_{[q]}(\iota)$ and $\mathbb{H}^{i}_{[q]}(\pi)$ are isomorphisms, it follows that $\mathbb{H}^{i}_{[q]}(\varphi) = \mathbb{H}^{i}_{[q]}(\pi)\mathbb{H}^{i}_{[q]}(\iota)$ is an isomorphism too.

(ii) \Rightarrow (ii'): This implication is trivial.

(ii') \Rightarrow (i): Let $\varphi : X \to Y$ be a morphism in $_{Q,A}$ Mod such that $\mathbb{H}^i_{[q]}(\varphi)$ is an isomorphism for every $q \in Q$ and i = 1, 2. As the factorization axiom [28, Definition 1.1.3] holds in any model category, φ admits a factorization $\varphi = \pi \iota$ where $\iota : X \to Z$ is a cofibration and $\pi : Z \to Y$ is a trivial

fibration. We will show that ι is a weak equivalence (and hence a trivial cofibration), as this implies that the composite $\varphi = \pi \iota$ is a weak equivalence.

By assumption, $\mathbb{H}_{[q]}^{i}(\varphi)$ is an isomorphism for every $q \in Q$ and i = 1, 2. The already established implication (i) \Rightarrow (ii), applied to the weak equivalence π , yields that $\mathbb{H}_{[q]}^{i}(\pi)$ is an isomorphism for every $q \in Q$ and i > 0. By the identity $\mathbb{H}_{[q]}^{i}(\varphi) = \mathbb{H}_{[q]}^{i}(\pi)\mathbb{H}_{[q]}^{i}(\iota)$, it thus follows that $\mathbb{H}_{[q]}^{i}(\iota)$ is an isomorphism every $q \in Q$ and i = 1, 2. As ι is an monomorphism (the cofibrations in any abelian model structure are, in particular, monomorphisms by [29, Definition 5.1]), it follows from [29, Lemma 5.8] that ι is a weak equivalence if and only if Cok ι belongs to \mathscr{E} , equivalently, $\mathbb{H}_{[q]}^{1}(\operatorname{Cok} \iota) =$ 0 for every $q \in Q$ by Theorem 7.1. To show this, we consider the following part of the long exact Ext-sequence induced by the short exact sequence $0 \to X \xrightarrow{\iota} Y \to \operatorname{Cok} \iota \to 0$,

$$\mathbb{H}^{1}_{[q]}(X) \xrightarrow{\mathbb{H}^{1}_{[q]}(\iota)} \mathbb{H}^{1}_{[q]}(Y) \longrightarrow \mathbb{H}^{1}_{[q]}(\operatorname{Cok} \iota) \longrightarrow \mathbb{H}^{2}_{[q]}(X) \xrightarrow{\mathbb{H}^{2}_{[q]}(\iota)} \mathbb{H}^{2}_{[q]}(Y) .$$

As $\mathbb{H}^{1}_{[a]}(\iota)$ and $\mathbb{H}^{2}_{[a]}(\iota)$ are isomorphisms, we get $\mathbb{H}^{1}_{[a]}(\operatorname{Cok} \iota) = 0$ as desired.

The equivalence between (i), (iii), and (iii') is proved similarly.

This concludes the proofs of the main results, Theorems 7.1 and 7.2, of this section; note that Theorem D in the Introduction is a special case of these results. We end this section with a strengthening of Theorem 7.2 in the special case where the pseudo-radical squared is zero, see Proposition 7.27; we also prove Theorem E from the Introduction, see Theorem 7.29.

It follows from Lemma 7.10 that a necessary condition for $X \in Q$ Mod to be a coproduct of copies of stalk functors $S\langle * \rangle$ is that the functor X takes values in the (sub)category of free k-modules. In some cases, this condition is also sufficient, as the next result shows.

Lemma 7.26. Let $\ell \ge 0$. Assume that the k-module $(\mathfrak{r}^{\ell}/\mathfrak{r}^{\ell+1})(p,q)$ is free for all $p,q \in Q$. Then the following assertions hold.

- (a) For every $p \in Q$, there exists a collection $\{U(q)\}_{q \in Q}$ of index sets and an isomorphism $(\mathfrak{r}^{\ell}/\mathfrak{r}^{\ell+1})(p,-) \cong \bigoplus_{q \in Q} S\langle q \rangle^{(U(q))}$ in $_Q$ Mod.
- (b) For every q ∈ Q, there exists a collection {V(p)}_{p∈Q} of index sets and an isomorphism (r^ℓ/r^{ℓ+1})(-,q) ≅ ⊕_{p∈Q} S{p}^{(V(p))} in Mod_Q.

Proof.

(a) Fix p∈Q. For every q∈Q, let {ε_{q,u}}_{u∈U(q)} be a subset of r^ℓ(p,q) such that {ē_{q,u}}_{u∈U(q)} is a basis of the free k-module (r^ℓ/r^{ℓ+1})(p,q); here ē_{q,u} denotes the image of ε_{q,u} in (r^ℓ/r^{ℓ+1})(p,q). As r^ℓ is an ideal in Q containing ε_{q,u}, the image of the natural transformation Q(ε_{q,u}, -): Q(q, -) → Q(p, -) is contained in r^ℓ(p, -) and Q(ε_{q,u}, -) maps the subfunctor r(q, -) to r^{ℓ+1}(p, -). Thus, Q(ε_{q,u}, -) induces a natural transformation,

$$S\langle q \rangle = Q(q, -)/\mathfrak{r}(q, -) \xrightarrow{\tau_{q,u}} (\mathfrak{r}^{\ell}/\mathfrak{r}^{\ell+1})(p, -) .$$

By the universal property of the coproduct, we get an induced natural transformation,

$$\bigoplus_{q \in Q} S(q)^{(U(q))} \stackrel{\tau}{\longrightarrow} (\mathfrak{r}^{\ell}/\mathfrak{r}^{\ell+1})(p,-) \; .$$

We claim that τ is an isomorphism, that is, for every $r \in Q$ the k-module homomorphism below is an isomorphism (the equalities in this display follow from Lemma 7.10),

$$\mathbb{k}^{(U(r))} = S\langle r \rangle(r)^{(U(r))} = \bigoplus_{q \in O} S\langle q \rangle(r)^{(U(q))} \xrightarrow{\tau^r} (\mathfrak{r}^{\ell} / \mathfrak{r}^{\ell+1})(p, r) .$$

Indeed, by definition, τ^r maps an element $(x_u)_{u \in U(r)}$ in $\mathbb{k}^{(U(r))}$ to the sum $\sum_{u \in U(r)} x_u \bar{\varepsilon}_{r,u}$, and this map is an isomorphism by construction.

(b) Similar to the proof of part (a).

Proposition 7.27. Adopt the setup of Theorem 7.1 but assume that N = 2, that is, $r^2 = 0$, and that k is a PID (for example, $k = \mathbb{Z}$). For every k-algebra A and morphism φ in $_{O,A}$ Mod, the following conditions are equivalent.

- (i) φ is a weak equivalence.
- (ii) $\mathbb{H}^{1}_{[q]}(\varphi)$ is an isomorphism for every $q \in Q$. (iii) $\mathbb{H}^{[q]}_{1}(\varphi)$ is an isomorphism for every $q \in Q$.

Proof. We know from Theorem 7.2 that (i) implies both (ii) and (iii).

(ii) \Rightarrow (i): By Theorem 7.2, it suffices to show that also $\mathbb{H}^2_{[q]}(\varphi)$ is an isomorphism for every $q \in Q$. For every $p, q \in Q$, the k-module Q(p,q) is projective (= free, as k is a PID) by condition (1) in Setup 2.5, and hence so is the submodule $\mathbf{r}(p,q)$. Since $\mathbf{r}^2 = 0$, we have $(\mathbf{r}/\mathbf{r}^2)(p,q) = \mathbf{r}(p,q)$, so we can apply Lemma 7.26(a) with $\ell = 1$ to get an isomorphism $\mathfrak{r}(q, -) \cong \bigoplus_{r \in O} S\langle r \rangle^{(U(r))}$ in $_{O}$ Mod for suitable index sets U(r). This yields the third equality below; the first and last equalities holds by Definition 7.11, and the second equality holds by dimension shifting, as $\mathfrak{r}(q, -)$ is a first syzygy of $S\langle q \rangle$ by Definition 7.9.

$$\mathbb{H}^2_{[q]}(?) = \operatorname{Ext}^2_Q(S\langle q \rangle, ?) = \operatorname{Ext}^1_Q(\mathfrak{r}(q, -), ?) = \prod_{r \in Q} \operatorname{Ext}^1_Q(S\langle r \rangle, ?)^{U(r)} = \prod_{r \in Q} \mathbb{H}^1_{[r]}(?)^{U(r)}$$

From this identity, we see that if $\mathbb{H}^1_{[r]}(\varphi)$ is an ismorphism for every $r \in Q$, then $\mathbb{H}^2_{[q]}(\varphi)$ is also an ismorphism for every $q \in Q$, as desired.

(iii) \Rightarrow (i): Similar to the proof of the implication (ii) \Rightarrow (i).

We end this section by proving Theorem 7.29, which gives a useful characterization of the projective and injective objects in $_{Q,A}$ Mod. Recall from Corollary 3.9, the functors F_q and G_q and from Proposition 7.15 the functors C_q and K_q .

Lemma 7.28. For every $p, q \in Q$, the following assertions hold.

(a) $C_p F_p = \text{id } and C_p F_q = 0 \text{ if } p \neq q.$ (b) $\hat{K_pG_p} = \text{id and } \hat{K_pG_q} = 0 \text{ if } p \neq q.$

Here 'id' denotes the identity functor on $_A$ Mod.

Proof.

(a) In the computation below, the first isomorphism holds by the definitions of C_p and F_q , the middle isomorphism follows from Lemma 3.7, and the last isomorphism is already mentioned

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in the proof of Corollary 3.9.

$$C_p F_q(?) \cong S\{p\} \otimes_Q (Q(q, -) \otimes_{\Bbbk} ?) \cong (S\{p\} \otimes_Q Q(q, -)) \otimes_{\Bbbk} ? \cong S\{p\}(q) \otimes_{\Bbbk} ?$$

The desired conclusion now follows from Lemma 7.10.

(b) Similar to the proof of part (a).

Theorem 7.29. Assume that Q satisfies condition (1) in Setup 2.5 and that the pseudo-radical \mathfrak{r} is nilpotent, that is, $\mathfrak{r}^N = 0$ for some $N \in \mathbb{N}$. For every $X \in {}_{O,A}$ Mod, one has:

(a)
$$X \in {}_{Q,A}$$
 Prj if and only if $\mathbb{H}_1^{[q]}(X) = 0$ and $C_q(X) \in {}_A$ Prj for every $q \in Q$.
(b) $X \in {}_{Q,A}$ Inj if and only if $\mathbb{H}_{[q]}^1(X) = 0$ and $K_q(X) \in {}_A$ Inj for every $q \in Q$.

Proof.

(a) 'Only if': It is immediate from Definition 7.11 and Proposition 7.15 that the functors $\mathbb{H}_1^{[q]}$ and C_q preserve coproducts. Thus, to show the 'only if' part, we can by Proposition 3.12(a) assume that *X* has the form $X = F_p(A)$ for some $p \in Q$. In this case, we have $C_q(X) = C_q F_p(A)$, which is either *A* or 0 by Lemma 7.28(a); in particular, this *A*-module belongs to _A Prj. We also have $\mathbb{H}_1^{[q]}(X) = \mathbb{H}_1^{[q]}(F_p(A)) = 0$ by Lemma 7.14(a).

'If': Let $q \in Q$. Consider the canonical epimorphism $\pi_q^X : X(q) \twoheadrightarrow C_q(X)$, see Proposition 7.18 (and its proof). Since the *A*-module $C_q(X)$ is projective, π_q^X has a right inverse, say, $\iota_q : C_q(X) \to X(q)$. We define φ_q be the composite

$$F_qC_q(X) \xrightarrow{F_q(\iota_q)} F_q(X(q)) = F_qE_q(X) \xrightarrow{\varepsilon_q^X} X$$

where ε_q^X is the counit of the adjunction (F_q, E_q) from Corollary 3.9. Note that $C_q(\varepsilon_q^X) = \pi_q^X$ and $C_q F_q(\iota_q) = \iota_q$, see Lemma 7.28(a), and thus $C_q(\varphi_q) = \pi_q^X \iota_q = \mathrm{id}_{C_q(X)}$. By the universal property of the coproduct, there is a unique morphism,

$$\varphi: \bigoplus_{q \in Q} F_q C_q(X) \longrightarrow X,$$

induced by the family $\{\varphi_q\}_{q \in Q}$. For every $p \in Q$ the functor C_p is a left adjoint by Proposition 7.15, so it preserves coproducts. By Lemma 7.28(a) and the fact that $C_p(\varphi_p) = \mathrm{id}_{C_p(X)}$, it follows that $C_p(\varphi)$ is an isomorphism, in fact, it is the identity on $C_p(X)$. Now, applying the right exact functor C_p to the exact sequence

$$\bigoplus_{q \in Q} F_q C_q(X) \xrightarrow{\varphi} X \longrightarrow \operatorname{Cok} \varphi \longrightarrow 0$$

and using that $C_p(\varphi)$ is surjective, it follows that $C_p(\operatorname{Cok} \varphi)$ for every $p \in Q$, and therefore $\operatorname{Cok} \varphi = 0$ by Proposition 7.19. Thus there is a short exact sequence,

$$0 \longrightarrow \operatorname{Ker} \varphi \longrightarrow \bigoplus_{q \in Q} F_q(C_q(X)) \xrightarrow{\varphi} X \longrightarrow 0 . \tag{$18}$$

For every $p \in Q$, one has $C_p = S\{p\} \otimes_Q -$ and $\mathbb{H}_1^{[p]} = \operatorname{Tor}_1^Q(S\{p\}, -)$, and as it is assumed that $\mathbb{H}_1^{[p]}(X) = 0$, the functor C_p leaves the sequence ($\sharp 18$) exact. As the homomorphism $C_p(\varphi)$ is

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 \Box

injective, we get $C_p(\text{Ker }\varphi) = 0$ for every $p \in Q$, and thus $\text{Ker }\varphi = 0$; again by Proposition 7.19. We have now shown that φ is an isomorphism. Since $\bigoplus_{q \in Q} F_q C_q(X)$ is a projective object in $_{O,A}$ Mod by Lemma 3.11, we conclude that X is projective too.

(b) Dual to the proof of part (a).

8 | STABLE TRANSLATION QUIVERS

In this section, we investigate natural examples of (small) k-pre-additive categories that satisfy conditions (1)–(3) in Setup 2.5 and condition (4^{*}) in Definition 7.3 with a nilpotent pseudo-radical. Recall that for such a category, Q, Theorems 6.1, 7.1, and 7.2 show that for any ring A (if we take $k = \mathbb{Z}$), the category $_{Q,A}$ Mod has two different model structures where the trivial objects and the weak equivalences can be naturally characterized by the (co)homology functors from Definition 7.11. The examples we have in mind are mesh categories of (suitably nice) stable translation quivers.

Recall that a *stable translation quiver* is a triple (Γ, τ, σ) where $\Gamma = (\Gamma_0, \Gamma_1)$ is a quiver and $\tau : \Gamma_0 \to \Gamma_0$ (the *translation*) and $\sigma : \Gamma_1 \to \Gamma_1$ (the *semitranslation*) are bijections such that for every arrow $a : p \to q$ in Γ the arrow $\sigma(a) : \tau(q) \to p$ goes from $\tau(q)$ to p. The sets Γ_0 and Γ_1 may be infinite (this will always be the case in Example 8.2 if Δ_0 and Δ_1 are non-empty), but we assume that Γ is *locally finite*, that is, for every vertex $q \in \Gamma_0$ there are only finitely many arrows with target q. Note that Γ may have *oriented cycles*, that is, paths of length ≥ 1 starting and ending at the same vertex; an oriented cycle of length one is called a *loop* (in fact, the stable translation quivers arising from Example 8.1 will always have oriented cycles of length > 1 if Δ_1 is non-empty).

For every $q \in \Gamma_0$, the *mesh* associated with q is the diagram:

where $a_1, ..., a_n$ are all the finitely many different arrows in Γ with target q. It follows that $\sigma(a_1), ..., \sigma(a_n)$ are all the arrows in Γ with source $\tau(q)$.

There are a couple of standard ways to obtain a stable translation quiver from an ordinary quiver, which we now describe.

Example 8.1 (the double quiver). Let $\Delta = (\Delta_0, \Delta_1)$ be a quiver. The *double* quiver $\Gamma = \Delta^{dou}$ of Δ has the same vertices as Δ , that is, $\Gamma_0 = \Delta_0$, but twice as many arrows. More precisely, Γ has all the original arrows of Δ but also an arrow $x^* : q \to p$ for every arrow $x : p \to q$ in Δ (note that x^* goes in the opposite direction of x); in symbols:

$$\Gamma_1 = \Delta_1 \uplus \{x^* \colon q \to p \mid (x \colon p \to q) \in \Delta_1\}.$$

The double quiver $\Gamma = \Delta^{\text{dou}}$ is a stable translation quiver: The translation $\tau : \Gamma_0 \to \Gamma_0$ is the identity and the semitranslation $\sigma : \Gamma_1 \to \Gamma_1$ is given by $\sigma(x) = x^*$ and $\sigma(x^*) = x$ for every arrow x in Δ .



Example 8.2 (the repetitive quiver). Let $\Delta = (\Delta_0, \Delta_1)$ be a quiver. The *repetitive* quiver $\Gamma = \Delta^{\text{rep}}$ (even though the symbol $\mathbb{Z}\Delta$ is commonly used for this quiver, we avoid it in this paper) of Δ has the vertex set $\Gamma_0 = \Delta_0 \times \mathbb{Z}$ and arrows

$$x_i: (p,i) \longrightarrow (q,i)$$
 and $x_i^*: (q,i) \longrightarrow (p,i-1)$

for every arrow $x : p \to q$ in Δ . The repetitive quiver $\Gamma = \Delta^{\text{rep}}$ is a stable translation quiver with translation $\tau : \Gamma_0 \to \Gamma_0$ given by $\tau(q, i) = (q, i + 1)$ for $(q, i) \in \Gamma_0 = \Delta_0 \times \mathbb{Z}$ and semitranslation $\sigma : \Gamma_1 \to \Gamma_1$ given by $\sigma(x_i) = x_{i+1}^*$ and $\sigma(x_i^*) = x_i$ for every arrow x in Δ .

Remark 8.3. A graph *G* can be turned it into a quiver, $\Delta = \vec{G}$, by choosing some orientation of its vertices. If *G* is a *tree*, that is, any two vertices in *G* are connected by exactly one path (equivalently, *G* is a connected acyclic graph), then the repetitive quiver $\Delta^{\text{rep}} = (\vec{G})^{\text{rep}}$ does not depend (up to isomorphism of stable translation quivers) on the chosen orientation of *G*; see Happel [24, p. 53]. The same it true for for the double quiver $\Delta^{\text{dou}} = (\vec{G})^{\text{dou}}$.

The following definitions are standard.

Definition 8.4. Let (Γ, τ, σ) be a stable translation quiver and k be a commutative ring.

The *path category* of Γ over \Bbbk is the \Bbbk -pre-additive category $\Bbbk\Gamma$ whose objects are the vertices of Γ and whose hom sets $\Bbbk\Gamma(p,q)$ are the free \Bbbk -modules with basis the set of paths in Γ from *p* to *q*. (Of course, this definition works for any quiver Γ .)

The *mesh relation* associated with a vertex q in Γ is the (formal) sum μ_q below of paths from $\tau(q)$ to q, cf. (#19). Note that $\mu_q : \tau(q) \to q$ is a morphism in the path category $\Bbbk\Gamma$.

$$\mu_q = a_1 \,\sigma(a_1) + \dots + a_n \,\sigma(a_n).$$

Let \mathfrak{m} be the *mesh ideal*, that is, the two-sided ideal in the \Bbbk -pre-additive category $\Bbbk\Gamma$ generated by all mesh relations; in symbols, $\mathfrak{m} = \langle \mu_q | q \in \Gamma_0 \rangle$. The *mesh category* of Γ over \Bbbk is the quotient category:

$$Q_{\text{mesh}}(\Gamma) = (\Bbbk \Gamma)/\mathfrak{m}$$
.

Remark 8.5. Note that for $Q = Q_{\text{mesh}}(\Gamma)$, the category $_{Q,A}$ Mod is equivalent to the category of $_A$ Mod-valued representations of Γ that satisfy the mesh relations.

As we now prove, the mesh category of a stable translation quiver always satisfies the Strong Retraction Property. In general, the associated pseudo-radical **r** need not be nilpotent (as assumed in Theorems 7.1 and 7.2); however, in several natural examples (see Theorems 8.8 and 8.11), it will be.

Lemma 8.6. The mesh category $Q = Q_{\text{mesh}}(\Gamma)$, over any commutative ring \Bbbk , of a stable translation quiver Γ satisfies condition (4^{*}) in Definition 7.3. The arrow ideal, that is, the ideal in Q generated by all arrows in Γ , serves as a pseudo-radical.

Proof. Let $P = \Bbbk \Gamma$ be the path category of Γ . For every $\ell \ge 0$, let $P^{\ell}(p,q)$ and $Q^{\ell}(p,q)$ the \Bbbk -submodules of P(p,q) and Q(p,q) generated by all paths in Γ of length ℓ ; here we have included the trivial paths id_q for $q \in \Gamma_0$, which have length $\ell = 0$. There is a direct sum decomposition of \Bbbk -modules, $P(p,q) = \bigoplus_{\ell \ge 0} P^{\ell}(p,q)$, and as the mesh relations are homogeneous (of degree two) and only involve unit coefficients $\pm 1 \in \Bbbk$, we get an induced direct sum decomposition for the quotient category $Q = P/\mathfrak{m}$, that is,

$$Q(p,q) = \bigoplus_{\ell \ge 0} Q^{\ell}(p,q).$$
(#20)

Let \mathfrak{r} be the arrow ideal in Q; thus $\mathfrak{r}(p,q)$ is the k-submodule of Q(p,q) generated by all paths in Γ of length ≥ 1 . That is, $\mathfrak{r}(p,q) = \bigoplus_{\ell \ge 1} Q^{\ell}(p,q)$, and hence

$$Q(p,q) = Q^{0}(p,q) \oplus \mathfrak{r}(p,q) = \begin{cases} (\mathbb{k} \cdot \mathrm{id}_{q}) \oplus \mathfrak{r}(q,q) & \text{if } p = q \\ \mathfrak{r}(p,q) & \text{if } p \neq q \end{cases}$$

in view of (\sharp 20). It is evident that the k-submodules $\mathfrak{r}_q := \mathfrak{r}(q, q)$ satisfy the requirements in condition (4*) in Definition 7.3, and the pseudo-radical associated to these k-submodules, in the sense of Lemma 7.7, is precisely the arrow ideal \mathfrak{r} we started with.

For $n \ge 2$, we now consider the Dynkin graph $G = A_n$ with *linear orientation*, that is,

$$\vec{\mathbb{A}}_n = \underbrace{\bullet}_1 \xrightarrow{a_1} \underbrace{\bullet}_2 \xrightarrow{a_2} \cdots \underbrace{\bullet}_{n-1} \underbrace{\bullet}_n \cdot (\sharp 21)$$

Below we study the double quiver $(\vec{\mathbb{A}}_n)^{\text{dou}}$ and the repetitive quiver $(\vec{\mathbb{A}}_n)^{\text{dou}}$ of $\vec{\mathbb{A}}_n$. As noted in Remark 8.3, these stable translation quivers do not depend on the chosen orientation.

Example 8.7. Consider the double quiver of $\vec{\mathbb{A}}_n$ from (#21), that is,

$$(\vec{\mathbb{A}}_n)^{\text{dou}} = \underbrace{\bullet}_1 \underbrace{\stackrel{a_1}{\longleftarrow}}_{a_1^*} \underbrace{\bullet}_2 \underbrace{\stackrel{a_2}{\longleftarrow}}_{a_2^*} \cdots \underbrace{\stackrel{\bullet}{\longleftarrow}}_{n-1} \underbrace{\stackrel{a_{n-1}}{\longleftarrow}}_{a_{n-1}^*} \underbrace{\bullet}_n.$$

By Definition 8.4, the mesh relations are

$$\mu_1 = a_1^* a_1$$
, $\mu_q = a_{q-1} a_{q-1}^* + a_q^* a_q$ for $1 < q < n$, and $\mu_n = a_{n-1} a_{n-1}^*$.

Theorem 8.8. Let k be any commutative ring. The mesh category

$$Q = Q_{\text{mesh}}((\vec{\mathbb{A}}_n)^{\text{dou}})$$

over \Bbbk of the double quiver of $\vec{\mathbb{A}}_n$ satisfies conditions (1)–(3) in Setup 2.5 and condition (4^{*}) in Definition 7.3. More precisely, the following assertions hold.

(a) Every hom \Bbbk -module Q(p,q) is free of dimension

$$d(p,q) = \min\{p, q, n+1-p, n+1-q\} \in \mathbb{N}$$

(b) The Serre functor is given by S(q) = n + 1 − q on objects and its action on morphisms is determined by the formulae S(a_q) = (−1)^qa^{*}_{n-q} and S(a^{*}_q) = (−1)^{n-q}a_{n-q}.

Moreover, the arrow ideal \mathfrak{r} (which by Lemma 8.6 is the pseudo-radical in Q) is nilpotent; in fact, one has $\mathfrak{r}^n = 0$.

Proof. See Appendix B.

Corollary 8.9. Let A be any ring. Consider the category of _A Mod-valued representations of the double quiver of $\vec{\mathbb{A}}_n$ that satisfy the mesh relations. This category has two different abelian model structures where the trivial objects and the weak equivalences can be characterized by the (co)homology functors $\mathbb{H}_{[a]}^i$ and $\mathbb{H}_i^{[q]}$ as in Theorems 7.1 and 7.2.

Proof. Set $\Bbbk = \mathbb{Z}$. As noted in Remark 8.5, the category in question is nothing but $_{Q,A}$ Mod where $Q = Q_{\text{mesh}}((\vec{\mathbb{A}}_n)^{\text{dou}})$. By Theorem 8.8, this Q satisfies conditions (1)–(3) in Setup 2.5 and condition (4*) in Definition 7.3 with a nilpotent pseudo-radical. The assertion therefore follows directly from Theorems 6.1, 7.1, and 7.2.

Example 8.10. We consider the repetitive quiver $(\vec{\mathbb{A}}_n)^{\text{rep}}$ of the quiver $\vec{\mathbb{A}}_n$ from (\$21\$). For, for example, n = 5 it looks as follows (where the bullets have been left out):



By Definition 8.4, the mesh relations are, for every $i \in \mathbb{Z}$,

$$\begin{aligned} \mu_{(1,i)} &= a_{1,i+1}^* a_{1,i+1}, \\ \mu_{(q,i)} &= a_{q-1,i} a_{q-1,i+1}^* + a_{q,i+1}^* a_{q,i+1} & \text{for } 1 < q < n, \quad \text{and} \\ \mu_{(n,i)} &= a_{n-1,i} a_{n-1,i+1}^*. \end{aligned}$$

Theorem 8.11. Let k be any commutative ring. The mesh category

$$Q = Q_{\text{mesh}}((\vec{\mathbb{A}}_n)^{\text{rep}})$$

over \Bbbk of the repetitive quiver of $\vec{\mathbb{A}}_n$ satisfies conditions (1)–(3) in Setup 2.5 and condition (4^{*}) in Definition 7.3. More precisely, the following assertions hold.

(a) Each hom k-module Q((p, i), (q, j)) is either zero or free of dimension 1. The latter happens if and only if the point (q, j) lies in, or on the boundary of, the rectangle $R_{p,i}$ spanned by the following

four vertices[†], where $\mathbb{S}(p, i) = (n + 1 - p, i + 1 - p)$:



(b) The Serre functor is given by S(q,i) = (n + 1 − q, i + 1 − q) on objects, and on morphisms it is determined by S(a_{q,i}) = (−1)^qa^{*}_{n−q,i+1−q} and S(a^{*}_{q,i}) = (−1)^{n−q}a_{n−q,i−q}.

Moreover, the arrow ideal \mathfrak{r} (which by Lemma 8.6 is the pseudo-radical in Q) is nilpotent; in fact, one has $\mathfrak{r}^n = 0$.

Proof. Similar to, but easier than, the proof of Theorem 8.8.

Corollary 8.12. Let A be any ring. Consider the category of ${}_A$ Mod-valued representations of the repetitive quiver of $\vec{\mathbb{A}}_n$ that satisfy the mesh relations. This category has two different abelian model structures where the trivial objects and the weak equivalences can be characterized by the (co)homology functors $\mathbb{H}^{i}_{[a]}$ and $\mathbb{H}^{[q]}_{i}$ as in Theorems 7.1 and 7.2.

Proof. Set $\Bbbk = \mathbb{Z}$. As noted in Remark 8.5, the category in question is nothing but $_{Q,A}$ Mod where $Q = Q_{\text{mesh}}((\vec{\mathbb{A}}_n)^{\text{rep}})$. By Theorem 8.11, this Q satisfies conditions (1)–(3) in Setup 2.5 and condition (4*) in Definition 7.3 with a nilpotent pseudo-radical. The assertion therefore follows directly from Theorems 6.1, 7.1, and 7.2.

Example 8.13. The category of $_A$ Mod-valued representations of the repetitive quiver of \mathbb{A}_2 that satisfy the mesh relations is nothing but the category Ch A of chain complexes of left A-modules. The model structures on this category provided by Corollary 8.12 are classic and well known, see, for example, Hovey [28, Theorems 2.3.11 and 2.3.13], and the associated homotopy category from Definition 6.4 is the usual derived category $\mathcal{D}(A)$.

In the context of stable translation quivers, it is possible to give very concrete descriptions of the (co)homology functors $\mathbb{H}^{1}_{[q]}$ and $\mathbb{H}^{[q]}_{1}$. This is our goal for the rest of this section.

For representations of a stable translations quiver that satisfy the mesh relations, there is a natural notion of homology:

Definition 8.14. Let Γ be a stable translation quiver and set $Q = Q_{\text{mesh}}(\Gamma)$. Consider the mesh (\sharp 19) associated with $q \in \Gamma_0$. Since one has $a_1 \sigma(a_1) + \cdots + a_n \sigma(a_n) = 0$ in Q, every $X \in Q_A$ Mod

 \Box

[†] The picture of $R_{p,i}$ should be compared with the diagram in Example 8.10. Note that in the picture of $R_{p,i}$ the vertex (p,i) is located higher than S(p,i), which of course is only the case if p is smaller than $\frac{n+1}{2}$. For p = 1, the 'rectangle' $R_{p,i}$ is actually the line (with negative slope) from (1,i) to S(1,i) = (n,i), and for p = n it is the line (with positive slope) from (n,i) to S(n,i) = (1,i+1-n).

induces a three term complex of left A-modules,



We write $\mathscr{H}_q(X)$ for the homology of this three term complex and call it the *mesh homology* of X at q.

Definition 8.15. Let Γ be a stable translation quiver and set $Q = Q_{\text{mesh}}(\Gamma)$. We say that Γ is *normal* (*relative to* \Bbbk) if one has $\mathscr{H}_q(Q(p, -)) = 0$ for all $p, q \in \Gamma_0$ (equivalently, every projective object in $_O$ Mod has vanishing mesh homology).

Note that the definition of normality depends on the base ring k. As far as we know, most stable translation quivers are normal. Here we just note the following two results.

Theorem 8.16. The stable translation quiver $(\vec{A}_n)^{\text{dou}}$ from Example 8.7 is normal.

Proof. See Appendix B.

Theorem 8.17. The stable translation quiver $(\vec{\mathbb{A}}_n)^{\text{rep}}$ from Example 8.10 is normal.

Proof. Similar to, but easier than, the proof of Theorem 8.16.

The next result and Remark 8.19 compare the mesh homology \mathscr{H}_* defined above with the first (co)homology functors $\mathbb{H}^1_{[*]}$ and $\mathbb{H}^{[*]}_1$ from Definition 7.11.

Proposition 8.18. Let Γ be a stable translation quiver with mesh category $Q = Q_{\text{mesh}}(\Gamma)$. If Γ is normal, then for every $X \in {}_{O,A}$ Mod and $q \in \Gamma_0$ there is a natural isomorphism,

$$\mathbb{H}_1^{[q]}(X) \cong \mathscr{H}_q(X) \,.$$

Proof. By Lemma 8.6, the Strong Retraction Property holds for Q and the arrow ideal \mathfrak{r} serves as the pseudo-radical. In particular, it makes sense to consider $S\{q\} \in \operatorname{Mod}_Q$ from Definition 7.9. Consider the mesh (\sharp 19) associated with the vertex q. We claim that there is an exact sequence in Mod_Q ,

$$Q(-,\tau(q)) \xrightarrow{\begin{pmatrix} Q(-,\sigma(a_1)) \\ \vdots \\ Q(-,\sigma(a_n)) \end{pmatrix}} \bigoplus_{\substack{Q(-,p_1) \\ \vdots \\ Q(-,a_1) \cdots Q(-,a_n) \end{pmatrix}} Q(-,q) \longrightarrow S[q] \longrightarrow 0$$

Indeed, exactness at $Q(-, p_1) \oplus \cdots \oplus Q(-, p_n)$ follows as $\mathscr{H}_q(Q(r, -)) = 0$ for all $r \in \Gamma_0$, as Γ is assumed to be normal. To prove exactness at Q(-, q) and at $S\{q\}$ it suffices, by the definition of

 \Box

 $S{q}$, to show that one has

$$\operatorname{Im}\left(Q(-,a_1)\cdots Q(-,a_n)\right) = \mathfrak{r}(-,q).$$

However, this equality follows from the fact that \mathfrak{r} is the arrow ideal and a_1, \ldots, a_n is the complete list of arrows in Γ with target q. Consequently, the sequence displayed above, which we write as $P_2 \rightarrow P_1 \rightarrow P_0 \rightarrow S\{q\} \rightarrow 0$, is part of an augmented projective resolution of $S\{q\}$ in Mod_Q. It follows that the left *A*-module $\mathbb{H}_1^{[q]}(X) = \operatorname{Tor}_1^Q(S\{q\}, X)$ can be computed as the homology of the three term complex $P_2 \otimes_Q X \rightarrow P_1 \otimes_Q X \rightarrow P_0 \otimes_Q X$. For every object $r \in Q$, one has $Q(-,r) \otimes_Q X \cong X(r)$, see the proof of Corollary 3.9, and hence the three term complex $P_2 \otimes_Q X \rightarrow P_1 \otimes_Q X \rightarrow P_0 \otimes_Q X$. For every object $r \in Q$, one has $Q(-,r) \otimes_Q X \cong X(r)$, see the proof of Corollary 3.9, and hence the three term complex $P_2 \otimes_Q X \rightarrow P_1 \otimes_Q X \rightarrow P_0 \otimes_Q X$ is isomorphic to the three term complex in Definition 8.14, whose homology is $\mathscr{H}_q(X)$ by definition.

Remark 8.19. In Definition 8.14, we could evidently also have defined the mesh homology $\mathscr{H}_q(Y)$ for $Y \in {}_A \operatorname{Mod}_Q$, that is, for contravariant k-linear functors $Y : Q \to {}_A \operatorname{Mod}$. If one extends the definition, 8.15, of normality to mean that $\mathscr{H}_q(Q(p, -)) = 0 = \mathscr{H}_q(Q(-, p))$ for all $p, q \in \Gamma_0$, then one can also easily extend Proposition 8.18 (and its proof) to get isomorphisms $\mathbb{H}_1^{[q]}(X) \cong \mathscr{H}_q(X) \cong \mathbb{H}_{[\tau(q)]}^1(X)$ for every $X \in {}_{Q,A}\operatorname{Mod}$. The stable translation quivers $(\vec{\mathbb{A}}_n)^{\operatorname{dou}}$ and $(\vec{\mathbb{A}}_n)^{\operatorname{rep}}$ are also normal in this stronger sense, but this is not important.

By Theorems 8.8, 8.11, 8.16, and 8.17, the next result applies, for example, to the stable translation quivers $(\vec{A}_n)^{\text{dou}}$ and $(\vec{A}_n)^{\text{rep}}$.

Corollary 8.20. Let Γ be a stable translation quiver with mesh category $Q = Q_{\text{mesh}}(\Gamma)$ over any commutative ring \Bbbk and assume that the following conditions hold.

- Q satisfies conditions (1)–(3) in Setup 2.5.
- The arrow ideal \mathfrak{r} is nilpotent, that is, $\mathfrak{r}^N = 0$ for some $N \in \mathbb{N}$.
- The ring \Bbbk is Noetherian and hereditary (for example, $\Bbbk = \mathbb{Z}$).
- Γ is normal (Definition 8.15).

For any k-algebra A, the class & of exact objects in O.A Mod from Definition 4.1 satisfies

$$\mathscr{E} = \{X \in {}_{O,A} \text{Mod} \mid \mathscr{H}_q(X) = 0 \text{ for every } q \in \Gamma_0\}.$$

Proof. The hypotheses in Theorem 7.1 are satisfied by Lemma 8.6 and the assumptions made in the present result, and hence $\mathscr{E} = \{X \in Q, A \text{ Mod } \mid \mathbb{H}_1^{[q]}(X) = 0 \text{ for every } q \in \Gamma_0 \}$. The assertion now follows from Proposition 8.18 as Γ is assumed to be normal.

Example 8.21. Let *Q* be the category $Q_{\text{mesh}}((\vec{\mathbb{A}}_3)^{\text{rep}})$. The category $_Q$ Mod is the same as the category of $_{\Bbbk}$ Mod-valued representations of the quiver $(\vec{\mathbb{A}}_3)^{\text{rep}}$ that satisfy the mesh relations; see Remark 8.5. Moreover, in $_Q$ Mod the abstract homology functors $\mathbb{H}_1^{[*]}$ agree with the mesh homology functors \mathscr{H}_* by Theorem 8.17 and Proposition 8.18.

We give an elementary example of a morphism $\varphi : X \to Y$ in $_Q$ Mod such that $\mathscr{H}_*(\varphi)$ is an isomorphism but φ is *not* a weak equivalence in the projective/injective model structure. Note that for the category Q one has $\mathfrak{r}^3 = 0$ but $\mathfrak{r}^2 \neq 0$; thus our example shows that the conditions (i)–(iii) in Proposition 7.27 are, in general, not equivalent unless $\mathfrak{r}^2 = 0$.

Now, let *X* and *Y* be the representations:



where the subscripts 1 ..., 4 just refer to four specific vertices in $(\overline{\mathbb{A}}_3)^{\text{rep}}$ with those labels. Let φ be the morphism from *X* to *Y* where $\varphi(1) : X(1) \to Y(1)$ is the identity on \Bbbk and $\varphi(q) = 0$ for all vertices $q \neq 1$. Note that $\mathscr{H}_q(X) = 0 = \mathscr{H}_q(Y)$ for all $q \neq 3$ and

$$\mathscr{H}_{3}(X) = \operatorname{Ker}\left(\Bbbk \oplus \Bbbk \xrightarrow{(1 \ 1)} \Bbbk\right) \quad \text{and} \quad \mathscr{H}_{3}(Y) = \operatorname{Ker}(\Bbbk \longrightarrow 0).$$

The map $\mathcal{H}_3(\varphi)$ sends (x, -x) to x, so it is clearly an isomorphism.

To see that φ is not a weak equivalence, note that φ is an epimorphism and that its kernel satisfies $\mathscr{H}_4(\text{Ker }\varphi) = \Bbbk \neq 0$. Thus, Ker φ does not belong to \mathscr{E} , see Corollary 8.20, and hence φ cannot be a weak equivalence by [29, Lemma 5.8].

APPENDIX A: PURITY AND KAPLANSKY CLASSES

In this section, \mathcal{M} denotes a Grothendieck category which is *locally finitely presentable* in the sense of Crawley–Boevey [7, §1] or Adámek and Rosický [1, Definition 1.17 with $\lambda = \aleph_0$].

A.1 | Purity

A short exact sequence $0 \to M' \to M \to M'' \to 0$ in \mathcal{M} is called *pure exact* if it stays exact under the functor $\operatorname{Hom}_{\mathcal{M}}(K, -)$ for every finitely presentable object *K* in \mathcal{M} .

The definition above can be found in [7, §3]. The more general concept of λ -pure exact sequences has been studied by Adámek and Rosický in [2] and [1, Chapter 2.D] (the situation above corresponds to $\lambda = \aleph_0$).

To parse the next definition recall from [1, Definitions 1.13 and 1.67], the definitions of κ -presentable and κ -generated objects, where κ is any regular cardinal.

A.2 | Kaplansky classes

A class \mathcal{F} of objects in \mathcal{M} is said to be κ -*Kaplansky* if for every $F \in \mathcal{F}$ and every κ -generated subobject $X \subseteq F$, there exists a κ -presentable subobject Y of F such that $X \subseteq Y \subseteq F$ and $Y, F/Y \in \mathcal{F}$. One says that \mathcal{F} is a *Kaplansky class*, if it is κ -Kaplansky for some regular cardinal κ .

and

In this generality the definition is due to Gillespie [19, Definition 4.9]; see also Šťovíček [41, Definition 2.6]. Kaplansky classes were introduced and studied by Enochs and López-Ramos [16] in the special case where \mathcal{M} is the category of (left) modules over a ring.

In the special case where \mathcal{M} is the category of (left) modules over a ring, the next result follows from [26, Theorem 3.4]. Note that the assumption in [26, Theorem 3.4] that \mathcal{F} should be closed under extensions is superfluous, indeed, it follows from the proof of Theorem A.3 below that under the hypotheses in that theorem, the class \mathcal{F} is *deconstructible*, and such a class is automatically closed under extensions by Šťovíček [41, Lemma 1.6].

Theorem A.3. Let \mathcal{F} be a class of objects in \mathcal{M} that satisfies the following conditions.

- (1) \mathcal{F} is closed under pure subobjects and pure quotients, meaning that for every pure exact sequence $0 \to M' \to M \to M'' \to 0$ in \mathcal{M} with $M \in \mathcal{F}$, one has $M', M'' \in \mathcal{F}$.
- (2) \mathcal{F} contains a generator of \mathcal{M} and is closed under coproducts in \mathcal{M} .

Then $(\mathcal{F}, \mathcal{F}^{\perp})$ *is a complete cotorsion pair. In fact, every object in* \mathcal{M} *even has an* \mathcal{F} *-cover.*

Proof. We start by showing that condition (1) implies that \mathcal{F} is a Kaplansky class.

By assumption, \mathcal{M} is locally finitely presentable (as \mathcal{M} is also cocomplete, this is the same as being *finitely accessible*, see [1, Example 2.3(1)]), so we may apply [1, Theorem 2.33 and its Remark] with $\lambda = \aleph_0$. The conclusion provided by this result holds for arbitrary large regular cardinals γ *sharply greater* than $\lambda = \aleph_0$ (in symbols: $\gamma \triangleright \aleph_0$, see [1, Definition 2.12]) — which just means that γ is an uncountable regular cardinal, see [1, Example 2.13(1)] — but for our purpose it suffices to know that the conclusion holds for some regular cardinal γ . We let γ be any such cardinal and we will show that \mathcal{F} is a γ -Kaplansky class.

Let $F \in \mathcal{F}$ and $X \subseteq F$ be a γ -generated subobject. Since \mathcal{M} is also locally γ -presentable, see [1, Remark after Theorem 1.20], there is by [1, Proposition 1.69(ii)] an epimorphism $f : K \to X$ where K is γ -presentable (this also follows from [41, Lemma A.3(1)] and [1, Proposition 1.16]). Applying [1, Theorem 2.33 and its Remark] to the composite morphism $K \to X \to F$, we get a factorization



where \bar{K} is γ -presentable and \bar{f} is a \aleph_0 -pure (mono)morphism in the sense of [1, Definition 2.27 (see also Proposition 2.29)]. By [2, Proposition 5(b) and Definition 1] this means that that the exact sequence

 $0 \longrightarrow \bar{K} \xrightarrow{\bar{f}} F \longrightarrow \operatorname{Cok} \bar{f} \longrightarrow 0$

is pure exact in the sense of A.1. If we set $Y = \text{Im } \overline{f}$, then the sequence above is isomorphic to $0 \rightarrow Y \rightarrow F \rightarrow F/Y \rightarrow 0$, which is therefore also pure exact. As $F \in \mathcal{F}$, we get $Y, F/Y \in \mathcal{F}$ because \mathcal{F} is closed under pure subobjects and pure quotients. Finally, note that $Y \cong \overline{K}$ is γ -presentable and that one has $X \subseteq Y$, indeed, $X = \text{Im } f = \text{Im}(\overline{f} \circ g) \subseteq \text{Im } \overline{f} = Y$.

To finish the proof, note that for any *I*-direct system $\{M_i \to M_j\}$ in \mathcal{M} , the canonical map $\bigoplus_{i \in I} M_i \Rightarrow \varinjlim_{i \in I} M_i$ is a pure epimorphism. As \mathcal{F} is assumed to be closed under pure quotients and coproducts, it follows that \mathcal{F} is closed under direct limits as well. We have seen that \mathcal{F} is a

Kaplansky class; thus Šťovíček [41, Corollary 2.7(2)] implies that \mathcal{F} is *deconstructible*. As every split exact sequence is pure exact, \mathcal{F} is also closed under direct summands. By assumption, \mathcal{F} contains a generator, and thus Šťovíček [42, Corollary 5.17] yields that $(\mathcal{F}, \mathcal{F}^{\perp})$ is a (functorially) complete cotorsion pair.

As noted above, \mathcal{F} is closed under direct limits. As the cotorsion pair $(\mathcal{F}, \mathcal{F}^{\perp})$ is complete, every object in \mathcal{M} has a (special) \mathcal{F} -precover. Thus, El Bashir [9, Theorem 1.2] shows that every object in \mathcal{M} has an \mathcal{F} -cover.

APPENDIX B: PROOFS OF THEOREMS 8.8 AND 8.16

Recall that we consider the double quiver $(\vec{\mathbb{A}}_n)^{\text{dou}}$ from Example 8.7. For the path and mesh categories of this stable translation quiver, we write

$$P = \Bbbk(\vec{\mathbb{A}}_n)^{\text{dou}}$$
 and $Q = Q_{\text{mesh}}((\vec{\mathbb{A}}_n)^{\text{dou}})$.

For vertices p, q in $(\vec{\mathbb{A}}_n)^{\text{dou}}$ and $\ell \ge 0$ denote by $P^{\ell}(p, q)$ and $Q^{\ell}(p, q)$ the k-submodules of P(p, q) and Q(p, q) generated by all paths in $(\vec{\mathbb{A}}_n)^{\text{dou}}$ of length ℓ ; here we include the trivial paths id_q , which have length $\ell = 0$. As we have seen in the proof of Lemma 8.6, there is a direct sum decomposition ($\sharp 20$).

To keep track of all the possible paths in the quiver $(\vec{A}_n)^{\text{dou}}$, it is convenient to draw multiple copies of the vertices and arrows in $(\vec{A}_n)^{\text{dou}}$ as follows (for, for example, n = 5):

From this diagram, it is evident that one has

$$P^{\ell}(p,q) \neq 0 \quad \iff \quad \ell = |p-q| + 2t \text{ where } t \in \mathbb{N}_0. \tag{23}$$

We now take into account the mesh relations, that is, we consider $(\ddagger 22)$ as a diagram in Q.

- (•) The mesh relations μ_1 and μ_n mean that $a_1^*a_1 = 0$ and $a_{n-1}a_{n-1}^* = 0$ hold in Q.
- (\diamond) The mesh relations μ_2, \dots, μ_{n-1} mean that every square \checkmark is anticommutative.

Given vertices p, q and $\ell \ge 0$, it follows from (\Diamond) and ($\sharp 22$) that in Q, all paths from p to q of length ℓ (of course, there might not exist such a path) are equal up to a sign. Thus:

if
$$Q^{\ell}(p,q) \neq 0$$
, then $Q^{\ell}(p,q)$ is a free k-module of dimension 1. (#24)

If $Q^{\ell}(p,q) \neq 0$, then a basis for the 1-dimensional free k-module $Q^{\ell}(p,q)$ is, for example, $\{\pi\}$ or $\{-\pi\}$ where π is any (possibly trivial) path in $(\vec{\mathbb{A}}_n)^{\text{dou}}$ from p to q of length ℓ .

Given vertices *p* and *q*, we now seek to determine which numbers ℓ satisfy $Q^{\ell}(p,q) \neq 0$.

Proposition B.1. *With* $d(p,q) = \min\{p, q, n+1-p, n+1-q\} \in \mathbb{N}$ *, one has:*

$$Q^{\ell}(p,q) \neq 0 \quad \iff \quad \ell = |p-q| + 2t \text{ where } 0 \leq t < d(p,q)$$

Proof. Certainly a necessary condition for $Q^{\ell}(p,q) \neq 0$ is that $P^{\ell}(p,q) \neq 0$, which by ($\sharp 23$) means that ℓ has the form $\ell = |p - q| + 2t$ for some $t \in \mathbb{N}_0$. If $t \geq \min\{p,q\}$, then there exists a path from p to q of length $\ell = |p - q| + 2t$ that contains at least one copy of the product $a_1^*a_1$, namely the following path with $t - \min\{p,q\} + 1 > 0$ copies of $a_1^*a_1$:

$$(a_{q-1} \cdots a_1)(a_1^*a_1) \cdots (a_1^*a_1)(a_1^* \cdots a_{p-1}^*)$$
.

Here we have used that $(q-1) + 2(t - \min\{p, q\} + 1) + (p-1)$ is equal to $\ell' = |p-q| + 2t$. In this case, one has $Q^{\ell}(p,q) = 0$ by (\circ) above. Conversely, if $t < \min\{p, q\}$, then there is no path from *p* to *q* of length $\ell' = |p-q| + 2t$ that contains a copy of the product $a_1^*a_1$.

Similarly, if $t \ge \min\{n + 1 - p, n + 1 - q\}$, then there exists a path from *p* to *q* of length $\ell' = |p - q| + 2t$ that contains at least one copy of the product $a_{n-1}a_{n-1}^*$, namely the following path with $t - \min\{n + 1 - p, n + 1 - q\} + 1 > 0$ copies of $a_{n-1}a_{n-1}^*$:

$$(a_q^* \cdots a_{n-1}^*)(a_{n-1}a_{n-1}^*) \cdots (a_{n-1}a_{n-1}^*)(a_{n-1} \cdots a_p)$$
.

Here we have used that $(n-q) + 2(t - \min\{n+1-p, n+1-q\} + 1) + (n-p)$ is equal to $\ell = |p-q| + 2t$. In this case, one has $Q^{\ell}(p,q) = 0$ by (\circ) above. Conversely, if one has $t < \min\{n+1-p, n+1-q\}$, then there is no path from p to q of length $\ell = |p-q| + 2t$ that contains a copy of the product $a_{n-1}a_{n-1}^*$.

Thus, if both $t < \min\{p, q\}$ and $t < \min\{n + 1 - p, n + 1 - q\}$, equivalently, t < d(p, q), then no path from p to q of length $\ell = |p - q| + 2t$ contains $a_1^*a_1$ or $a_{n-1}a_{n-1}^*$, and hence one has $Q^{\ell}(p,q) \neq 0$.

Corollary B.2. Q(p,q) is a free \Bbbk -module of dimension $d(p,q) \in \mathbb{N}$ for every $p,q \in Q$.

Proof. This follows immediately by combining the direct sum decomposition (\sharp 20) with the assertion (\sharp 24) and Proposition B.1.

Corollary B.3. For every $p, q \in Q$, there is a strict inequality, |p - q| + 2(d(p,q) - 1) < n. In particular, one has $Q^{\ell}(p,q) = 0$ for all $\ell \ge n$.

Proof. The strict inequality is not hard to prove, and the last assertion now follows from Proposition B.1.

Corollary B.4. Let $p, q \in Q$ and set S(p) = n + 1 - p. For every $0 \leq \ell < n$, one has

$$Q^{\ell}(p,q) \neq 0 \quad \iff \quad Q^{n-1-\ell}(q,\mathbb{S}(p)) \neq 0.$$

Proof. It follows from the definitions that d(q, S(p)) = d(p,q); denote this number by δ . It is not hard to prove the identity:

$$|p - q| + |q - S(p)| + 2\delta = n + 1.$$
(#25)

To prove the equivalence, we need by Proposition B.1 to argue that one has $\ell = |p - q| + 2t$ for some $0 \le t < \delta$ if and only if $n - 1 - \ell = |q - S(p)| + 2s$ for some $0 \le s < \delta$. If ℓ has the form $\ell = |p-q| + 2t$ for some $0 \le t < \delta$, then $s = \delta - t - 1$ satisfies $0 \le s < \delta$ and it follows from (\$25) that $n-1-\ell = |q-\mathbb{S}(p)| + 2s$. Conversely, if $n-1-\ell = |q-\mathbb{S}(p)| + 2s$ for some $0 \le s < \delta$, then $t = \delta - s - 1$ satisfies $0 \le t < \delta$ and $\ell = |p - q| + 2t$. П

Remark B.5. By Corollary B.3, one has $Q^{\ell}(p,q) = 0$ for every $\ell \ge n$. Hence, if we define $Q^{\ell}(p,q) := 0$ for all $\ell < 0$, then the equivalence in Corollary B.4 holds for all $\ell \in \mathbb{Z}$.

There is a (kind of) canonical way to choose a basis for the 1-dimensional free k-module $Q^{\ell}(p,q)$ in the case where it is non-zero, cf. (\ddagger 24). Namely, consider the diagram obtained from (\ddagger 22) by replacing all occurrences of a_a with $(-1)^q a_a$. For n = 5, it looks like this:



Note that by the mesh relations this diagram is now commutative(!) in Q.

Definition B.6. For every combination of p, q, and ℓ where $Q^{\ell}(p,q) \neq 0$ (see Proposition B.1 for a precise criterion), we let $\xi_{p,q}^{\ell}$ be the unique *signed path* (by which we just mean a morphism in Q of the form \pm a path in the quiver $(\vec{\mathbb{A}}_n)^{\text{dou}}$) from p to q of length ℓ determined by the diagram (#26). When $\ell = 0$, and hence p = q, we set $\xi_{q,q}^0 = id_q$.

As noted after ($\sharp 24$), the singleton set $\{\xi_{p,q}^{\ell}\}$ is a basis of $Q^{\ell}(p,q) \neq 0$.

For example, one has $\xi_{1,1}^0 = id_1$, $\xi_{1,2}^1 = -a_1$, $\xi_{1,3}^2 = -a_2a_1$, and $\xi_{1,4}^3 = a_3a_2a_1$.

Remark B.7. It is clear from Definition B.6 above that multiplication with a_q and a_q^* on the basis elements ξ^{ℓ} acts as follows.

- (a) $a_q \xi_{p,q}^{\ell} = (-1)^q \xi_{p,q+1}^{\ell+1}$ provided that $Q^{\ell}(p,q) \neq 0$ and $Q^{\ell+1}(p,q+1) \neq 0$. (b) $\xi_{q+1,r}^{\ell} a_q = (-1)^q \xi_{q,r}^{\ell+1}$ provided that $Q^{\ell}(q+1,r) \neq 0$ and $Q^{\ell+1}(q,r) \neq 0$. (c) $a_q^* \xi_{p,q+1}^{\ell} = \xi_{p,q}^{\ell+1}$ provided that $Q^{\ell}(p,q+1) \neq 0$ and $Q^{\ell+1}(p,q) \neq 0$. (d) $\xi_{q,r}^{\ell} a_q^* = \xi_{q+1,r}^{\ell+1}$ provided that $Q^{\ell}(q,r) \neq 0$ and $Q^{\ell+1}(q+1,r) \neq 0$.

A more refined version of part (a) can be found in Lemma B.9. Of course, it is also possible to make similar refined versions of (b)-(d).

Proof of Theorem 8.8. We know from Corollary B.2 that *Q* satisfies condition (1) in Setup 2.5 (Homfiniteness), indeed, it even satisfies the stronger condition mentioned in 8.8(a).

It is evident that *Q* satisfies condition (2) in Setup 2.5 (Local Boundedness) as *Q* only has finitely many objects.

It is known from Lemma 8.6 that Q satisfies condition (4^{*}) in Definition 7.3 (the Strong Retraction Property) and that the arrow ideal \mathfrak{r} serves as the pseudo-radical in Q. That one has $\mathfrak{r}^n = 0$, as asserted last in Theorem 8.8, is immediate from Corollary B.3.

It remains to argue that Q satisfies condition (3) in Setup 2.5, that is, that Q has a Serre functor, which is given by the formulae in 8.8(b). The arguments take up the rest of the proof.

By the universal property of the path category *P*, we can well define a unique k-linear endofunctor $S: P \to P$ by setting S(q) = n + 1 - q for $1 \le q \le n$ and $S(a_q) = (-1)^q a_{n-q}^*$ and $S(a_q^*) = (-1)^{n-q} a_{n-q}$ for $1 \le q < n$; the same formulae as in 8.8(b). This functor is an involution, that is, an automorphism with $S^{-1} = S$, as one has S(S(q)) = q and $S(S(a_q)) = a_q$ and $S(S(a_q^*)) = a_q^*$. For the mesh relations μ_1, \dots, μ_n , an easy computation shows that the identity $S(\mu_q) = (-1)^n \mu_{n+1-q}$ holds for all $1 \le q \le n$. Hence S preserves the mesh ideal $\mathbf{m} = \langle \mu_1, \dots, \mu_n \rangle$, so by the universal property of the quotient (mesh) category $Q = P/\mathbf{m}$, it follows that S induces a k-linear automorphism $S: Q \to Q$.

It remains to prove that this automorphism S satisfies the defining property of a Serre functor, that is, we must establish an isomorphism of k-modules,

$$\Xi_{p,q}: Q(p,q) \xrightarrow{\cong} \operatorname{Hom}_{\Bbbk}(Q(q,\mathbb{S}(p)),\mathbb{k}), \qquad (\sharp 27)$$

which is natural in $p, q \in Q$. It follows from Corollary B.3 that the direct sum in ($\sharp 20$) is finite, in fact, one has

$$Q(p,q) = Q^{0}(p,q) \oplus \cdots \oplus Q^{n-1}(p,q)$$

(of course, some of these direct summands are zero by Proposition B.1), and hence also

$$\operatorname{Hom}_{\Bbbk}(Q(q, \mathbb{S}(p)), \Bbbk) = \operatorname{Hom}_{\Bbbk}(Q^{n-1}(q, \mathbb{S}(p)), \Bbbk) \oplus \cdots \oplus \operatorname{Hom}_{\Bbbk}(Q^{0}(q, \mathbb{S}(p)), \Bbbk)$$

Thus, to construct an isomorphism $\Xi_{p,q}$ as in ($\sharp 27$), it suffices to construct an isomorphism

$$\Xi_{p,q}^{\ell} \colon Q^{\ell}(p,q) \xrightarrow{\cong} \operatorname{Hom}_{\Bbbk}(Q^{n-1-\ell}(q,\mathbb{S}(p)),\Bbbk)$$
(\$28)

for every $\ell \in \mathbb{Z}$; here $Q^{\ell}(p,q) = 0$ for $\ell < 0$ as in Remark B.5. Indeed, having constructed isomorphisms $\Xi_{p,q}^{\ell}$ for $\ell \in \mathbb{Z}$ we simply define $\Xi_{p,q} = \bigoplus_{\ell \in \mathbb{Z}} \Xi_{p,q}^{\ell} = \bigoplus_{\ell=0}^{n-1} \Xi_{p,q}^{\ell}$.

To define $\Xi_{p,q}^{\ell}$ note that the k-modules $Q^{\ell}(p,q)$ and $Q^{n-1-\ell}(q,\mathbb{S}(p))$ are simultaneously zero by Corollary B.4 and Remark B.5, and in all such cases we set $\Xi_{p,q}^{\ell} = 0$.

For combinations of p, q, and ℓ where $Q^{\ell}(p,q) \neq 0$, and hence also $Q^{n-1-\ell}(q, \mathbb{S}(p)) \neq 0$, both $Q^{\ell}(p,q)$ and $\operatorname{Hom}_{\Bbbk}(Q^{n-1-\ell}(q, \mathbb{S}(p)), \Bbbk)$ are 1-dimensional free \Bbbk -modules by ($\sharp 24$), and hence they are, at least, non-canonically isomorphic. However, to obtain a *natural* isomorphism, we have to be more careful:

In the situation where $Q^{\ell}(p,q) \neq 0$, we have already chosen a basis $\{\xi_{p,q}^{\ell}\}$ for this module, see Definition B.6. As a basis of $\operatorname{Hom}_{\Bbbk}(Q^{\ell}(p,q),\Bbbk)$, we now take the dual of this basis, that is, $\{\check{\xi}_{p,q}^{\ell}\}$

where $\check{\xi}_{p,q}^{\ell}$: $Q^{\ell}(p,q) \to \Bbbk$ is the \Bbbk -linear map given by $\xi_{p,q}^{\ell} \mapsto 1$. Now let

$$\Xi_{p,q}^{\ell}$$
 be the k-isomorphism given by $\xi_{p,q}^{\ell} \mapsto \check{\xi}_{q,\mathbb{S}(p)}^{n-1-\ell}$.

It remains to prove that the hereby obtained isomorphism $\Xi_{p,q} = \bigoplus_{\ell} \Xi_{p,q}^{\ell}$, see (#27), is natural in *p* and *q*. Naturality in the variable *q* means that for every morphism $\beta : q' \to q''$ in *Q*, the following diagram should be commutative,

$$\begin{array}{c} Q(p,q') \xrightarrow{\Xi_{p,q'}} & \operatorname{Hom}_{\Bbbk}(Q(q',\mathbb{S}(p)),\mathbb{k}) \\ \beta \circ - \bigvee & \bigvee - \circ Q(\beta,\mathbb{S}(p)) \\ Q(p,q'') \xrightarrow{\Xi_{p,q''}} & \operatorname{Hom}_{\Bbbk}(Q(q'',\mathbb{S}(p)),\mathbb{k}) \end{array}$$

Evidently, it is enough to check this in the case where $\beta = a_q$ or $\beta = a_q^*$ for some $1 \le q < n$. We only consider the first case, as the second case can be dealt with similarly. By definition, $\Xi_{p,q}$ is the direct sum $\bigoplus_{\ell} \Xi_{p,q}^{\ell}$, so it suffices to argue that for every ℓ , the diagram

is commutative. As already mentioned (see Corollary B.4 and Remark B.5), the domain and codomain of $\Xi_{p,q}^{\ell}$ are simultaneously zero, and so are the domain and codomain of $\Xi_{p,q+1}^{\ell+1}$. Thus we may assume that all four modules that appear in the diagram above are non-zero (otherwise the diagram is trivially commutative), in which case the basis elements

$$\xi_{p,q}^{\ell},\,\xi_{q,\mathbb{S}(p)}^{n-1-\ell},\,\check{\xi}_{q,\mathbb{S}(p)}^{n-1-\ell}\qquad\text{and}\qquad \xi_{p,q+1}^{\ell+1},\,\xi_{q+1,\mathbb{S}(p)}^{n-2-\ell},\,\check{\xi}_{q+1,\mathbb{S}(p)}^{n-2-\ell}$$

exist. To see that the diagram (29) is commutative, it must be shown that the maps

$$\Xi_{p,q}^{\ell}(\xi_{p,q}^{\ell}) \circ Q(a_q, \, \mathbb{S}(p)) \qquad \text{and} \qquad \Xi_{p,q+1}^{\ell+1}(a_q \, \xi_{p,q}^{\ell})$$

are identical. Using the definition of $\Xi_{p,q}^{\ell}$ and Remark B.7(a), we get

$$\begin{split} \Xi_{p,q}^{\ell}(\xi_{p,q}^{\ell}) \circ Q(a_q, \,\mathbb{S}(p)) \,&=\, \check{\xi}_{q,\mathbb{S}(p)}^{n-1-\ell} \circ Q(a_q, \,\mathbb{S}(p)) \qquad \text{and} \\ \\ \Xi_{p,q+1}^{\ell+1}(a_q \,\xi_{p,q}^{\ell}) \,&=\, (-1)^q \,\Xi_{p,q+1}^{\ell+1}(\xi_{p,q+1}^{\ell+1}) \,=\, (-1)^q \,\check{\xi}_{q+1,\mathbb{S}(p)}^{n-2-\ell} \end{split}$$

To prove that these two k-linear maps $Q^{n-2-\ell}(q+1, \mathbb{S}(p)) \to \mathbb{K}$ are identical, it suffices to see that they agree on $\xi_{q+1,\mathbb{S}(p)}^{n-2-\ell}$. By Remark B.7(b) and the definition of dual bases, we get for the first map above:

$$\begin{pmatrix} \check{\xi}_{q,\mathbb{S}(p)}^{n-1-\ell} \circ Q(a_q, \mathbb{S}(p)) \end{pmatrix} (\xi_{q+1,\mathbb{S}(p)}^{n-2-\ell}) = \check{\xi}_{q,\mathbb{S}(p)}^{n-1-\ell} (\xi_{q+1,\mathbb{S}(p)}^{n-2-\ell} a_q)$$

= $(-1)^q \check{\xi}_{q,\mathbb{S}(p)}^{n-1-\ell} (\xi_{q,\mathbb{S}(p)}^{n-1-\ell}) = (-1)^q$

And for the second map we obviously also have $(-1)^q \check{\xi}_{q+1,\mathbb{S}(p)}^{n-2-\ell}(\xi_{q+1,\mathbb{S}(p)}^{n-2-\ell}) = (-1)^q$.

These arguments show that the isomorphism $\Xi_{p,q}$ is natural in q. A similar argument shows that it is natural in p, and consequently the proof is concluded.

Definition B.8. For every $p, q \in Q$, we consider the (ordered) set

$$B_{p,q} \;=\; \left\{\xi_{p,q}^{|p-q|},\xi_{p,q}^{|p-q|+2},\xi_{p,q}^{|p-q|+4},\ldots,\xi_{p,q}^{|p-q|+2(d(p,q)-1)}\right\}\,,$$

which is a basis of the d(p, q)-dimensional free k-module Q(p, q); see the direct sum decomposition ($\sharp 20$), Proposition B.1, and Definition B.6.

For every $1 \le p \le n$ and $1 \le q < n$ we let $T_{p,q}$ and $T^*_{p,q}$ be the matrices given by



where the vertical isomorphisms are induced by the bases $B_{p,q}$ and $B_{p,q+1}$. Here we view elements in \mathbb{k}^m as a column vectors, so the matrices $T_{p,q}$ and $T^*_{p,q}$ act from the left and have sizes $d(p, q + 1) \times d(p, q) \times d(p, q + 1)$, respectively.

Our next goal is to find explicit descriptions of the matrices $T_{p,q}$ and $T_{p,q}^*$.

Lemma B.9. Let $1 \le p \le n$ and $1 \le q < n$ be given. For every $0 \le t < d(p,q)$, set

$$k_{p,q}(t) = \begin{cases} t & \text{if } p \leq q \\ t+1 & \text{if } p > q \end{cases}$$

The following formula holds:

$$a_{q} \xi_{p,q}^{|p-q|+2t} = \begin{cases} (-1)^{q} \xi_{p,q+1}^{|p-(q+1)|+2k_{p,q}(t)} & \text{if } k_{p,q}(t) < d(p,q+1) \\ 0 & \text{otherwise} \end{cases}$$

Proof. As $a_q \xi_{p,q}^{|p-q|+2t}$ is a (signed) path from p to q + 1 of length $\ell' = |p-q| + 2t + 1$, we know from Proposition B.1 that it is non-zero if and only if ℓ has the form |p - (q + 1)| + 2s for some $0 \le s < d(p, q + 1)$. Note that the equation $|p - q| + 2t + 1 = \ell' = |p - (q + 1)| + 2s$ implies that s is equal to $k_{p,q}(t)$, so the desired conclusion follows from Definition B.6 (see also Remark B.7).

In the next result, I_m denotes the $m \times m$ identity matrix; for m = 0 it is the empty matrix.

Proposition B.10. For every $1 \le p \le n$ and $1 \le q < n$, the following assertions hold.

(a) If p + q < n + 1 and $p \leq q$, then $T_{p,q}$ is the following $p \times p$ matrix:

$$T_{p,q} = (-1)^q \cdot I_p$$

(b) If p + q < n + 1 and p > q, then $T_{p,q}$ is the following $(q + 1) \times q$ matrix:

$$T_{p,q} = (-1)^q \cdot \left(\frac{0}{I_q}\right).$$

(c) If $p + q \ge n + 1$ and $p \le q$, then $T_{p,q}$ is the following $(n - q) \times (n + 1 - q)$ matrix:

$$T_{p,q} = (-1)^q \cdot \left(I_{n-q} \mid 0 \right)$$

(d) If $p + q \ge n + 1$ and p > q, then $T_{p,q}$ is the following $(n + 1 - p) \times (n + 1 - p)$ matrix:

$$T_{p,q} = (-1)^q \cdot \left(\frac{0 \ 0}{I_{n-p} \ 0}\right)$$

Proof. We only prove parts (b) and (c) as the remaining two parts are proved similarly. Recall from Proposition B.1 that the function d is given by that

$$d(p,q) = \min\{p,q,n+1-p,n+1-q\} \text{ and hence}$$

$$d(p,q+1) = \min\{p,q+1,n+1-p,n-q\}.$$

(b) Clearly one has d(p,q) = q and d(p,q+1) = q+1 under the given assumptions on p and q. In this case, $k_{p,q}(t) = t + 1$ and Lemma B.9 implies

$$a_q \, \xi_{p,q}^{|p-q|+2t} \, = \, (-1)^q \, \xi_{p,q+1}^{|p-(q+1)|+2(t+1)} \quad \text{for every} \quad 0 \leqslant t < q$$

This shows that the matrix $T_{p,q}$ has the asserted form.

(c) Clearly one has d(p,q) = n + 1 - q and d(p,q+1) = n - q under the given assumptions on p and q. In this case, $k_{p,q}(t) = t$ and Lemma B.9 implies that

$$a_{q} \xi_{p,q}^{|p-q|+2t} = \begin{cases} (-1)^{q} \xi_{p,q+1}^{|p-(q+1)|+2t} & \text{if } 0 \leq t < n-q \\ 0 & \text{if } t = n-q . \end{cases}$$

This shows that the matrix $T_{p,q}$ has the asserted form.

Proposition B.11. For every $1 \le p \le n$ and $1 \le q < n$, the following assertions hold.

(a) If p + q < n + 1 and $p \leq q$, then $T^*_{p,q}$ is the following $p \times p$ matrix:

$$T_{p,q}^* = \left(\frac{0 \ | 0}{I_{p-1} | 0}\right)$$

(b) If p + q < n + 1 and p > q, then $T_{p,q}^*$ is the following $q \times (q + 1)$ matrix:

$$T_{p,q}^* = \left(I_q \mid 0 \right)$$

(c) If $p + q \ge n + 1$ and $p \le q$, then $T^*_{p,q}$ is the following $(n + 1 - q) \times (n - q)$ matrix:

$$T_{p,q}^* = \left(\frac{0}{I_{n-q}}\right).$$

(d) If $p + q \ge n + 1$ and p > q, then $T_{p,q}^*$ is the following $(n + 1 - p) \times (n + 1 - p)$ matrix:

$$T_{p,q}^* = I_{n+1-p}$$
.

Proof. Similar to the proof of Proposition B.10.

Proof of Theorem 8.16. Let $1 \le p, q \le n$ be given. We must show that $\mathscr{H}_q(Q(p, -)) = 0$. The proof is divided into three different cases: q = 1, (1 < q < n), and q = n. We start with the case q = 1; the case q = n is handled similarly and therefore left to the reader.

The mesh (\ddagger 19) associated to q = 1 is:

$$1 \xrightarrow{a_1} 2 \xrightarrow{a_1^*} 1$$
.

It must be shown that the sequence

$$Q(p,1) \xrightarrow{a_1 \circ -} Q(p,2) \xrightarrow{a_1^* \circ -} Q(p,1)$$

is exact. By Definition B.8, this sequence is isomorphic to

$$\Bbbk \xrightarrow{T_{p,1}} \Bbbk^{d(p,2)} \xrightarrow{T_{p,1}^*} \Bbbk .$$

For p = 1, (1 , and <math>p = n, we get from parts (a)–(d) in Propositions B.10 and B.11 that this sequence is

$$\mathbb{k} \xrightarrow{-1} \mathbb{k} \xrightarrow{0} \mathbb{k}$$
, $\mathbb{k} \xrightarrow{\begin{pmatrix} 0 \\ -1 \end{pmatrix}} \mathbb{k}^2 \xrightarrow{(1 \ 0)} \mathbb{k}$, and $\mathbb{k} \xrightarrow{0} \mathbb{k} \xrightarrow{1} \mathbb{k}$,

so evidently the sequence is exact in all three cases.

It remains to consider the mesh at 1 < q < n, which is



It must be shown that the sequence

$$Q(p,q) \xrightarrow{\begin{pmatrix} a_{q-1}^{*} \circ - \\ a_{q} \circ - \end{pmatrix}} \xrightarrow{Q(p,q-1)} \underbrace{\begin{pmatrix} a_{q-1} \circ - & a_{q}^{*} \circ - \end{pmatrix}}_{Q(p,q+1)} \xrightarrow{Q(p,q)} Q(p,q)$$

is exact. By Definition B.8, this sequence is isomorphic to

$$\mathbb{k}^{d(p,q)} \xrightarrow{M_{p,q} := \left(\frac{T_{p,q-1}^{*}}{T_{p,q}}\right)} \mathbb{k}^{d(p,q-1) + d(p,q+1)} \xrightarrow{N_{p,q} := \left(T_{p,q-1} \middle| T_{p,q}^{*}\right)} \mathbb{k}^{d(p,q)} .$$
(#30)

There are now four cases to check: (a)–(d), corresponding to the four cases in Propositions B.10 and B.11. We only consider the first case as the remaining three cases are handled similarly.

Thus, assume that the pair (p,q) satisfies p + q < n + 1 and $p \le q$. In this case, part (a) in Propositions B.10 and B.11 yields expressions for the matrices $T_{p,q}$ and $T_{p,q}^*$. If p < q, then the pair (p,q-1) satisfies p + (q-1) < n + 1 and $p \le q - 1$, however, if p = q, then p + (q-1) < n + 1and p > q - 1. Thus depending on the situation p < q or p = q we can use either part (a) or (b) in Propositions B.10 and B.11 to find expressions for the matrices $T_{p,q-1}$ and $T_{p,q-1}^*$. Explicitly, if p < q, then the block matrices in ($\sharp 30$) are

$$M_{p,q} = \left(\frac{\begin{matrix} 0 & 0 \\ \hline I_{p-1} & 0 \\ \hline (-1)^q I_{p-1} & 0 \\ \hline 0 & (-1)^q \end{matrix} \right) \text{ and } N_{p,q} = \left(\frac{(-1)^{q-1} \left| \begin{array}{c} 0 & 0 \\ \hline 0 & (-1)^{q-1} I_{p-1} \right| I_{p-1} \\ \hline 0 & (-1)^{q-1} I_{p-1} \\ \hline 0 & (-1)$$

of sizes $2p \times p$ and $p \times 2p$, and if p = q they are

$$M_{q,q} = \left(\frac{I_{q-1} \quad 0}{(-1)^q I_{q-1} \quad 0} \\ 0 \quad (-1)^q \right) \quad \text{and} \quad N_{q,q} = \left(\frac{0 \quad 0 \quad 0}{(-1)^{q-1} I_{q-1} \mid I_{q-1} \mid 0} \right)$$

of sizes $(2q - 1) \times q$ and $q \times (2q - 1)$. In both cases, the sequence (#30) is clearly exact.

ACKNOWLEDGEMENTS

It is a great pleasure to thank the anonymous referee for reading the article carefully and for his/her thoughtful comments. In particular, we thank the referee for making us aware of the paper [22] by Gillespie and Hovey, mentioned at the end of the Introduction, and for suggesting to include Example 3.10.

The second author was supported by a DNRF Chair from the Danish National Research Foundation (grant no. DNRF156), a Research Project 2 from the Independent Research Fund Denmark (grant no. 1026-00050B), and by Aarhus University Research Foundation (grant no. AUFF-F-2020-7-16).

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