

**STRUCTURE AND DERIVED LENGTH OF FINITE p -GROUPS
POSSESSING AN AUTOMORPHISM OF p -POWER ORDER
HAVING EXACTLY p FIXED POINTS.**

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1. INTRODUCTION.

Everywhere in this paper p denotes a prime number.

In [1] Alperin showed that the derived length of a finite p -group possessing an automorphism of order p and having exactly p^n fixed points is bounded above by a function of the parameters p and n .

The purpose of this paper is to prove the same type of theorem for the derived length of a finite p -group possessing an automorphism of order p^n having exactly p fixed points. However, we will restrict ourselves to the case where p is odd.

A strong motivation for the consideration of this class of finite p -groups is induced by the fact that the theory of these groups is strongly similar to certain aspects of the theory of finite p -groups of maximal class. For the theory of finite p -groups of maximal class the reader may consult [2] or [4], pp. 361–377.

In section 3 we derive a more useful description of the groups in question and we show that the theory of these objects is similar to the theory of finite p -groups of maximal class. We illustrate the ideas in abelian p -groups.

In section 4 we study p -power and commutator structure.

Based on the results of section 4 we prove the main theorems in section 5. The method leading to the proof of our main theorems does not resemble Alperin's method. Our method may be described as a detailed analysis of commutator- and p -power-structure of the groups in question. The central method is a development of a method used by Leedham-Green and McKay in [5], and is of 'combinatorial' nature.

2. NOTATION

The letter e always denotes the neutral element of a given group.

If x and y are elements of a group we write

$$x^y = y^{-1}xy \quad \text{and} \quad [x, y] = x^{-1}y^{-1}xy .$$

Then we have the formulas

$$[x, yz] = [x, z][x, y][x, y, z] \quad \text{and} \quad [xy, z] = [x, z][x, z, y][y, z]$$

(where $[x_1, \dots, x_{n+1}] = [[x_1, \dots, x_n], x_{n+1}]$).

If α is an automorphism of a group G we write x^α for the image of x under α .

If α is an automorphism of a group G , and if N is an α -invariant, normal subgroup of G , then we also write α for the automorphism induced by α on G/N .

For a given group G , the terms of the lower central series of G are written $\gamma_i(G)$ for $i \in \mathbb{N}$.

If G is a finite p -group, then $\omega(G) = k$ means that $G/G^p = p^k$.

3.

We now define a certain class of finite p -groups which turns out to be precisely the objects in which we are interested, that is the finite p -groups possessing an automorphism of p -power order having exactly p fixed points.

Definition 1. *Suppose that G is a finite p -group. We say that G is concatenated if and only if G has:*

(i) *a strongly central series*

$$G = G_1 \geq G_2 \geq \dots \geq G_n = \{e\}$$

(putting $G_k = \{e\}$ for $k \geq n$, ‘strongly central’ means that $[G_i, G_j] \leq G_{i+j}$; for all i, j),

(ii) *elements $g_i \in G_i$, $i = 1, \dots, n$, and*

(iii) *an automorphism α*

such that

(1) $|G_i/G_{i+1}| = p$, for $i = 1, \dots, n-1$,

(2) G_i/G_{i+1} is generated by $g_i G_{i+1}$, for $i = 1, \dots, n$,

(3) $[g_i, \alpha] := g_i^{-1} g_i^\alpha \equiv g_{i+1} \pmod{G_{i+2}}$, for $i = 1, \dots, n-1$.

In the situation of definition 1 we shall also say that G is α -concatenated. It is easy to see that α has p -power order whenever α is an automorphism of the finite p -group G such that G is α -concatenated.

If G is a finite p -group, then the statement ‘ G is α -concatenated’ means that G possesses an automorphism α such that G is α -concatenated.

Whenever G is given as an α -concatenated p -group, we shall assume that a strongly central series $G = G_1 \geq G_2 \geq \dots$ and elements $g_i \in G_i$ have been chosen so that conditions (1), (2) and (3) in definition 1 above are fulfilled; the symbols G_i and g_i then always refer to this choice.

Proposition 1. *Suppose G is an α -concatenated p -group. Then for all $i \in \mathbb{N}$, G_{i+1} is the image of G_i under the mapping*

$$x \mapsto x^{-1} x^\alpha = [x, \alpha],$$

and if G_i/G_{i+1} is generated by $x G_{i+1}$, then the group G_{i+1}/G_{i+2} is generated by $[x, \alpha] G_{i+2}$.

Proof. Suppose that G has order p^{n-1} . Then $[g_{n-1}, \alpha] = e$ and so $[g_{n-1}^a, \alpha] = e$ for all a . This shows the assertions for all $i \geq n-1$. Assume then that the enunciations have been proved for $i \geq k+1$, where $1 \leq k < n-1$. If then $x \in G_k - G_{k+1}$, we write $x = g_k^a y$, where $a \in \{1, \dots, p-1\}$ and $y \in G_{k+1}$. Then,

$$[x, \alpha] = [g_k^a, \alpha][g_k^a, \alpha, y][y, \alpha]$$

whence

$$[x, \alpha] \equiv [g_k, \alpha]^a \pmod{G_{k+2}},$$

since it is easy to see that $[g_k^r, \alpha] \equiv [g_k, \alpha]^r \pmod{G_{k+2}}$ for all r . Thus we deduce

$$[x, \alpha] \equiv g_{k+1}^a \pmod{G_{k+2}} .$$

As a consequence we have demonstrated the last enunciation for $i = k$ and that the image of G_k under the mapping $x \mapsto [x, \alpha]$ is contained in G_{k+1} . It also follows that the group of fixed points of α in G is G_{n-1} .

Then for $x, y \in G_k$,

$$[x, \alpha] = [y, \alpha] \Leftrightarrow yx^{-1} = (yx^{-1})^a \Leftrightarrow yx^{-1} \in G_{n-1} ,$$

and since $G_{n-1} \leq G_k$, we see that the image of the mapping $x \mapsto [x, \alpha]$ restricted to G_k has order

$$|G_k : G_{n-1}| = \frac{1}{p}|G_k| = |G_{k+1}| .$$

Thus this image must be all of G_{k+1} . \square

Proposition 2. *Let G be a finite p -group and let α be an automorphism of p -power order of G . Then the following statements are equivalent:*

- (1) G is α -concatenated.
- (2) α has exactly p fixed points in G .

Proof. (1) implies (2): If G has order p^{n-1} then Proposition 1 implies that α 's group of fixed points in G is G_{n-1} ; but $|G_{n-1}| = p$.

(2) implies (1): We show by induction on $|G|$ that G is α -concatenated. Of course we may assume that $|G| > p$.

If N is an α -invariant, normal subgroup of G , it is well-known that α has at the most p fixed points in G/N (xN is a fixed point if and only if $x^{-1}x^\alpha \in N$; $x^{-1}x^\alpha = y^{-1}y^\alpha$ if and only if yx^{-1} is a fixed point of α in G). Since the order of α is a power of p , α must then have exactly p fixed points in G/N .

Let F be the group of fixed points of α in G . Since α has p -power order, and since $|F| = p$, F is contained in the center of G . From the inductual hypothesis we deduce that G/F is α -concatenated. Therefore there exists a strongly central series

$$G/F = G_1/F \geq \dots \geq G_n/F = \{e\}$$

and elements $g_i \in G_i$ such that

$$G_i/G_{i+1} \text{ has order } p ,$$

$$G_i/G_{i+1} \text{ is generated by } g_i G_{i+1} \text{ for } i = 1, \dots, n-1 ,$$

and

$$[g_i F, \alpha] \equiv g_{i+1} F \pmod{G_{i+2}/F} \text{ for } i = 1, \dots, n-2 .$$

Then

$$[g_i, \alpha] \equiv g_{i+1} \pmod{G_{i+2}} \text{ for } i = 1, \dots, n-2 ,$$

and $e \neq [g_{n-1}, \alpha] \in F$. Putting $g_n := [g_{n-1}, \alpha]$, we then have that g_n generates F . Put $G_{n+i} = \{e\}$ for $i \in \mathbb{N}$. Then we only have to show that the series

$$G = G_1 \geq G_2 \geq \dots \geq G_n = F \geq G_{n+1} = \{e\}$$

is strongly central. Consider the semidirect product $H = G \langle \alpha \rangle$. Since the terms of the series are all α -invariant we get $\gamma_i(H) \leq G_i$ for $i \geq 2$.

Since $[G_1, \underbrace{\alpha, \dots, \alpha}_{i-1}] \in G_i - G_{i+1}$ if $G_i \neq \{e\}$, we see that $\gamma_i(H) = G_i$ for $i \geq 2$.

Then

$$[G_i, G_j] \leq [\gamma_i(H), \gamma_j(H)] \leq \gamma_{i+j}(H) = G_{i+j}$$

for all $i, j \in \mathbb{N}$. \square

Corollary 1. *If G is a finite, α -concatenated p -group, then the only α -invariant, normal subgroups of G are the G_i for $i \in \mathbb{N}$.*

Proof. Suppose that N is an α -invariant, normal subgroup of G . Since α has p -power order, α has exactly p fixed points in N . Thus α 's group of fixed points in G is contained in N . By induction on $|G|$ the statement follows immediately. \square

The next proposition shows that the theory of finite, concatenated p -groups is connected to certain aspects of the theory of finite p -groups of maximal class.

Proposition 3. *Let G be a finite p -group.*

Then G is α -concatenated for some automorphism α of order p , if and only if G can be embedded as a maximal subgroup of a finite p -group of maximal class.

Proof. Suppose that G is α -concatenated where $O(\alpha) = p$. Then G is embedded as a maximal subgroup of the semidirect product $H = G \langle \alpha \rangle$. By Proposition 1 we see that H has class $n - 1$ if G has order p^{n-1} . Thus H is a finite p -group of maximal class.

Suppose conversely that H is a finite p -group of maximal class and order p^n . Let U be a maximal subgroup of H . We have to show that U is α -concatenated for some automorphism α of order p and may assume that $n \geq 4$.

Put $H_i = \gamma_i(H)$ for $i \geq 2$, and $H_1 = C_H(H_2/H_4)$. It is well-known that

$$H_1 = C_H(H_i/H_{i+2}) \quad \text{for } i = 2, \dots, n-3;$$

this is also true for $i = n-2$ if $p = 2$ (see [4], p. 362). Since H has $p+1$ maximal subgroups, we deduce the existence of a maximal subgroup U_1 of H such that U_1 is different from U and from

$$C_H(H_i/H_{i+2}) \quad \text{for } i = 2, \dots, n-2.$$

If $U = \langle u, H_2 \rangle$ and $U_1 = \langle u_1, H_2 \rangle$ then H is generated by u and u_1 . Suppose that $s \in C_H(u_1) \cap U$ and write $s = u_1^a u^b x$ with $x \in H_2$. Then u_1 commutes with $u^b x$. Since H is not abelian, we must have $b \equiv 0 \pmod{p}$. Then $s = u_1^a y$ where $y \in H_2$. Since $s \in U \neq U_1$ we must have $a \equiv 0 \pmod{p}$. Then $s \in H_2$. Since

$$u_1 \notin C_H(H_i/H_{i+2}) \quad \text{for } i = 2, \dots, n-2$$

we deduce $s \in H_{n-1} = Z(H)$. If α denotes the restriction to U of the inner automorphism induced by u_1 , then consequently α has exactly p fixed points in U . Then U is α -concatenated according to Proposition 2. Furthermore,

$$u_1^p \in C_H(U_1) \cap H_2 \leq C_H(U_1) \cap U = Z(H)$$

so α has order p . \square

Next we determine the structure of finite abelian, concatenated p -groups. The purpose is to provide some simple examples that will display certain phenomena occurring quite generally.

Proposition 4. *Let U be a finite abelian, concatenated p -group.*

Then U has type

$$\underbrace{(p^{\mu+1}, \dots, p^{\mu+1})}_s, \underbrace{(p^\mu, \dots, p^\mu)}_{d-s} \quad \text{for some } \mu \in \mathbb{N}, s \geq 0, d > s .$$

Proof. Suppose that U is α -concatenated. Let $\omega(U) = p^d$. Now, U/U^p is α -concatenated so we deduce the existence of elements $u_1, \dots, u_d \in U$ and $u \in U^p$ such that $U = \langle u_1, \dots, u_d \rangle$ and

$$u_i^\alpha = u_i u_{i+1} \quad \text{for } i = 1, \dots, d-1, \quad u_d^\alpha = u_d u .$$

If we put $p^{\mu_i} = O(u_i)$ we deduce $\mu_1 \geq \dots \geq \mu_d$. Let $s \geq 0$ and $\mu \in \mathbb{N}$ be determined by the conditions $\mu_1 = \dots = \mu_s = \mu + 1$ and $\mu_s > \mu_{s+1}$; if $\mu_1 = \dots = \mu_d$ we put $s = 0$ and $\mu = \mu_1$.

If $s > 0$ then

$$(u_s^{p^{\mu_{s+1}}})^\alpha = u_s^{p^{\mu_{s+1}}} u_{s+1}^{p^{\mu_{s+1}}} = u_s^{p^{\mu_{s+1}}}$$

and so $\mu_s - \mu_{s+1} = 1$, since α has exactly p fixed points in U . Then $\mu_{s+1} = \dots = \mu_d$, since u_1, \dots, u_d are independent generators. \square

Proposition 5. *For integers μ, s, d with $\mu, d \in \mathbb{N}$ and $d > s \geq 0$, we consider the finite, abelian p -group*

$$U = U(p, \mu, s, d) := (\mathbb{Z}/\mathbb{Z}p^{\mu+1})^s \times (\mathbb{Z}/\mathbb{Z}p^\mu)^{d-s}$$

with canonical basis (u_1, \dots, u_d) (so that $O(u_i) = p^{\mu+1}$ for $i = 1, \dots, s$, and $O(u_i) = p^\mu$ for $i > s$).

For any integers b_1, \dots, b_d with $b_1 \not\equiv 0 \pmod{p}$ we define the endomorphism α of U by

$$u_i^\alpha = u_i u_{i+1} \quad \text{for } i = 1, \dots, d-1, \quad u_d^\alpha = u_d u ,$$

where $u := u_1^{pb_1} \dots u_d^{pb_d}$.

Then α is an automorphism of U and U is α -concatenated.

For all $i \in \mathbb{N}$ define: $u_i := [u_1, \underbrace{\alpha, \dots, \alpha}_{i-1}]$ (for $i \leq d$ this is of course not a definition, but rather a property of α), and put $U_i := \langle u_j \mid j \geq i \rangle$.

The order of α is then determined as follows:

Let $v \in \mathbb{Z}$, $v \geq 0$ be least possible such that $d \leq p^v(p-1)$.

Case (1). $d < p^v(p-1)$: If $d\mu + s \leq p^t$ then $O(\alpha) = p^\sigma$, where σ is least possible such that $p^\sigma \geq d\mu + s$.

Otherwise, $O(\alpha) = p^{v+k}$ where $k \geq 1$ is least possible such that

$$k \geq \frac{d\mu + s - p^v}{d} .$$

Case (2). $d = p^v(p-1)$: If $d\mu + s < p^{v+1}$, put $r = d\mu + s$. Otherwise, there exists $r \in \{p^{v+1}, \dots, d\mu + s\}$ least possible such that

$$X := u_2^{\binom{p^{v+1}}{1}} \dots u_{p^{v+1}-1}^{\binom{p^{v+1}}{p^{v+1}-2}} u_{p^{v+1}}^{\binom{p^{v+1}}{p^{v+1}-1}} u_{p^{v+1}+1} \in U_{r+1} .$$

Then $O(\alpha) = p^{v+k+1}$ where $k \geq 0$ is least possible such that

$$k \geq \frac{d\mu + s - r}{d} .$$

Proof. It is easily verified that α is an automorphisms of U , that α has exactly p fixed points, and that α has p -power order. So, U is α -concatenated by Proposition 2.

By an easy inductional argument (on the parameter $d\mu + s$) we see that for all i ,

$$u_i^p \equiv u_{i+d}^a \pmod{U_{i+d+1}} \quad \text{with } a \not\equiv 0 \pmod{p} .$$

By induction on k we also see that for all i ,

$$u_i^{\alpha^k} = u_i u_{i+1}^{\binom{k}{1}} \cdots u_{i+k-1}^{\binom{k}{k-1}} .$$

From these facts we may conclude that

$$u_i^{\alpha^{p^\sigma}} \equiv u_i u_{i+p^\sigma} \pmod{U_{i+p^\sigma+1}} \quad \text{for all } i \text{ and all } \sigma \leq v ,$$

since $d > p^\sigma(p-1)$ for $\sigma \leq v$.

Case (1). $d < p^v(p-1)$: By an easy induction on $k \geq 0$ we get

$$u_i^{\alpha^{p^{v+k}}} \equiv u_i u_{i+p^{v+kd}}^{b(k)} \pmod{U_{i+p^{v+kd}+1}}$$

where $b(k) \not\equiv 0 \pmod{p}$. Here we have used the inequality

$$(1 - k(p-1))d < p^{v+1} - p^v .$$

Case (2). $d = p^v(p-1)$: With the same technique as in Case (1) we see that

$$X \in U_{p^{v+1}+1} .$$

If $r = d\mu + s$ the statement about the order of α is seen to be true, so we assume that $d\mu + s > p^{v+1}$, and also that $U_{r+1} \neq \{e\}$. Then we may write

$$u_1^{\alpha^{p^{v+1}}} \equiv u_1 u_{r+1}^b \pmod{U_{r+2}}$$

where $b \not\equiv 0 \pmod{p}$. Letting $(\alpha - 1)^{i-1}$ operate on this congruence we obtain

$$u_i^{\alpha^{p^{v+1}}} \equiv u_i u_{i+r}^b \pmod{U_{i+r+1}} .$$

Then, by using the inequality $r + kd < p(r + (k-1)d)$ for $k \geq 1$, we get by induction on $k \geq 1$

$$u_i^{\alpha^{p^{v+k}}} \equiv u_i u_{i+r+(k-1)d}^{b(k)} \pmod{U_{i+r+(k-1)d+1}}$$

for all i with some $b(k) \not\equiv 0 \pmod{p}$. □

Remark 1. In Case (1) of Proposition 5 we see that the order of U is bounded above by a function of p and $O(\alpha)$. This fact is easily seen to imply the existence of functions, $s(x, y)$ and $t(x, y)$, such that whenever G is an α -concatenated p -group where $O(\alpha) = p^k$ then either $G_{s(p, k)}$ has order less than $t(p, k)$ or $\omega(G_{s(p, k)})$ has form $p^v(p-1)$.

This more than indicates that the concatenated p -groups G with $\omega(G)$ of form $p^v(p-1)$ play an important role in the study of the derived length of finite, concatenated p -groups. In the sequel we shall get another explanation of this fact.

4.

Definition 2. Let G be an α -concatenated p -group. Let $t \in \mathbb{Z}$, $t \geq 0$. We say that G has degree of commutativity t if

$$[G_i, G_j] \leq G_{i+j+t} \quad \text{for all } i, j \in \mathbb{N}.$$

In the proof of our main theorem, we shall show that if G is a finite, concatenated p -group, then for sufficiently large s the group G_s has high degree of commutativity (in comparison with n if $|G_s| = p^n$). In this connection it will be useful to single out a certain class of finite, concatenated p -groups having ‘straight’ p -power structure.

Definition 3. Suppose that G is a finite, α -concatenated p -group with $\omega(G) = d$.

We say that G is straight if the following conditions are fulfilled:

- (1) $G_i^p = G_{i+d}$ for all $i \in \mathbb{N}$.
- (2) $x \in G_r$ and $c \in G_s$ implies $x^{-p}(xc)^p \equiv c^p \pmod{G_{r+s+d}}$, for all $r, s \in \mathbb{N}$.
- (3) For all $i \in \mathbb{N}$ we have: If gG_{i+1} is a generator of G_i/G_{i+1} , then g^pG_{i+d+1} generates G_{i+d}/G_{i+d+1} .

We now give a criterion for straightness.

Proposition 6. Let G be a finite, α -concatenated p -group with $\omega(G) = d$.

If G is regular, or has degree of commutativity $\geq (d+1)/(p-1) - 1$, then G is straight.

Proof. For the theory of finite, regular p -groups the reader is referred to [3], or [4], pp. 321–335.

Let $|G| = p^{n-1}$. We prove the theorem by induction on n . Thus we may assume that G_2 is straight. Put $\omega(G_2) = d_1$. We may also assume that G does not have exponent p .

(a) We claim that if $r \leq s$, and if $x \in G_r$, $c \in G_s$, then:

$$x^{-p}(xc)^p \equiv c^p \pmod{G_{r+s}^p G_{r+s+d}}.$$

For suppose that G is regular. We then obtain

$$x^{-p}(xc)^p \equiv c^p \pmod{\gamma_2(\langle x, c \rangle)^p},$$

and in any case we see, using the Hall-Petrescu formula (see [4], pp. 317–318), that

$$x^{-p}(xc)^p \equiv c^p \pmod{\gamma_2(\langle x, c \rangle)^p \gamma_p(\langle x, c \rangle)}.$$

Now, $\gamma_2(\langle x, c \rangle) \leq G_{r+s}$, so if G has degree of commutativity $t \geq \frac{d+1}{p-1} - 1$ then

$$\gamma_p(\langle x, c \rangle) \leq G_{s+(p-1)r+(p-1)t}.$$

(b) We claim that $d_1 \geq d$: We may assume $G_{d+2} = \{e\}$ and have to prove that $G_2^p = \{e\}$.

Suppose that $y \in G_i$, where $i \geq 2$. According to Proposition 1 there exists $x \in G_{i-1}$ such that $[x, \alpha] = y$.

Now, $G^p = G_{d+1}$: For G^p is an α -invariant, normal subgroup of index p^d . So, by Corollary 1 the claim follows (from now on we will use Corollary 1 without explicit reference).

In particular, $x^p \in G_{d+1}$, whence according to (a),

$$e = [x^p, \alpha] = x^{-p}(x^\alpha)^p = x^{-p}(x[x, \alpha])^p \equiv [x, \alpha]^p = y^p \pmod{G_{2i-1}^p G_{2i-1+d}}.$$

Now, $G_{2i-1+d} = \{e\}$, and since certainly $d_1 \geq d-1$, $G_{2i-1}^p = \{e\}$. Hence, $y^p = e$

(c) We claim that $G_i^p \leq G_{i+d}$ for all $i \in \mathbb{N}$: This is clear from (b) and the inductual hypothesis.

(d) If $r \leq s$, and if $x \in G_r$, $c \in G_s$, then:

$$x^{-p}(xc)^p \equiv c^p \pmod{G_{r+s+d}}.$$

This is clear from (a) and (c).

(e) We claim that $d_1 = d$: We may assume $G_{d+2} > \{e\}$. Choose $g \in G$ such that $g^p \notin G_{d+2}$. Then

$$[g^p, \alpha] = g^{-p}(g[g, \alpha])^p \equiv [g, \alpha]^p \pmod{G_{d+3}}$$

because of (d). Since $[g^p, \alpha] \notin G_{d+3}$, we have $[g, \alpha]^p \notin G_{d+3}$. Since $[g, \alpha] \in G_2$, this proves $d_1 \leq d$.

(f) If gG_2 generates G_1/G_2 , and if $x \in G$, we have $x = g^a y$ for some $y \in G_2$. By (a) we then have

$$g^{-pa} x^p \equiv y^p \equiv e \pmod{G_{d+2}}.$$

Since $G^p = G_{d+1}$, we must then have $g^p \notin G_{d+2}$. Then $g^p G_{d+2}$ generates G_{d+1}/G_{d+2} . \square

We shall be needing some information about $\omega(G)$ in case G is a concatenated p -group, and in particular in case G is a straight concatenated p -group. First we need some lemmas.

Lemma 1. *Let $i \in \mathbb{N}$. Suppose that $\sigma \in \{0, \dots, 2^i - 1\}$. For $s \in \{0, \dots, 2^i - 1\}$, we let $\mu_{\sigma, s}$ be the integer determined by the conditions*

$$\mu_{\sigma, s} + s \equiv \sigma \pmod{2^i} \quad \text{and} \quad \mu_{\sigma, s} \in \{0, \dots, 2^i - 1\}.$$

Then the integer

$$\nu := 2 \binom{2^i - 1}{\sigma} + \sum_{s=1}^{2^i - 1} \binom{2^i}{s} \binom{2^i - 1}{\mu_{\sigma, s}}$$

is divisible by 4.

Proof. Suppose that $s \in \{0, \dots, 2^i - 1\}$ and that $\binom{2^i}{s}$ is not divisible by 4. Now,

$$\binom{2^i}{s} = \binom{2^i - 1}{s} + \binom{2^i - 1}{s-1} = \binom{2^i - 1}{s-1} \left(1 + \frac{2^i - s}{s}\right) = \binom{2^i - 1}{s-1} \frac{2^i}{s},$$

hence $2^{i-1} \mid s$, and so $s = 2^{i-1}$. We conclude that ν differs from

$$2 \binom{2^i - 1}{\sigma} + 2 \binom{2^i - 1}{2^{i-1} - 1} \binom{2^i - 1}{\mu_{\sigma, 2^{i-1}}}$$

by a multiple of 4.

Now, the integer

$$\binom{2^i - 1}{\sigma} + \binom{2^i - 1}{2^{i-1} - 1} \binom{2^i - 1}{\mu_{\sigma, 2^{i-1}}}$$

is even for the following reasons: We have

$$\binom{2^i - 1}{\mu_{\sigma, 2^{i-1}}} = \begin{cases} \binom{2^i - 1}{\sigma - 2^{i-1}} & \text{for } \sigma \geq 2^{i-1} \\ \binom{2^i - 1}{\sigma + 2^{i-1}} & \text{for } \sigma < 2^{i-1}, \end{cases}$$

and from well-known facts concerning the 2-powers dividing $n!$ for $n \in \mathbb{N}$, we see that

$$\binom{2^i - 1}{\mu_{\sigma, 2^{i-1}}}$$

is divisible by exactly the same powers of 2 as is $\binom{2^i - 1}{\sigma}$, and also that

$$\binom{2^i - 1}{2^{i-1} - 1}$$

is odd. \square

Lemma 2. *Let F be the free group on free generators x and y . Let p be a prime number and let n be a natural number. Then,*

$$x^{p^n} y^{p^n} = (xy)^{p^n} c c_p \dots c_{p^n} ,$$

where $c \in \gamma_2(F)^{p^n}$ and $c_{p^i} \in \gamma_{p^i}(F)^{p^{n-i}}$ for $i = 1, \dots, n$.

Each c_{p^i} has form

$$c_{p^i} \equiv [y, \underbrace{x, \dots, x}_{p^i - 1}]^{a_i p^{n-i}} \prod v_{\mu}^{b_{\mu} p^{n-i}} \pmod{\gamma_{p^{i+1}}(F)^{p^{n-i}} \gamma_{p^{i+1}}(F)^{p^{n-i-1}} \gamma_{p^n}(F)^{p^n}} ,$$

for certain integers a_i and b_{μ} , and certain group elements v_{μ} which each has form:

$$v_{\mu} = [y, z_1, \dots, z_{p^i - 1}]$$

with $z_k \in \{x, y\}$, and $z_k = y$ for at least one k (in each v_{μ}).

Furthermore, $a_i \equiv -1 \pmod{p}$ for $i = 1, \dots, n$.

Proof. Let $i \in \{1, \dots, n\}$. If $u, v \in \gamma_{p^i}(F)$ then the Hall-Petrescu formula ([4], pp. 317–318) implies

$$(uv)^{p^{n-i}} \equiv u^{p^{n-i}} v^{p^{n-i}} \pmod{\gamma_2(\langle u, v \rangle)^{p^{n-i}} \prod_{j=1}^{n-i} \gamma_{p^j}(\langle u, v \rangle)^{p^{n-i-j}}} .$$

From this, and from standard, elementary facts concerning commutators the result follows immediately from the Hall-Petrescu formula, except for the fact that $a_i \equiv -1 \pmod{p}$ for $i = 1, \dots, n$.

Consider the abelian p -group U of type

$$\underbrace{(p^{n-i+1}, \dots, p^{n-i+1})}_{p^i}$$

with basis u_1, \dots, u_{p^i} , and let G be the semidirect product $G = U \langle \alpha \rangle$ where α is the automorphism of U given by

$$u_j^{\alpha} = u_{j+1} , \quad j = 1, \dots, p^i - 1 , \quad \text{and} \quad u_{p^i}^{\alpha} = u_1 .$$

Then α has order p^i . Put $u_s = u_r$ if $r, s \in \mathbb{N}$, $r \in \{1, \dots, p^i\}$, and $s \equiv r \pmod{p}$.

Then for $r = 1, \dots, p^i$ we have

$$(*) \quad [u_r, \underbrace{\alpha, \dots, \alpha}_{p^i - 1}] = u_r^{(-1)^{p^i - 1}} u_{r+1}^{(-1)^{p^i - 2} \binom{p^i - 1}{1}} \dots u_{r+p^i - 1}$$

and

$$(**) \quad [u_r, \underbrace{\alpha, \dots, \alpha}_{p^i}] = u_r^{1+(-1)^{p^i}} u_{r+1}^{(-1)^{p^i-1} \binom{p^i}{1}} \dots u_{r+p^i-1}^{(-1) \binom{p^i}{p^i-1}}.$$

Thus, $\gamma_{p^i+1}(G) \leq U^p$. Using the same argument with u_r replaced by $u_r^{p^{s-1}}$ we deduce

$$\gamma_{sp^i+1}(G) \leq U^{p^s} \quad \text{for } s \in \mathbb{N}.$$

Since $sp^i + 1 \leq p^{i+s-1}$ for $s \geq 2$ except when $p = 2$ and $s = 2$, we conclude that

$$(***) \quad \gamma_{p^{i+s-1}}(G)^{p^{n-(i+s-1)}} = \{e\} \quad \text{for } s \geq 2,$$

except possibly when $p = 2$ and $s = 2$.

If $p = 2$ we use (*) and (**) to conclude that

$$[u_r, \underbrace{\alpha, \dots, \alpha}_{2^{i+1}-1}] = \prod_{\sigma=0}^{2^i-1} u_{r+\sigma}^{b(r,\sigma)}$$

where

$$b(r,\sigma) = (-1)^{\sigma+1} \left(2 \binom{2^i-1}{\sigma} + \sum_{s=1}^{2^i-1} \binom{2^i}{s} \binom{2^i-1}{\mu_{\sigma,s}} \right)$$

with $\mu_{\sigma,s}$ determined by

$$\mu_{\sigma,s} \in \{0, \dots, 2^i - 1\} \quad \mu_{\sigma,s} + s \equiv \sigma \pmod{2^i}.$$

Using Lemma 1, we then see that (***) is true also in the case $p = 2$ and $s = 2$.

Now we compute

$$x := (\alpha u_1)^{p^n} (\alpha u_1 \alpha^{-1}) \dots (\alpha^{p^n} u_1 \alpha^{-p^n}) \alpha^{p^n} = (u_1 \dots u_{p^i})^{p^{n-i}}.$$

Using the results obtained this far we conclude

$$\begin{aligned} e &= \alpha^{p^n} u_1^{p^n} = x c_{p^i} = x [u_1, \underbrace{\alpha, \dots, \alpha}_{p^i-1}]^{a_i p^{n-i}} \\ &= \left((u_1 \dots u_{p^i}) (u_1^{(-1)^{p^i-1}} u_2^{(-1)^{p^i-2} \binom{p^i-1}{1}} \dots u_{p^i})^{a_i} \right)^{p^{n-i}}, \end{aligned}$$

which gives $a_i \equiv -1 \pmod{p}$. \square

Theorem 1. *Suppose that G is an α -concatenated p -group of order p^{n-1} where $O(\alpha) = p^k$.*

If G centralizes G_i/G_{i+2} for $i = 1, \dots, p^k$, and if $n \geq p^k + 2$, then $\omega(G) \leq p^k - 1$.

Proof. Put $d := \omega(G)$. The element αg_1 belonging to the semidirect product $H = G < \alpha >$ has the property that $\alpha g_1 \notin C_H(G_i/G_{i+2})$ for $i = 2, \dots, p^k$. Since $(\alpha g_1)^{p^k}$ is an element of G_2 (confer Lemma 2 for instance) that commutes with αg_1 , we must have

$$(\alpha g_1)^{p^k} \in G_{p^k+1}.$$

Now assume that $d \geq p^k$. Then $G_1^p \leq G_{p^k+1}$. By Lemma 2 we then deduce (note that $\gamma_i(H) = G_i$ for $i \geq 2$)

$$e \equiv \alpha^{p^k} g_1^{p^k} \equiv (\alpha g_1)^{p^k} c \equiv c \pmod{G_{p^k+1}},$$

where c has form

$$c \equiv [g_1, \underbrace{\alpha, \dots, \alpha}_{p^k-1}]^{-1} \prod_{\mu} v_{\mu}^{b_{\mu}} \pmod{G_{p^k+1}},$$

with each v_{μ} of the form $[g_1, z_1, \dots, z_{p^k-1}]$, where $z_j \in \{\alpha, g_1\}$ and $z_j = g_1$ for at least one j (in each v_{μ}). Since $g_1 \in C_H(G_i/G_{i+2})$ for $i = 2, \dots, p^k$, we deduce $v_{\mu} \in G_{p^k+1}$ for all μ . But then

$$c \equiv [g_1, \underbrace{\alpha, \dots, \alpha}_{p^k-1}]^{-1} \neq e \pmod{G_{p^k+1}},$$

a contradiction. \square

Corollary 2. *Let G be an α -concatenated p -group where $O(\alpha) = p^k$.*

Then $G_{1+(1+\dots+p^{k-1})}$ is a straight α -concatenated p -group.

Proof. Put $s = 1 + (1 + \dots + p^{k-1})$. According to Theorem 1, either G_s has exponent p or $\omega(G_s) \leq p^k - 1$. If G_s has exponent then G_s is trivially straight. Assume then that $\omega(G_s) \leq p^k - 1$. As G_s has degree of commutativity at least

$$s - 1 = (1 + \dots + p^{k-1}) = \frac{p^k - 1}{p - 1} \geq \frac{p^k - p + 1}{p - 1} = \frac{p^k}{p - 1} - 1 \geq \frac{\omega(G_s) + 1}{p - 1} - 1,$$

the statement now follows from Proposition 6. \square

Theorem 2. *Let G be an α -concatenated p -group of order p^{n-1} , where $O(\alpha) = p^k$. Suppose further that G is straight, that $n \geq p^k + 2$, and that G centralizes G_i/G_{i+2} for $i = 2, \dots, p^k$.*

Then $\omega(G) = p^v(p - 1)$ for some $v \in \{0, \dots, k - 1\}$.

Proof. We wish to perform certain calculations in the semidirect product $G \langle \alpha \rangle$. By the same argument as in the proof of Theorem 1 we see that the element αg_1 satisfies

$$(\alpha g_1)^{p^k} \in G_{p^k+1}.$$

Put $d := \omega(G)$. Assume that the minimum $\min\{p^i + (k - i) \mid i = 0, \dots, k\}$ is attained for exactly one value of i , say for $i = i_0 \in \{0, \dots, k\}$. Put $s = p^{i_0} + (k - i_0)d$. Consider

$$\alpha^{p^k} g_1^{p^k} = (\alpha g_1)^{p^k} c c_p \cdots c_{p^k},$$

where the c 's have the shapes given in Lemma 2. Notice that $c_j \in G_{p^j + (k-j)d}$, and that $c \in G_{2+kd} \leq G_{s+1}$.

Suppose that $i_0 = 0$: Then $s = kd$, and we deduce $G_{s+1} \not\cong g_1^{p^k} \equiv e \pmod{G_{s+1}}$, a contradiction.

Suppose then that $i_0 > 0$: Here we get $e \equiv g_1^{p^k} \equiv c_{p^{i_0}} \pmod{G_{s+1}}$, and

$$c_{p^{i_0}} = [g_1, \underbrace{\alpha, \dots, \alpha}_{p^{i_0}-1}]^{-p^{k-i_0}} \equiv g_{p^{i_0}}^{-p^{k-i_0}} \pmod{G_{s+1}},$$

but we have

$$g_{p^{i_0}}^{-p^{k-i_0}} \notin G_{s+1} ,$$

a contradiction.

Consequently the minimum $\min\{p^i + (k-i) \mid i = 0, \dots, k\}$ is attained for two different values of i , say for $i = i_1$, and for $i = i_2 > i_1$. Analyzing the function $p^x + (k-x)d$ for $0 \leq x \leq k$ we deduce $|i_1 - i_2| = 1$, whence $d = p^{i_1}(p-1)$. \square

Our further investigations will concentrate on the analysis of certain invariants that will now be introduced.

Definition 4. *Suppose that G is an α -concatenated p -group and that G has degree of commutativity t . Then we define the integers $a_{i,j}$ modulo p for $i, j \in \mathbb{N}$ thus: If $G_{i+j+t} = \{e\}$, we put $a_{i,j} = 0$. Otherwise, we let $a_{i,j}$ be the unique integer modulo p determined by the condition:*

$$[g_i, g_j] \equiv g_{i+j+t}^{a_{i,j}} \pmod{G_{i+j+t+1}} .$$

We refer to the $a_{i,j}$ as the invariants of G with respect to degree of commutativity t . The $a_{i,j}$ depend on the choice of the g_i , but choosing a different system of g_i 's merely multiplies all the invariants with a certain constant incongruent to 0 modulo p .

Proposition 7. *Let G be a finite, α -concatenated p -group of order p^{n-1} . Suppose that G has degree of commutativity t and let $a_{i,j}$ be the associated invariants. Then we have the following.*

- (1) $a_{i,j}a_{k,i+j+t} + a_{j,k}a_{i,j+k+t} + a_{k,i}a_{j,k+i+t} \equiv 0 \pmod{p}$ for $i+j+k+2t+1 \leq n$.
- (2) $a_{i,j} \equiv a_{i+1,j} + a_{i,j+1} \pmod{p}$ for $i+j+t+2 \leq n$.
- (3) If $i_0 \in \mathbb{N}$ then we have for $i, j \geq i_0$:

$$a_{i,j} \equiv \sum_{s=0}^{i-i_0} (-1)^s \binom{i-i_0}{s} a_{i_0, j+s} \pmod{p} \quad \text{if } i+j+t+1 \leq n .$$

- (4) For $r \in \mathbb{N}$ we have

$$a_{i, i+r} \equiv \sum_{s=1}^{[(r+1)/2]} (-1)^{s-1} \binom{r-s}{s-1} a_{i+s-1, i+s} \pmod{p} \quad \text{if } 2i+r+t+1 \leq n .$$

Proof. We shall make use of Witt's Identity

$$(*) \quad [a, b^{-1}, c]^b [b, c^{-1}, a]^c [c, a^{-1}, b]^a = e$$

for elements a, b , and c in a group.

- (1). Considering $(*)$ modulo $G_{i+j+k+2t+1}$ with $a = g_i$, $b = g_j$, and $c = g_k$ gives us the congruence

$$g_{i+j+k+2t}^{-a_{i,j}a_{i+j+t,k} - a_{j,k}a_{j+k+t,i} - a_{k,i}a_{k+i+t,j}} \equiv e \pmod{G_{i+j+k+2t+1}} .$$

But if $i+j+k+2t+1 \leq n$ then $g_{i+j+k+2t} \neq e$, and the claim follows.

- (2). Considering $(*)$ modulo $G_{i+j+t+2}$ with $a = g_i$, $b = \alpha^{-1}$, and $c = g_k j$ gives us the congruence

$$g_{i+j+t+1}^{-a_{i,j} + a_{i+1,j} + a_{i,j+1}} \equiv e \pmod{G_{i+j+t+2}} .$$

But if $i + j + t + 2 \leq n$, then $g_{i+j+t+1} \neq e$, and the claim follows.

(3). Using (2) this follows easily by induction on $i - i_0$.

(4). Using (2) this follows easily by induction on r . \square

The next proposition reveals part of the purpose of the introduction of the idea of straight, concatenated p -groups.

Proposition 8. *Let G be an α -concatenated p -group of order p^{n-1} . Suppose that G is straight and put $d = \omega(G)$. Let $a_{i,j}$ be G 's invariants with respect to a given degree of commutativity t . Then for all i, j we have*

$$i + j + d + t + 1 \leq n \Rightarrow (a_{i,j} \equiv a_{i+d,j} \pmod{p}) .$$

Proof. If $G_{i+d} \neq \{e\}$, we have

$$g_i^p \equiv g_{i+d} \pmod{G_{i+d+1}} ,$$

with $b_i \not\equiv 0 \pmod{p}$.

Suppose that $i \in \mathbb{N}$ with $G_{i+1+1} \neq \{e\}$. Then $g_{i+1}^p = ([g_i, \alpha]y)^p$ for some $y \in G_{i+2}$. Then (by Lemma 2)

$$[g_i, \alpha]^{-p} g_{i+1}^p \equiv y^p \pmod{G_{2i+3+d}} ,$$

so

$$g_{i+d+1}^{b_{i+1}} \equiv g_{i+1}^p \equiv [g_i, \alpha]^p \equiv g_i^{-p} (g_i [g_i, \alpha])^p \equiv [g_i^p, \alpha] \equiv g_{i+d+1}^{b_i} \pmod{G_{i+d+2}} ,$$

and since $g_{i+d+1} \neq e$, we deduce $b_{i+1} \equiv b_i \pmod{p}$.

Then if $i + j + d + t + 1 \leq n$ we get

$$g_{i+j+d+t}^{b_i a_{i+d,j}} \equiv [g_i^p, g_j] = g_i^{-p} (g_i [g_i, g_j])^p \equiv [g_i, g_j]^p \equiv g_{i+j+d+t}^{b_{i+j+t} a_{i,j}} \pmod{G_{i+j+d+t+1}} ,$$

and so $a_{i+d,j} \equiv a_{i,j} \pmod{p}$. \square

For straight, concatenated p -groups we have a stronger version of Proposition 7.

Proposition 9. *Let G be a straight, α -concatenated p -group of order p^{n-1} and with $\omega(G) = p^v(p-1)$. Suppose that G has degree of commutativity t and let $a_{i,j}$ be the associated invariants. Suppose that $s \in \mathbb{N}$ is such that $s + t \equiv 0 \pmod{p^v}$ and define $a_{i,j}^{(r)}$ for $r = 0, \dots, v$ and $i, j \in \mathbb{Z}$ such that $s + ip^r, s + jp^r \geq 1$, by*

$$a_{i,j}^{(r)} = a_{s+ip^r, s+jp^r} .$$

Put $t(r) = (s+t)p^{-r}$ for $r = 0, \dots, v$.

(1) Then for $r = 0, \dots, v$ we have the following congruences

$$a_{i,j}^{(r)} a_{k,i+j+t(r)}^{(r)} + a_{j,k}^{(r)} a_{i,j+k+t(r)}^{(r)} + a_{k,i}^{(r)} a_{j,k+i+t(r)}^{(r)} \equiv 0 \pmod{p}$$

for $3s + 2t + (i+j+k)p^r + 1 \leq n$.

(2) $a_{i,j+p^{v-r}(p-1)}^{(r)} \equiv a_{i,j}^{(r)} \pmod{p}$ for $2s + t + (i+j)p^r + p^v(p-1) + 1 \leq n$.

(3) $a_{i,j}^{(r)} \equiv a_{i+1,j}^{(r)} + a_{i,j+1}^{(r)} \pmod{p}$ for $2s + t + (i+j+1)p^r + 1 \leq n$.

(4) If $i_0 \in \mathbb{N}$ then for $i, j \geq i_0$ and $2s + t + (i+j)p^r + 1 \leq n$ we have:

$$a_{i,j}^{(r)} \equiv \sum_{h=0}^{i-i_0} (-1)^h \binom{i-i_0}{h} a_{i_0, j+h}^{(r)} \pmod{p} .$$

(5) For $w \in \mathbb{N}$ and $2s + (2i + w)p^r + t + 1 \leq n$,

$$a_{i,i+w}^{(r)} \equiv \sum_{h=1}^{\lfloor (w+1)/2 \rfloor} (-1)^{h-1} \binom{w-h}{h-1} a_{i+h-1,i+h}^{(r)} \pmod{p}.$$

Proof. (1). Using Proposition 7 this follows immediately from the definitions.

(2). Using Proposition 8 this follows immediately from the definitions.

(3). Let $r \in \{0, \dots, v\}$ and let $i \in \mathbb{N}$. We first claim that

$$[g_i, \alpha^{p^r}] \equiv g_{i+p^r} \pmod{G_{i+p^r+1}}.$$

To see this we write, in accordance with Lemma 2,

$$\alpha^{p^r} [\alpha^{p^r}, g_i] = (\alpha[\alpha, g_i])^{p^r} = \alpha^{p^r} [\alpha, g_i]^{p^r} c_{p^r} \cdots c_p c$$

where, with $U := \langle \alpha, [\alpha, g_i] \rangle$ (a subgroup of the semidirect product $G \langle \alpha \rangle$),

$$c \in \gamma_2(U)^{p^r}, \quad c_{p^\mu} \in \gamma_{p^\mu}(U)^{p^{r-\mu}}, \quad \mu = 1, \dots, r,$$

and

$$c_{p^r} \equiv [g_i, \underbrace{\alpha, \dots, \alpha}_{p^r}]^{-1} \equiv g_{i+p^r}^{-1} \pmod{G_{i+p^r+1}}.$$

Furthermore, since $r \leq v$, we have

$$G_{i+1}^{p^r} \leq G_{i+p^r+1} \quad \text{and} \quad \gamma_{p^\mu}(U)^{p^{r-\mu}} \leq G_{i+p^\mu+(r-\mu)d} \leq G_{i+p^r+1}$$

for $\mu = 1, \dots, r-1$. The claim follows from this.

Now suppose that $i, j \in \mathbb{Z}$ such that $s + ip^r, s + jp^r \geq 1$ and $z := 2s + t + (i + j + 1)p^r + 1 \leq n$. Then by considering Witt's Identity

$$[a, b^{-1}, c]^b [b, c^{-1}, a]^c [c, a^{-1}, b]^a = e$$

modulo G_z with

$$a = g_{s+ip^r}, \quad b = \alpha^{-p^r}, \quad \text{and} \quad c = g_{s+jp^r},$$

and noting that $g_{z-1} \neq e$, the result follows.

(4), (5): Using (3) these statements follow by easy inductions. \square

5.

We are now ready to prove the main theorems. First a simple lemma.

Lemma 3. *Let n, t , and d be natural numbers. Suppose that we are given integers $a_{i,j}$ modulo p , defined for $i + j + t + 1 \leq n$. Suppose further that these integers satisfy the following relations:*

$$\begin{aligned} a_{i,j} &\equiv -a_{j,i} \pmod{p} && \text{for } i + j + t + 1 \leq n, \\ a_{i,i} &\equiv 0 \pmod{p} && \text{for } 2i + t + 1 \leq n, \\ a_{i,j} &\equiv a_{i+1,j} + a_{i,j+1} \pmod{p} && \text{for } i + j + t + 2 \leq n, \\ a_{i+d,j} &\equiv a_{i,j} \pmod{p} && \text{for } i + j + d + t + 1 \leq n. \end{aligned}$$

Then the existence of a natural number s such that $2s + d + t \leq n$ and $a_{s+h, s+h+1} \equiv 0 \pmod{p}$ for $h = 0, \dots, \lfloor \frac{d}{2} \rfloor - 1$ implies $a_{i,j} \equiv 0 \pmod{p}$ for all i, j .

Proof. As in the proof of Proposition 7 we see that

$$(*) \quad a_{i,i+r} \equiv \sum_{h=1}^{\lfloor (r+1)/2 \rfloor} (-1)^{h-1} \binom{r-h}{h-1} a_{i+h-1} \quad (p) \quad \text{if } 2i+r+t+1 \leq n$$

and

$$(**) \quad a_{i,j} \equiv \sum_{h=0}^{i-i_0} (-1)^h \binom{i-i_0}{h} a_{i_0,j+h} \quad (p) \quad \text{if } i+j+t+1 \leq n \quad \text{and } i, j \geq i_0.$$

(a) We have $a_{s,s+j} \equiv 0 \quad (p)$ for $j \geq 0$ and $2s+j+t+1 \leq n$: This is clear from (*).

(b) $a_{i,j} \equiv 0 \quad (p)$ for $i, j \geq s$ and $i+j+t+1 \leq n$: This is clear from (**) and (a).

(c) Suppose that $\sigma \in \mathbb{N}$ and $a_{i,j} \equiv 0 \quad (p)$ for $i+j+t+1 \leq n$ and $i, j > s - \sigma$. Then $2(s - \sigma) + d + t + 2 \leq n$, and so

$$a_{s-\sigma, s-\sigma+1} \equiv -a_{s-\sigma+1, s-\sigma+d} \quad (p)$$

whence

$$a_{i,j} \equiv 0 \quad (p) \quad \text{for } i+j+t+1 \leq n \quad \text{and } i, j \geq s - \sigma.$$

We conclude that $a_{i,j} \equiv 0 \quad (p)$ for all i, j . \square

Theorem 3. *Let p be an odd prime number and let G be a straight, concatenated p -group of order p^{n-1} and with $\omega(G) = p^v(p-1)$.*

(1) *If $n \geq 4p^{v+1} - 2p^v + 1$ then G has degree of commutativity*

$$\left[\frac{1}{2}(n - 4p^{v+1} + 2p^v + 1) \right].$$

(2) *If $n \geq 4p^{v+1} - 2p^v + 1$ then $c(G) \leq 2p^{v+1} - p^v$.*

(3) $c(G) \leq 4p^{v+1} - 2p^v - 2$.

(4) *If $n \leq 12p^{v+1} - 6p^v - 10$ then $c(G) \leq 3$.*

Proof. (1): Assume $n \geq 4p^{v+1} - 2p^v + 1$. Suppose that G has degree of commutativity t , where $t \leq \frac{1}{2}(n - 4p^{v+1} + 2p^v - 1)$. Let $a_{i,j}$ be the associated invariants. We must show that $a_{i,j} \equiv 0 \quad (p)$ for all i, j .

Let $i_0 \in \{1, \dots, p^v(p-1)\}$ be determined by the condition $i_0 + t \equiv 0 \quad (p^v(p-1))$. For $r = 0, \dots, v$ and $i, j \in \mathbb{Z}$ such that $i_0 + ip^r, i_0 + jp^r \geq 1$ we let $a_{i,j}^{(r)}$ be the integers modulo p introduced in Proposition 9 (with $i_0 = s$).

We show by induction on $v - r$ that if $r \in \{0, \dots, v\}$ then $a_{i,j}^{(r)} \equiv 0 \quad (p)$ for all i, j . So we suppose that $r \in \{0, \dots, v\}$ is given and that $a_{i,j}^{(\rho)} \equiv 0 \quad (p)$ for all i, j whenever $\rho \in \{0, \dots, v\}$ and $\rho > r$.

By Proposition 9, (1), (2), we have the congruence

$$(*) \quad a_{i,j}^{(r)} a_{k,i+j}^{(r)} + a_{j,k}^{(r)} a_{i,j+k}^{(r)} + a_{k,i}^{(r)} a_{j,k+i}^{(r)} \equiv 0 \quad (p)$$

when $3i_0 + 2t + (i+j+k)p^r + 1 \leq n$. So, we may substitute $(i, j, k) = (1, 2, 2s-1)$ for $2 \leq s \leq \frac{1}{2}(p-1)$ in (*). If now $2 \leq s \leq \frac{1}{2}(p-1)$, and if we have proved

$a_{\sigma, \sigma+1}^{(r)} \equiv 0 \pmod{p}$ for $2 \leq \sigma < s$, then Proposition 9, (5), shows that:

$$\begin{aligned} a_{2s-1, 3}^{(r)} &\equiv -a_{3, 2s-1}^{(r)} \equiv (-1)^s \binom{s-2}{s-3} a_{s, s+1}^{(r)} \pmod{p}, \\ a_{2, 2s-1}^{(r)} &\equiv (-1)^s a_{s, s+1}^{(r)} \pmod{p}, \\ a_{1, 2s+1}^{(r)} &\equiv a_{1, 2}^{(r)} + (-1)^{s-1} \binom{s}{s-1} a_{s, s+1}^{(r)} \pmod{p}, \\ a_{2s-1, s}^{(r)} &\equiv -a_{2, 2s-1}^{(r)} \equiv -a_{1, 2}^{(r)} \pmod{p}, \\ a_{2, 2s}^{(r)} &\equiv (-1)^s \binom{s-1}{s-2} a_{s, s+1}^{(r)} \pmod{p}, \end{aligned}$$

where $a_{2s-1, 3}^{(r)}$ should be interpreted as 0 if $s = 2$. Combining these congruences with (*) for $(i, j, k) = (1, 2, 2s-1)$ we obtain

$$s(a_{s, s+1}^{(r)})^2 \equiv 0 \pmod{p}.$$

So we may conclude that $a_{s, s+1}^{(r)} \equiv 0 \pmod{p}$ for $s = 2, \dots, \frac{1}{2}(p-1)$. As $2i_0 + t + p^{v+1} + 1 \leq n$, we can then use Proposition 9, (5), to deduce:

$$(**) \quad a_{0, p}^{(r)} \equiv a_{0, 1}^{(r)} + 2a_{1, 2}^{(r)} \pmod{p}.$$

If now $r = u$, then $a_{0, p}^{(r)} \equiv a_{0, 1}^{(r)} \pmod{p}$ according to Proposition 9, (2). Since p is odd, (**) then gives $a_{1, 2}^{(r)} \equiv 0 \pmod{p}$. So, $a_{s, s+1}^{(r)} \equiv 0 \pmod{p}$ for $s = 1, \dots, \frac{1}{2}(p-1)$. Then Lemma 3 (with $d = p-1$) implies $a_{i, j}^{(r)} \equiv 0 \pmod{p}$ for all i, j .

So assume then that $r < u$. Then $a_{0, p}^{(r)} \equiv a_{0, 1}^{(r+1)} \equiv 0 \pmod{p}$ by definition of these numbers and the inductual hypothesis. Then (**) reads:

$$a_{0, 1}^{(r)} + 2a_{1, 2}^{(r)} \equiv 0 \pmod{p}.$$

On the other hand, considering (*) with $(i, j, k) = (0, 1, 3)$ gives us:

$$a_{1, 2}^{(r)}(a_{0, 1}^{(r)} + a_{1, 2}^{(r)}) \equiv 0 \pmod{p},$$

because $a_{1, 3}^{(r)} \equiv a_{1, 2}^{(r)} \pmod{p}$, $a_{0, 3}^{(r)} \equiv a_{0, 1}^{(r)} - a_{1, 2}^{(r)} \pmod{p}$, and $a_{0, 4}^{(r)} \equiv a_{0, 1}^{(r)} - 2a_{1, 2}^{(r)} \pmod{p}$, - again by Proposition 9, (5). So, if $a_{1, 2}^{(r)} \not\equiv 0 \pmod{p}$ we would deduce $a_{0, 1}^{(r)} \equiv a_{1, 2}^{(r)} \equiv 0 \pmod{p}$, a contradiction. Hence, $a_{1, 2}^{(r)} \equiv 0 \pmod{p}$, and so $a_{0, 1}^{(r)} \equiv 0 \pmod{p}$ (again because p is odd).

Now we substitute $(i, j, k) = (0, 1, 2s)$ in (*) for $s = 1, \dots, \frac{1}{2}p^{v-r}(p-1) - 1$.

If $2 \leq s \leq \frac{1}{2}p^{v-r}(p-1) - 1$, and if we have already proved $a_{\sigma, \sigma+1}^{(r)} \equiv 0 \pmod{p}$ for $1 \leq \sigma < s$, we use again Proposition 9, (5), as above to obtain the congruence:

$$(-1)^{s+1} \binom{(2s-1)-s}{s-1} (-1)^s \binom{(2s+1)-(s+1)}{s} (a_{s, s+1}^{(r)})^2 \equiv 0 \pmod{p}.$$

We conclude that $a_{s, s+1}^{(r)} \equiv 0 \pmod{p}$ for $s = 1, \dots, \frac{1}{2}p^{v-r}(p-1) - 1$, and hence for $s = 0, \dots, \frac{1}{2}p^{v-r}(p-1) - 1$. Noticing that $2i_0 + t + p^r(p^{v-r}(p-1) - 1) + 1 \leq n$ we can again use Lemma 3 to deduce that $a_{i, j}^{(r)} \equiv 0 \pmod{p}$ for all i, j . This concludes the induction step.

So, we have $a_{i,j}^{(0)} \equiv 0 \pmod{p}$ for all i, j , and hence $a_{i,j} \equiv 0 \pmod{p}$ for all i, j , as desired.

(2) Put $f(v) = 4p^{v+1} - 2p^v - 1$. Suppose that $n \geq 4p^{v+1} - 2p^v + 1$ and that n is odd. By (1) G has degree of commutativity $\frac{1}{2}(n - f(v))$. Then,

$$\gamma_k(G) = \{e\} \quad \text{if} \quad k \geq \frac{3n - f(v) - 2}{n - f(v) + 2}$$

However,

$$\frac{3n - f(v) - 2}{n - f(v) + 2} \leq 1 + \frac{1}{2}(f(v) + 1) = 1 + (2p^{v+1} - p^v),$$

when $n \geq f(v) + 2$.

If $n \geq 4p^{v+1} - 2p^v + 2$ and n is even, we see in a similar way that $\gamma_k(G) = \{e\}$ if $k = 2p^{v+1} - p^v + 1$.

(3) If $n \leq 4p^{v+1} - 2p^v$ then $c(G) \leq 4p^{v+1} - 2p^v - 2$. Since

$$4p^{v+1} - 2p^v - 2 \geq 2p^{v+1} - p^v,$$

the statement then follows from (2).

(4) $n \geq 4p^{v+1} - 2p^v + 1$ and

$$4 \geq \frac{3n - f(v) - 3}{n - f(v) + 1}$$

where $f(v) := 4p^{v+1} - 2p^v - 1$ then we deduce along lines similar to the above reasoning that $c(G) \leq 3$. But the second inequality holds for $n \geq 12p^{v+1} - 6p^v - 10$, and it is clear that

$$12p^{v+1} - 6p^v - 10 \geq n \geq 4p^{v+1} - 2p^v + 1.$$

The desired conclusion follows. \square

Theorem 4. *There exist functions of two variables, $u(x, y)$ and $v(x, y)$, such that whenever p is an odd prime number, k is a natural number and G is a finite p -group possessing an automorphism of order p^k having exactly p fixed points, then G has a normal subgroup of index less than $u(p, k)$ and of class less than $v(p, k)$.*

Thus there exists a function of two variables, $f(x, y)$, such that whenever p is an odd prime number, k is a natural number and G is a finite p -group possessing an automorphism of order p^k having exactly p fixed points, then the derived length of G is less than $f(p, k)$.

Proof. The first statement follows immediately from Proposition 6, Theorem 1, Theorem 2, and Theorem 3. The second statement follows trivially from the first. \square

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