

A NOTE ON A THEOREM OF A. GRANVILLE AND K. ONO.

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ABSTRACT. It was recently proved by A. Granville and K. Ono, confer [2],[3], that if $t \in \mathbb{N}$, $t \geq 4$ then every natural number has a t -core partition. The essence of the proof consists in showing this assertion for t prime, $t \geq 11$. We give an alternative, short proof for these cases.

Suppose that $n \in \mathbb{N}$ and that $\lambda = (\lambda_1, \lambda_2, \dots)$ is a partition of n , i.e. $\lambda_1, \lambda_2, \dots$ is a sequence of non-negative integers of which only finitely many are $\neq 0$, and such that:

$$\lambda_1 \geq \lambda_2 \geq \dots \quad \text{and} \quad n = \sum_{i=1}^{\infty} \lambda_i .$$

Then the partition $\lambda' = (\lambda'_1, \lambda'_2, \dots)$ associated with λ is defined by

$$\lambda'_i = \#\{j \in \mathbb{N} \mid \lambda_j \geq i\},$$

and λ' is also a partition of n . If $(i, j) \in \mathbb{N}^2$ such that $\lambda_i \lambda'_j > 0$ we have the (i, j) 'th hook number of λ :

$$h_{i,j}(\lambda) := \lambda_i + \lambda'_j - i - j + 1.$$

If $t \in \mathbb{N}$ and if none of the hook numbers of λ is divisible by t , one says that λ is a t -core partition of n .

The ' t -core partition conjecture' asserts that if $t \in \mathbb{N}$, $t \geq 4$, then every $n \in \mathbb{N}$ has a t -core partition. This conjecture is proved by A. Granville and K. Ono in the papers [2], [3]. The conjecture had attracted some interest since it has implications in the representation theory of symmetric and alternating groups: It implies that if p is a prime number ≥ 5 then for every $n \in \mathbb{N}$ both the symmetric group S_n and the alternating group A_n has a p -block of defect zero. Together with previously known results this further implies that if p is prime ≥ 5 then every finite simple group has a p -block of defect zero. We refer to [2] for a survey of these results.

Now let $n \in \mathbb{N}$. Then n has a t -core partition if and only if the equations:

$$(0) \quad n = \sum_{i=0}^{t-1} \left(\frac{t}{2} \cdot x_i^2 + ix_i\right) \quad \text{and} \quad \sum_{i=0}^{t-1} x_i = 0 ,$$

have a (simultaneous) integral solution; in fact, it was proved in [1] that the number of integral solutions to (0) equals the number of t -core partitions of n .

As explained in [3], the t -core partition conjecture is proved if it is shown that (0) has for all $n \in \mathbb{N}$ an integral solution in each of the cases: $t = 4, 5, 6, 7, 9$ and (t prime, $t \geq 11$). The cases $t = 4, 6, 9$ were handled in [3] by special arguments. The cases $t = 5, 7, 11, 13$ can be handled by using modular forms: K. Ono informed me that the cases $t = 5, 7$ and $t = 11$ respectively were done in unpublished notes by A. O. L. Atkin and himself respectively. Finally, in [2] a special argument using modular forms for the case $t = 13$, and a general argument for $t \geq 17$ were given.

We shall give an alternative, simple proof of the fact that (0) has an integral solution for all $n \in \mathbb{N}$ if t is prime, $t \geq 11$; in particular, our proof avoids computation with modular forms. As in [2], the proof has two parts: One for ‘large’ n and one for ‘small’ n . We pay for the simplicity of our argument for ‘large’ n by having to prove the following lemma, which requires a little amount of computation (which however is not more than the amount of computation which was needed for the case $t = 13$ in [2]).

Lemma. *Suppose that t is prime, $t \geq 11$ and $n \leq t(t^2 - 1)/4 + (t - 1)$.*

Then (0) has an integral solution (x_0, \dots, x_{t-1}) .

Proof. Put $n_0 = t(t^2 - 1)/4 + (t - 1)$. Suppose that $s \in \{1, \dots, (t - 1)/2\}$, put:

$$m_s := \frac{t+1}{2} - s, \quad c_0(n) := n,$$

define the integers $y_i(n)$, $c_i(n)$ for $i = 1, \dots, s$ successively:

$$y_i(n) := \left[\left(-1 + \sqrt{1 + 4tc_{i-1}(n)} \right) / 2t \right], \quad c_i(n) := c_{i-1}(n) - (ty_i(n)^2 + y_i(n)),$$

so that $c_i(n) \geq 0$ for all i , and define the integers $x_{m_s}(n), \dots, x_{t-m_s}(n)$:

$$x_{m_s+2i-2}(n) := -y_i(n), \quad x_{m_s+2i-1}(n) := y_i(n) \quad \text{for } i = 1, \dots, s.$$

Then we have:

$$c_s(n) = n - \sum_{i=m_s}^{t-m_s} \left(\frac{t}{2} \cdot x_i(n)^2 + ix_i(n) \right) \quad \text{and} \quad \sum_{i=m_s}^{t-m_s} x_i(n) = 0.$$

We conclude that the proof is finished if for some $s \in \{1, \dots, (t - 1)/2\}$ the equations:

$$(1) \quad r = \left(\sum_{i=0}^{m_s-1} + \sum_{i=t-m_s+1}^{t-1} \right) \left(\frac{t}{2} \cdot x_i^2 + ix_i \right) \quad \text{and} \quad \left(\sum_{i=0}^{m_s-1} + \sum_{i=t-m_s+1}^{t-1} \right) x_i = 0$$

have an integral solution for $r = c_s(n)$, for all $n \leq n_0$.

Now let us notice that the proof of lemma 1 in [2] actually shows that if $r \leq m_s(m_s - 1)$ then (1) has an integral solution (with $x_i \in \{0, \pm 1\}$ for all i).

So, the proof is finished if for some $s \in \{1, \dots, (t - 1)/2\}$ we have:

$$(2) \quad c_s(n) \leq \left(\frac{t+1}{2} - s \right) \left(\frac{t-1}{2} - s \right) \quad \text{for all } n \leq n_0.$$

Now, $y_i(n) \in \mathbb{Z}$ is largest possible such that $ty_i(n)^2 + y_i(n) \leq c_{i-1}(n)$, and so

$$c_i(n) = c_{i-1}(n) - (ty_i(n)^2 + y_i(n)) \leq 2ty_i(n) + t + 1 \leq t + \sqrt{1 + 4tc_{i-1}(n)};$$

consequently, if $s \in \{1, \dots, (t - 1)/2\}$, $j \in \{0, \dots, s - 1\}$ and $f_j(x)$ is a polynomial, then the condition

$$(4t)^{2^j-1} c_{s-j}(n) \leq f_j(t) \quad \text{for all } n \leq n_0$$

is implied by:

$$(4t)^{2^{j+1}-1} c_{s-j-1}(n) \leq f_{j+1}(t) \quad \text{for all } n \leq n_0,$$

where

$$(3) \quad f_{j+1}(x) := \left(f_j(x) - x \cdot (4x)^{2^j-1} \right)^2 - (4x)^{2^{j+1}-2},$$

provided that

$$(4) \quad g_j(t) := f_j(t) - t \cdot (4t)^{2^j-1} \geq 0.$$

Hence, if we define $f_0(x) := ((x+1)/2 - 4)((x-1)/2 - 4)$, $g_0(x) := f_0(x) - x$, and $f_i(x), g_i(x)$ for $i = 1, 2, 3, 4$ in accordance with (3) and (4), we have that (2) holds for the case $s = 4$, if

$$(5) \quad g_0(t), g_1(t), g_2(t), g_3(t) \geq 0 \quad \text{and} \quad ((4t)^{15}c_0(n) \leq f_4(t) \quad \text{for all } n \leq n_0).$$

But since we have $c_0(n) = n \leq t(t^2 - 1)/4 + (t - 1)$, we see that (5) holds if

$$(6) \quad g_0(t), \dots, g_3(t), f(t) \geq 0,$$

where

$$f(x) := f_4(x) - (4x)^{15}(x(x^2 - 1)/4 + x - 1).$$

Now, $g_0(x), \dots, g_3(x), f(x)$ are certain polynomials with rational coefficients which can easily be computed by using (for example) MAPLE. For example, one finds:

$$f(x) = 2^{-32}(x^{32} - 320x^{31} + 48496x^{30} - 4639040x^{29} + \dots).$$

Again, using MAPLE we can compute approximations to the real roots of these polynomials and thus verify that (6) holds for $t \geq 43$, i.e. (2) holds for $s = 4$ if $t \geq 43$.

On the other hand, when $t \in \{37, 41\}$, (2) holds in the case $s = 4$ since a direct computation shows that for all $n \leq n_0$ we have:

$$(c_4(n) \leq 206 \quad \text{if } t = 41), \quad (c_4(n) \leq 186 \quad \text{if } t = 37);$$

hence we may assume $t \leq 31$.

In the remaining cases $t = 11, 13, 17, 19, 23, 29, 31$ we finish the proof by stating the following facts which can be checked in a few minutes on a machine.

If $t \in \{11, 13\}$ and $n \leq n_0$, (0) has a solution with

$$(7) \quad x_1, x_2, x_3, x_4, x_6 \in \{0, \pm 1\} \quad \text{and} \quad x_{t-4}, x_{t-3}, x_{t-2}, x_{t-1} \in \{0, \pm 1, \pm 2\}$$

and

$$(8) \quad x_5 = 0 \quad \text{and} \quad x_j = 0 \quad \text{for } 7 \leq j \leq t - 5.$$

For $t = 17, 19, 23, 29, 31$ we have $c_1(n) \leq c_1 := 290, 362, 530, 842, 962$ respectively, and (1) has for $s = 1$ and all $r \leq c_1$ a solution with (7) and (8). \square

We can now give our alternative proof of the following theorem due to A. Granville and K. Ono, cf. [2],[3]. In their proof of existence of integral solutions to (0) when $t \geq 17$, they exploited the theorem of Lagrange on the representation of natural numbers as sums of 4 squares. In our proof of existence for $t \geq 11$ (t prime) we use Gauss' three square theorem.

Theorem. *Suppose that t is prime, $t \geq 11$.*

Then for all $n \in \mathbb{N}$, (0) has an integral solution.

Proof. Write $n = tm' + r'$ with $0 \leq r' \leq t - 1$. Because of the lemma we may and will assume $n \geq t(t^2 - 1)/4 + (t - 1)$, hence $m' \geq (t^2 - 1)/4$. Define the integers m and r as follows:

$$(m, r) := \begin{cases} (m', r') & \text{if } m' \equiv 1 \pmod{2}, r' \not\equiv 0 \pmod{4} \\ (m' + 2, r' - 2t) & \text{if } m' \equiv 1 \pmod{2}, r' \equiv 0 \pmod{4} \\ (m' \mp 1, r' \pm t) & \text{if } m' \equiv 0 \pmod{2}, r' \equiv \pm t \pmod{4} \\ (m' + 1, r' - t) & \text{if } m' \equiv r' \equiv 0 \pmod{2}. \end{cases}$$

Then $n = tm + r$ and either

$$(I) \quad m \equiv r \equiv 1 \pmod{2} \quad \text{and} \quad 4m \geq r^2,$$

or

$$(II) \quad m \equiv 1 \pmod{2}, \quad r \equiv 2 \pmod{4} \quad \text{and} \quad 16m \geq r^2.$$

If case (I) prevails, we have that $4m - r^2$ is odd and $\not\equiv -1 \pmod{8}$. Hence, by Gauss' three square theorem we have

$$4m - r^2 = a^2 + b^2 + c^2$$

for certain integers a, b, c which must all be odd (since $4m - r^2 \equiv 3 \pmod{4}$). We may then assume that $r + a + b + c$ is divisible by 4, and we define the integers:

$$\alpha = (r + a + b + c)/4, \quad \beta = (r - a - b + c)/4, \quad \gamma = (r - a + b - c)/4, \quad \delta = (r + a - b - c)/4,$$

$$x_0 = -\alpha, \quad x_1 = \alpha, \quad x_2 = -\beta, \quad x_3 = \beta, \quad x_4 = -\gamma, \quad x_5 = \gamma, \quad x_6 = -\delta, \quad x_7 = \delta,$$

and $x_i = 0$ for $i \geq 8$. Then $x_0 + \dots + x_{t-1} = 0$ and:

$$\sum_{i=0}^{t-1} \left(\frac{t}{2} \cdot x_i^2 + ix_i \right) = t \cdot \frac{1}{4} (r^2 + a^2 + b^2 + c^2) + r = tm + r = n.$$

In case (II), use the same arguments and definitions of $\alpha, \beta, \gamma, \delta$ with r replaced by $r/2$. Then put

$$x_0 = -\alpha, \quad x_1 = -\beta, \quad x_2 = \alpha, \quad x_3 = \beta, \quad x_4 = -\gamma, \quad x_5 = -\delta, \quad x_6 = \gamma, \quad x_7 = \delta,$$

and $x_i = 0$ for $i \geq 8$. □

Remark. *The above lemma could of course be checked for all odd t in the range $9 \leq t \leq 41$. This would prove the lemma and the above theorem for odd t with $t \geq 9$.*

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