

CLOSE OPERATOR ALGEBRAS

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Richard Kadison: A Mathematical Legacy

WITH THANKS TO MY COLLABORATORS:

Cameron, Christensen, Hirshberg, Kirchberg, Perera, Sinclair, Smith,
Toms, Wiggins, Winter.

CLOSE OPERATOR ALGEBRAS

PERTURBATION PROBLEMS

- When can we perturb approximate behaviour to exact behaviour?
- e.g. approximate projections are near to projections.
- i.e., if $T \in \mathcal{B}(\mathcal{H})$ has $\|T - T^*\|, \|T - T^2\|$ small, then there exists a projection $P \in \mathcal{B}(\mathcal{H})$ with $\|T - P\|$ small.
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In what sense can one speak of “perturbation” of a von Neumann algebra? We have not “moved” it by some process — “adding a term,” for instance. There is such a process available, however. If a von Neumann algebra is transformed by a unitary operator close to the identity operator, the result is a “slight perturbation” of the original algebra.

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EXAMPLE: SMALL UNITARY PERTURBATION

- $d(u\mathcal{M}u^*, \mathcal{M}) \leq 2\|u - 1\|$.
- Natural question: Do close operator algebras necessarily arise from small unitary perturbations?

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ONE SIDED VERSIONS: IF $A \subset_{\gamma} B$, FOR γ SMALL,

- must $A \leftrightarrow B$?
- can such an embedding be taken close to $A \hookrightarrow \mathcal{B}(\mathcal{H})$?
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MANY OTHER VARIANTS: E.G. REPLACE $\mathcal{B}(\mathcal{H})$ BY A FINITE FACTOR

- Work both with operator norm, and 2-norm.
- Ideas play role in Popa's intertwining by bimodules.

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- \mathcal{M} and \mathcal{N} have type decomposition $\bigoplus_i \mathcal{M}p_i$ and $\bigoplus_i \mathcal{N}q_i$ for $i = I_1, I_2, \dots, I_\infty, II_1, II_\infty, III$.
- Then $\forall \epsilon > 0, \exists \delta > 0$ s.t.

$$d(\mathcal{M}, \mathcal{N}) < \delta \implies \|p_i - q_i\| < \epsilon, \text{ for all } i.$$

- Close factors are of the same type.

K -THEORY

KHOSHKAM, RAEBURN-PHILIPS

- When A and B are close, projections in A are close to those in B .
- Consequence: sufficiently close C^* -algebras have the same **dimension range**.
- Consequence II: sufficiently close separable AF C^* -algebras are isomorphic.

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If A and B are close, must $d_{cb}(A, B) := \sup_n d(M_n(A), M_n(B))$ be small?

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Suppose $d_{cb}(A, B)$ sufficiently small. Then $\text{Cu}(A) \cong \text{Cu}(B)$.

KADISON'S SIMILARITY PROBLEM (55)

Operator algebra version of unitarisability problem for group representations.

QUESTION

Let A be a C^* -algebra. When is it the case that a bounded homomorphism $\theta : A \rightarrow \mathcal{B}(\mathcal{H})$ is similar to a $*$ -homomorphism?

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- Yes, if $\theta(A)$ has a cyclic vector (Haagerup).
- Yes for properly infinite von Neumann algebras, and hence stable C^* -algebras.
- Yes for II_1 factors with property Γ .
- Profound reformulations (Kirchberg, Pisier, . . .)

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- So if A is nuclear, or \mathcal{Z} -stable, or stable, and $d(A, B)$ sufficiently small, then $K_*(A) \cong K_*(B)$ and $\text{Cu}(A) \cong \text{Cu}(B)$.

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THEOREM

d and d_{cb} are equivalent metrics if and only if the similarity problem has a positive answer.

CHRISTENSEN'S BREAKTHROUGHS 77-80

INJECTIVE vNAS ARE PERTURBATION RIGID: VI

Close injective von Neumann algebras \mathcal{M} and \mathcal{N} arise from small unitary perturbations.

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- Injectivity of \mathcal{N} , gives ucp map $\Phi : \mathcal{M} \rightarrow \mathcal{N}$ which is close to the inclusion $\mathcal{M} \hookrightarrow \mathcal{B}(\mathcal{H})$.
- Φ is almost multiplicative, so writing $\Phi(\cdot) = p\pi(\cdot)p$ the Steinespring projection almost commutes with unitaries in \mathcal{M} .
- Use injectivity (property P) of \mathcal{M} , to find a projection near to p in \mathcal{M}' . In this way obtain *-homomorphism $\Psi : \mathcal{M} \rightarrow \mathcal{N}$ near Φ .

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BUT:

We don't want to assume conditions on \mathcal{M} and \mathcal{N} .

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TWO COUNTER EXAMPLES FROM THE 80'S

CHOI-CHRISTENSEN

There exist non-separable non-isomorphic C^* -algebras A and B , which can be represented arbitrarily closely on a Hilbert space.

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For any $\epsilon > 0$, can find two reps $\pi_i : A := C[0, 1] \otimes \mathcal{K} \rightarrow \mathcal{B}(\mathcal{H})$, with $d(\pi_1(A), \pi_2(A)) < \epsilon$, but no isomorphism $\theta : \pi_1(A) \rightarrow \pi_2(A)$ can be uniformly close to $\pi_1(A) \hookrightarrow \mathcal{B}(\mathcal{H})$.

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CONCLUSION

- Measure distance between C^* -algebras in operator norm
- Measure small uniform perturbations in point norm

SOME POSITIVE C^* -ALGEBRA RESULTS

THEOREM

Let A be separable and nuclear and $d(A, B)$ small on a separable Hilbert space.^a Then there is a unitary $u \in (A \cup B)''$ with $uAu^* = B$.

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- For $\epsilon > 0$, we can choose $\delta > 0$ such that if $d(A, B) < \delta$, then for all finite subsets \mathcal{F} of the unit ball of A , can choose a unitary $u \in (A \cup B)''$ with $uAu^* = B$ and

$$\|uxu^* - x\| < \epsilon, \quad x \in \mathcal{F}.$$

- This is what I mean by ‘measure small uniform perturbations in point norm.’
- Proof follows Erik’s strategy for injective von Neumann algebras, in a point norm way.
- Separability crucial to use an Elliott intertwining argument.

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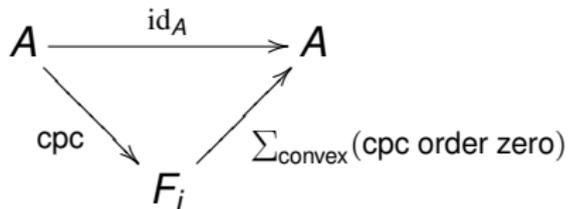
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THEOREM

Let A be separable and nuclear, A nearly contained in B . Then A embeds into B .

- Again, can produce embeddings with point norm control.
- Uses an improved completely positive approximation property:



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- eg. $\mathcal{M} = (L^\infty(X, \mu) \rtimes SL_n(\mathbb{Z})) \otimes \mathcal{R}$ for $n \geq 3$ and a free ergodic pmp action.
- The ' $\otimes \mathcal{R}$ ' ensures $\mathcal{M} = \mathcal{M}_0 \otimes \mathcal{R}$ has the similarity property.
- $\otimes \mathcal{R}$ needed, but first step is to remove it!
- Show that any close \mathcal{N} can be perturbed to $\mathcal{N}_0 \otimes \mathcal{R}$ for same copy of \mathcal{R} , and $d_{cb}(\mathcal{M}_0, \mathcal{N}_0)$ small.

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REDUCED TO:

- $\mathcal{M}_0 = (L^\infty(X, \mu) \rtimes \text{SL}_n(\mathbb{Z}))$, and $d_{cb}(\mathcal{M}_0, \mathcal{N}_0)$ small.
- Can use Christensen's results to perturb the copy of $L^\infty(X, \mu)$ as a masa in \mathcal{N}_0 , and produce normalisers of this.
- Show that $L^\infty(X, \mu)$ is Cartan in \mathcal{N}_0 , inducing same equivalence relation as $\text{SL}_n(\mathbb{Z}) \curvearrowright (X, \mu)$.
- uses a lot of ideas of Popa. e.g. if we only assume $d(\mathcal{M}_0, \mathcal{N}_0)$ small at this point, we need his answer to a Baton-Rouge question of Kadison: existence of masas for II_1 factors inside specified irreducible subfactors.

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GET

- \mathcal{N}_0 a twisted crossed product $L^\infty(X, \mu) \rtimes_\omega SL_m(\mathbb{Z})$
- ω a 2-cocycle, uniformly close to 1.
- $SL_n(\mathbb{Z})$ for $n \geq 3$, gives vanishing of a bounded cohomology group $H_b^2(SL_n(\mathbb{Z}), L^\infty_{\mathbb{R}}(X, \mu))$ (using work of Monod, Burger-Monod, Monod-Shalom).

SOME QUESTIONS¹

- ① Suppose $A \subset_\delta B$ where B is nuclear and A has similarity property. Must $A \hookrightarrow B$?
 - ▶ Christensen: $\mathcal{M} \subset_\delta \mathcal{N}$, with \mathcal{M} having similarity property and \mathcal{N} injective gives embedding $\mathcal{M} \hookrightarrow \mathcal{N}$.
 - ▶ Partial result: Yes for B a type I C^* -algebra.

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 - ▶ Partial result: Yes for B a type I C^* -algebra.
- 2 Can one produce close isomorphic copies of a II_1 factor \mathcal{M} (perhaps $L^{\infty}(X, \mu) \rtimes \mathbb{F}_n$) on \mathcal{H} , for which no isomorphism can be close to the inclusion into $\mathcal{B}(\mathcal{H})$?
 - ▶ We know that for any free ergodic pmp action $\mathbb{F}_n \curvearrowright (X, \mu)$, the crossed product $\mathcal{M} = L^{\infty}(X, \mu) \rtimes \mathbb{F}_n$ is perturbation rigid: i.e., isomorphic to any von Neumann algebra it is close to.
 - ▶ \mathbb{F}_k chosen as the bounded group cohomology $H^2(\mathbb{F}_k, L^{\infty}(X, \mu))$ is non-trivial.

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- 3 If A and B are close and A is \mathcal{Z} -stable, must B be \mathcal{Z} -stable?
 - Close II_1 factors are simultaneously McDuff.
 - Moreover McDuffness perturbs: if \mathcal{M} McDuff, and \mathcal{N} close to \mathcal{M} can make a small unitary perturbation so that $\mathcal{M} = \mathcal{M}_0 \otimes \mathcal{R}$ and $\mathcal{N} = \mathcal{N}_0 \otimes \mathcal{R}$ with the same copy of \mathcal{R} and \mathcal{M}_0 and \mathcal{N}_0 close.

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- ④ If \mathcal{M} and \mathcal{N} are close II_1 factors, what can we say about their subfactors?
 - ▶ Cartan decompositions, tensor product decompositions, transfer to close subalgebras.

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SOME QUESTIONS¹

- 4 If \mathcal{M} and \mathcal{N} are close II_1 factors, what can we say about their subfactors?
 - ▶ Cartan decompositions, tensor product decompositions, transfer to close subalgebras.
- 5 Suppose $C \subset A$ and $D \subset B$ are inclusions of nuclear C^* -algebras with $d(A, B)$ and $d(C, D)$ small. Is there an isomorphism $\theta : A \rightarrow B$ with $\theta(C) = D$?
 - ▶ This works for injective von Neumann algebras: apply Christensen's theorem twice.
 - ▶ It also works if C (and hence D) is an ideal.
 - ▶ But in general the Elliott intertwining arguments needed to construct isomorphisms between C^* -algebras don't work well with inclusions.

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