

The Kadison-Singer Problem in Mathematics and  
Engineering  
Lecture 4: The Sundberg Problem, the  
Harmonic-Analysis Conjecture, KS in Number Theory  
and non-2-Pavable Projections

Master Course on the Kadison-Singer Problem  
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## (weak) Bourgain-Tzafriri Conjecture

$A = f(B)$

# Recall: The Feichtinger Conjecture

## Definition

$\{\phi_i\}_{i \in I}$  is a **Riesz Basic Sequence** in  $H$  if there exist Riesz basis bounds  $A, B > 0$  so that for all scalars  $(a_i)_{i \in I}$

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## Feichtinger Conjecture

Every unit norm frame a finite union of Riesz basic sequences.

# BT implies FC

## Theorem

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For each  $n = 1, 2, \dots$ , let  $L_n : \ell_2^n \rightarrow \text{span}(\phi_i)_{i=1}^n$  be  $Le_i = \phi_i$ .

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By BT, there exists a partition  $(A_j^n)_{j=1}^r$  so that for all  $j = 1, 2, \dots, r$  and all scalars  $(a_i)_{i \in A_j}$  we have

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CONTINUE.

# The Sundberg Problem

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Can every unit norm Bessel sequence be partitioned into a finite number of non-spanning sets?

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If  $(e_i)_{i=1}^{\infty}$  is an orthonormal basis for  $\ell_2$  then  $(e_i) \cup (\phi_i)$  is a unit norm frame for  $\ell_2$ .

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By FC, we can partition this set (and hence we can partition  $(\phi_i)$ ) into a finite number of Riesz basic sequences say  $(\phi_i)_{i \in A_j}$  for  $j = 1, 2, \dots, r$ .

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But if we remove one vector from each family  $(\phi_i)_{i \in A_j}$  then the resulting sets do not span.

**End Proof**

# KS in Harmonic Analysis

## Historical Note:

Jean Baptiste Joseph Fourier is credited with the discovery in 1824 that gases in the atmosphere might increase the surface temperature of the earth. Today, we call this the **greenhouse effect**.

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Much work was done in 1980's to solve PC for Laurent Operators by:

Bourgain/Tzafriri

Halpern/Kaftal/Weiss

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$$(1 - \epsilon)(b - a)\|f\|^2 \leq \|P_E f\|^2 \leq (1 + \epsilon)(b - a)\|f\|^2$$

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If we replace  $1 \pm \epsilon$  by universal  $0 < A < 1 < B < \infty$ , we call this **weak H.A.**

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*(B) Weak HA is equivalent to FC for Laurant operators.*

# KS in Number Theory

## Van der Waerden's Theorem:

Given a partition of the integers  $(A_j)_{j=1}^r$ , there is an  $1 \leq i \leq r$  so that  $A_i$  has arbitrarily long arithmetic progressions.

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## Question:

Does there exist a quantitative version of Van der Waerden's theorem?

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Theorem: [Gowers]

Let  $0 < \gamma \leq 1/2$ , let  $k$  be a positive integer, let

$$P \geq 2 \uparrow 2 \uparrow \gamma^{-1} \uparrow 2 \uparrow 2 \uparrow (k + 9),$$

and let  $A$  be a subset of  $\{1, 2, \dots, P\}$  of size  $\gamma P$ .

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and let  $A$  be a subset of  $\{1, 2, \dots, P\}$  of size  $\gamma P$ .

Then  $A$  contains an arithmetic progression of length  $k$ .

# Quantative Arithmetic Progressions

## Definition

Let  $g : \mathbb{N} \rightarrow [0, \infty)$ . We say that  $A \subset \mathbb{Z}$  satisfies the  $g(N)$  arithmetic progression condition if for every  $\delta > 0$  there exists  $M \in \mathbb{Z}$  and  $n, \ell \in \mathbb{N}$  such that

(i)  $\ell < \delta g(N)$

and

(ii)  $\{M, M + \ell, M + 2\ell, \dots, M + N\ell\} \subset A$ .

# Bownik and Speegle

## Theorem (Bownik/Speegle)

*There exists a set  $U \subset [0, 1]$  such that if  $A \subset \mathbb{Z}$  satisfies the  $g(N) = N^{1/2} \log^{-3} N$  arithmetic condition,*

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then  $\{f(x + k) : k \in A\}$  is **NOT** a Riesz basic sequence where  $\hat{f} = \chi_U$ .

## Remark:

This means that there is no **quantitative van der Waerden** theorem with sets of size  $N^{1/2} \log^{-3} N$ .

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$$\|Q_{A_j} T Q_{A_j}\| \leq \epsilon \|T\|, \quad \text{for all } j = 1, 2, \dots, r.$$

$Q_{A_j}$  the orthogonal projection onto  $\text{span}(e_i)_{i \in A_j}$

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$Q_{A_j}$  the orthogonal projection onto  $\text{span}(e_i)_{i \in A_j}$

**Important:**  $r$  depends only on  $\epsilon$  and not on  $n$  or  $T$ .

# Two Paving Fails

[Discrete Fourier Transform -  $DFT_n$ ]

Choose a primitive  $n^{\text{th}}$ -root of unity  $\omega$  and define

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Then

$\frac{1}{\sqrt{n}}DFT_n$ , is a unitary matrix.

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Now form

$$B_n = \begin{bmatrix} B_1 \\ B_2 \end{bmatrix}$$

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i.e. This is the matrix of a rank  $2n$  projection on  $\mathbb{C}^{4n}$  with constant diagonal  $1/2$ .

# The Rows of $B_n$

## Theorem

*The matrices  $B_n$  are not uniformly 2-Riesable and hence  $I - B_n$  are not uniformly 2-pavable.*

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Choose  $(a_i)_{i \in A}$  with  $\sum_{i \in A} |a_i|^2 = 1$  and so that

$$P_{n-1} \left( \sum_{i \in A} a_i f_i \right) = 0.$$

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Letting  $n \rightarrow \infty$  we have that this class of matrices is not  $(\delta, 2)$ -Riesable for any  $\delta > 0$ .

# Our Tour of the Kadison-Singer Problem

Marcus/Spielman/Srivastava  $\Rightarrow$  Casazza/Tremain Conjecture  
and Weaver Conjecture  $KS_r$   
 $\Rightarrow$  Weaver Conjecture  
 $\Rightarrow$  Paving Conjecture  
 $\Rightarrow$   $R_\epsilon$ -Conjecture  
 $\Rightarrow$  Bourgain-Tzafriri Conjecture  
 $\Rightarrow$  Feichtinger Conjecture  
 $\Rightarrow$  Sundberg Problem

Finally:

Bourgain-Tzafriri Conjecture  $\Rightarrow$  Weaver Conjecture  
 $\Leftrightarrow$  Paving Conjecture  
 $\Leftrightarrow$  The Kadison-Singer Problem