

def: a type II₁ factor is a *-subalgebra M of B(H) s.t.

- M is WOT-closed and unital (von Neumann algebra) $M = M''$
- $\mathbb{K}(M) = \mathbb{C}1$ (factor)

→ simple

- $\exists \tau: M \rightarrow \mathbb{C}$ a trace (type II₁)

- $\tau(1) = 1$
- $\tau(x^*x) > 0$ if $x \neq 0$
- WOT-continuous
- $\tau(xy) = \tau(yx)$
- $M \neq M_n(\mathbb{C})$

examples:

- $L\Gamma$: let Γ be a countable group

$$H = \ell^2\Gamma$$

$$(L_g f)(h) = f(g^{-1}h)$$

$$L\Gamma = \{L_g \mid g \in \Gamma\}''$$

$$\tau(x) = \langle \delta_e, x\delta_e \rangle$$

- $L\Gamma$ is a factor iff Γ is ICC

infinite conjugacy classes

- $L^\infty(X) \rtimes \Gamma$: let $\Gamma \curvearrowright (X, \mu)$ be a p.m.p. action

$$H = L^2(X, \mu) \otimes \ell^2\Gamma = L^2(X \rtimes \Gamma)$$

~~$$(x \cdot f)(g) = x \cdot f(g) \quad \forall x \in L^\infty(X)$$~~

$$(a \cdot f)(x, g) = \delta(x) f(x, g) \quad \forall a \in L^\infty(X)$$

$$(u_g f)(x, h) = f(g^{-1}x, g^{-1}h) \quad \forall g \in \Gamma$$

$$\text{then } u_g a u_g^* = \sigma_g(a) \quad \sigma_g(a)(x) = a(g^{-1}x)$$

$$L^\infty(X, \mu) \rtimes \Gamma = \{a, u_g \mid a \in L^\infty(X), g \in \Gamma\}''$$

$$\tau(x) = \langle 1 \otimes \delta_e, x(1 \otimes \delta_e) \rangle$$

- $L^\infty(X, \mu) \rtimes \Gamma$ is a factor if $\Gamma \curvearrowright (X, \mu)$ is free and ergodic

- free: $\forall g \in \Gamma, \mu(\text{Fix}(g)) = 0$

- ergodic: if $\mu(U) > 0$, then $\mu(\bigcap_{n \in \mathbb{Z}} \Gamma^n U) = 1$

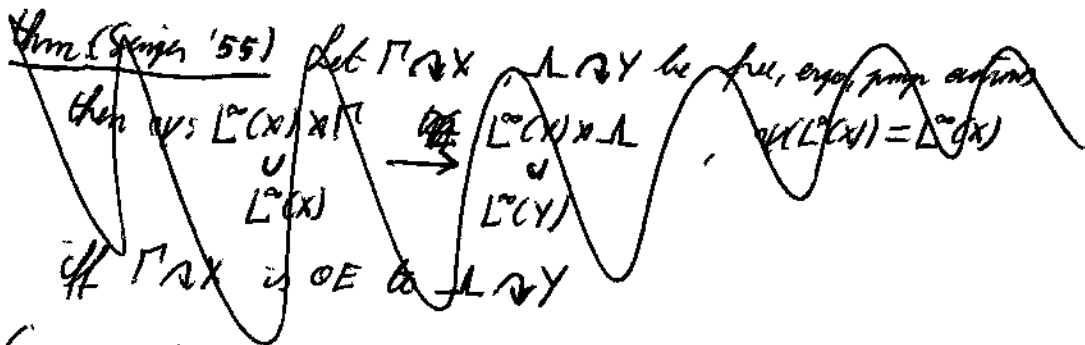
Γ -invariant subset $U \subset X$ has $\mu(U) = 0, 1$

questions

- when are $R\Gamma \cong R\Lambda$?
- $L^\infty(X) \rtimes \Gamma \cong L^\infty(Y) \rtimes \Lambda$?
- if isomorphic: what are the isomorphisms?
- in particular: $\text{Aut}(M) = \{ \varphi: M \rightarrow M \}$?
- obvious automorphisms: $u \in \mathcal{U}(M) \mapsto \text{Ad}_u: x \mapsto uxu^*$
- $\text{Inn}(M) = \{ \text{Ad}_u \mid u \in \mathcal{U}(M) \}$: normal subgroup
- $\text{Out}(M) = \text{Aut}(M) / \text{Inn}(M)$ + $\mathcal{F}(M) = \{ \pi \circ \tau / \tau \circ \rho \mid \pi M \pi \cong \rho M \rho \}$
- what properties of $\Gamma / \Gamma \cap X$ are invariants of the type II₁ factor?

key results:

- if Γ is amenable, then Γ, Λ amenable, ICC, then $R\Gamma \cong R\Lambda \cong L^\infty(X) \rtimes \Gamma = R$ (Connes, 1974-)
- in fact: $R\Gamma \cong R$ iff Γ is ICC amenable
- for, e.g. $\Gamma \cap X$: $L^\infty(X) \rtimes \Gamma \cong R$ iff Γ is amenable.
- $R\mathbb{F}_n \not\cong R$ (M-vN, 1948)
- $R\mathbb{F}_n \cong R\mathbb{F}_m$? (open)
- $\text{Out}(R)$ is huge (contains \mathcal{U}_∞)
- $\mathcal{F}(R) = \mathbb{R}^*$



Connes 1980:

- property (T) for type II₁ factors
- $R\Gamma$ has (T) iff Γ has (T)
- $\text{Out}(R\Gamma), \mathcal{F}(R\Gamma)$ is countable if M has (T)
- there are at most countably many Γ_i s.t. $R\Gamma_i \cong M$ if M has (T)

longest at most 1 group, $\text{Out}(M) = \{id\}$, $\mathcal{F}(M) = \{1\}$

Popa 2001+- (relation)

nonalgebra with (T) \leftrightarrow deformation of the algebra M

- $\mathbb{R} \langle x \rangle \subset \mathbb{R} \langle z^2 \rangle \subset \mathbb{R} \langle z^2 \rtimes SL_2 \mathbb{Z} \rangle$
- $\mathcal{F}(R\mathbb{Z}^2 \rtimes SL_2 \mathbb{Z}) = \{1\}$
- $R\mathbb{Z}^2 \subset R(\mathbb{Z}^2 \rtimes SL_2 \mathbb{Z})$ has rd(T) \leftrightarrow $SL_2 \mathbb{Z}$ has (H)

• $\text{Out}(M) = \{ \emptyset \}$ (FM)

(IP05)

• $\exists \Gamma$ s.t. $L\Lambda \cong L\Gamma \Rightarrow \Lambda \cong \Gamma$ (IPV)

$\Gamma \cap X$ s.t. $L^\infty(X) \cap \Gamma \cong L^\infty(Y) \cap \Gamma \Rightarrow \Lambda \cong \Gamma, \Gamma \cap X \cong \Lambda \cap Y$ (PV)

Link with ergodic theory:

def: Let $\Gamma \cap X, \Lambda \cap Y$ be μ, ν -n.e. actions

• $\Delta: X \rightarrow Y, \delta: \Gamma \rightarrow \Lambda$ is a conjugation if

$\Delta(gx) = \delta(g)\Delta(x)$ a.e.

\Rightarrow actions are conjugate

• $\Delta: X \rightarrow Y$ is an O.E. if

$\Delta(\Gamma x) = \Lambda \Delta(x)$ a.e.

\Rightarrow actions are orbit equivalent

$\Delta(gx) = \omega(g, x)\Delta(x) \sim \omega(g, h, x) = \omega(g, h)\omega(h, x)$

Thm (Singer '75)

$\Delta_x: L^\infty(X) \rightarrow L^\infty(Y)$

$\Delta: X \rightarrow Y$ is an O.E. iff $\Delta_x(\alpha)(x) = \alpha(\Delta^{-1}x)$

extends to an isom $L^\infty(X) \cap \Gamma \rightarrow L^\infty(Y) \cap \Lambda$

no: $(L^\infty(X) \cap L^\infty(X) \cap \Gamma) \cong (L^\infty(Y) \cap L^\infty(Y) \cap \Lambda) \nRightarrow \Gamma \cap X \cong_{\text{O.E.}} \Lambda \cap Y$

def: [FM '73] ^{prod.} A countable equivalence relation R on a measure space (X, μ) is

a Borel subset $R \subset X \times X$ that is an equivalence r.e. all classes are countable

~~It is μ -n.e. if every $\Delta: X \rightarrow X$ with $(\Delta(x), x) \in R$ a.e. is μ -n.e.~~

• $[R] = \{ \Delta: X \rightarrow X \mid \Delta(x) R x \text{ a.e.} \}$

• we say that R is μ -n.e. if every $\Delta \in [R]$ is μ -n.e.

• $[R] \cap X$ is ergodic

ex: • $\Gamma \cap X$ is μ -n.e. action

$\Rightarrow R_\Gamma = \{ (x, gx) \mid g \in \Gamma \}$ is a c.c. Borel equl

• every R is of this form (FM)

• but not for all μ -n.e. actions

construction: on R , define measure η by $\eta(A) = \int \# \{ \frac{\alpha(x, y)}{y} \mid (x, y) \in A \} d\mu(x)$

on $L^2(R, \eta)$, consider $(\alpha \tilde{f})(x, y) = \alpha(x) \tilde{f}(x, y) \quad \forall \alpha \in L^\infty(X, \mu)$

$(\varphi \tilde{f})(x, y) = \tilde{f}(\varphi(x), y) \quad \forall \varphi \in [R]$

$L^2 R = \{ \alpha, \varphi \}$

• $\tau(x) = \langle \tau_\Delta, x \tau_\Delta \rangle \quad \Delta = \{ (\alpha, \varphi) \mid x \in X \}$

• $L^2 R$ is a factor iff R is ergodic

Ex: if $\mathcal{R} = \mathcal{R}_\Gamma(\Gamma \backslash X)$, then $\text{and } \Gamma \backslash X \text{ is free, then}$

$$L^\infty(X) \rtimes \Gamma \cong L\mathcal{R}$$

~~Def~~

def: a subalgebra $A \subset M$ of a \ast -factor is a Cartan subalgebra if it is

• maximal ab, i.e. $A' \cap M = A$

• regular, i.e. $\mathcal{N}_M(A) = \{u \in \mathcal{U}(M) \mid uAu^* = A\}$ generates M

ex: $L^\infty(X) \subset L^\infty(X) \rtimes \Gamma$ if $\Gamma \backslash X$ is free

• $L^\infty(X) \subset L\mathcal{R}$ ~~is a Cartan subalgebra~~

Thm [FM] Every Cartan subalgebra is of the form $L^\infty(X) \subset L\mathcal{R}$

strategy to answer our question

- if $\psi: L^\infty(X) \rtimes \Gamma \rightarrow L^\infty(Y) \rtimes \Lambda$ is an iso, } Codom
- show that $\psi(L^\infty(X)) = u L^\infty(Y) u^*$
- study orbit equivalence $\Delta: X \rightarrow Y$ } Domain

break

Technical tool: Popa's intertwining by bimodules

goal is to prove ψ

$A, B \subset (M, \tau) \rightarrow$ goal is to prove $A = uBu^*$ for some $u \in \mathcal{U}(M)$

Thm [Popa 01]: Let $A, B \subset (M, \tau)$ TFAE

(1) $\exists n \in \mathbb{N}, \tau \in M_n(B), \sigma \in M_{n,n}(M), \theta: A \rightarrow M_n(B)_\tau$ s.t.

$$\sigma(v) = v \theta(x) \quad \forall x \in A$$

(2) $\exists K \subset L^2(M, \tau)_B$, then finitely generated as a B -module

(3) $\exists (u_i)_i \in \mathcal{U}(A)$ s.t. $\forall x, y \in M: \|E_B(x u_i y)\|_2 \rightarrow 0$ \angle means $A \angle_M B$

proof:

(1) \Rightarrow (3): suppose that $\exists n, \tau, \sigma, \theta$ as in (1) and $\exists (u_i)_i$ as in (3)

$$\text{then } \|E_B(x u_i y)\|_2 = \|E_{M_n(B)_\tau}(\sigma(x u_i y))\|_2 = \|E_{M_n(B)_\tau}(\sigma(x) u_i \sigma(y))\|_2$$

$$= \|E_{M_n(B)_\tau}(\sigma(x) u_i \sigma(y))\|_2 \rightarrow 0$$

(3) \Rightarrow (2):

Basic construction: on $L^2(M)$, consider $\mathcal{B}_B(L^2(M))$ on

e_B : map into $L^2(B) \subset L^2(M)$

then $\mathcal{B}_B(L^2(M)) \cong \mathcal{B}(L^2) \otimes B \rightarrow$ trace $\text{Tr}_B = \text{Tr} \otimes \tau$, $e_B^* e_B = 1$

$\mathcal{B}_B(L^2(M)) = \langle M, e_B \rangle$, $\text{Tr}_B(e_B) = 1$, $e_B x e_B = e_B E_B(x) \forall x \in M$

$K \subset L^2(M)$ is an A - B subbimodule $\iff P_K \in \langle M, e_B \rangle \cap A'$

K is finite dimensional $\iff \text{Tr}_B(P_K) < \infty$

\Downarrow

$\exists_{\neq \emptyset} K_0 \subset K$ s.t. K_0 is finitely generated

if $\exists u_k \in \mathcal{U}(A)$ s.t. $\forall x, y \in M: \|E_B(x u_k y)\|_2 \rightarrow 0$

then $\exists x_1, \dots, x_n, y_1, \dots, y_m \in M$ s.t. $\forall u \in \mathcal{U}(A): \|E_B(x_i u y_j)\|_2 \gg \epsilon$ for some $i=1, \dots, n$

we assume that

write $\{a_1, \dots, a_m\} = \{x_1, \dots, x_n, y_1^*, \dots, y_m^*\}$, then $\forall u \in \mathcal{U}(A): \sum_{i,j=1}^m \|E_B(a_i^* u a_j^*)\|_2 \gg \epsilon$

$$\sum_{i,j=1}^m \|E_B(a_i^* u a_j^*)\|_2 \gg \epsilon$$

set $x = \sum_{i=1}^m a_i e_B a_i^* \in \langle M, e_B \rangle$, $\text{Tr}_B(x) < \infty$, $x > 0$.

$\Rightarrow x \in L^2(\langle M, e_B \rangle, \text{Tr}_B)$

$C = \overline{\text{span}}^{L^2} \{u x u^* \mid u \in \mathcal{U}(A)\} \subset L^2(\langle M, e_B \rangle, \text{Tr}_B)$

all $db \in C$ have finite trace.

Take $w \in C$ with minimal $\|w\|_{\text{Tr}_B, 2} \Rightarrow u w u^* = w$

$w \neq 0$ because $\langle x, u x u^* \rangle = \langle x, x \rangle$

$$\langle x, u x u^* \rangle_{\text{Tr}_B} = \text{Tr}(x u x u^*) = \sum_{i,j} \text{Tr}(a_i e_B a_i^* u^* a_j e_B a_j^* u)$$

$$= \sum_{i,j} \text{Tr}(e_B a_i^* u a_j e_B a_j^* u^* a_i e_B a_i^*)$$

$$= \sum_{i,j} \|E_B(a_j^* u a_i)\|_2^2 \gg \epsilon$$

take a minimal projection P of $w \Rightarrow P \in \langle M, e_B \rangle \cap A' \Rightarrow P(L^2(M)) = K$.

2 → 1)

$K_B \cong \mathcal{K}(\mathcal{C}^{\infty}_c(\mathcal{B}))_B$, norm $\|\cdot\|_B : \mathcal{K}(\mathcal{C}^{\infty}_c(\mathcal{B}))_B \rightarrow K_B$, $\mu \in M_n(\mathcal{B})$
is a unitary

let $\mathcal{F} \in M_{1,n}(\mathcal{L}(M))$

$$\mathcal{F} = [\mathcal{U}(\mathcal{E}_1, \omega_1), \dots, \mathcal{U}(\mathcal{E}_n, \omega_n)]$$

$$\mathcal{G}(x) = \mathcal{U}^*(x)\mathcal{U} \in M_n(\mathcal{K}_x) \quad \forall x \in A$$

define $\alpha(\mathcal{F}) = \mathcal{F}\mathcal{G}(x)$

consider $T_{\mathcal{F}}: M_n(\mathcal{L}(M)) \rightarrow M_n(\mathcal{L}(M))$
 $\hat{x} \mapsto \mathcal{F}x$

clearly unbounded operator $T_{\mathcal{F}}x = \mathcal{F}\mathcal{G}(x)x \quad \forall x \in M_n(M)$

→ when determinant gives $\mathcal{F} \in M_{1,n}(M)$ s.t. $\alpha v = v\mathcal{G}(v)$

application: $\mathcal{F}(\mathbb{R}Z^2 \rtimes SL_2\mathbb{Z}) = \{1\}$

$SL_2\mathbb{Z}$ has Haagerup property, so $\exists \varphi_n: SL_2\mathbb{Z} \rightarrow \mathbb{C}$ s.t.

- φ_n is multiplicative
- $\varphi_n(g) \rightarrow 1$ rapidly
- φ_n is \mathcal{C}_0
- $\varphi_n(1) = 1$

define φ

Lemma [Bour 02] $\forall A, B$ are Cartan subalgebras and $A \not\sim B$, then $A \neq \alpha(B)$ for $\alpha \in \text{Aut}(M)$.

~~proof~~

application: $\mathcal{F}(\mathbb{R}Z^2 \rtimes SL_2\mathbb{Z}) = \{1\}$

let $\varphi_n(\mathcal{U}(g, \omega)) = \varphi_n(g)\mathcal{U}(g, \omega)$ ^{norm} $\varphi_n(g)$ ~~self-adjoint~~, tends to id rapidly 4.11

let $\alpha: M_n \rightarrow M_n$ be a *-homom

→ $\alpha(\mathbb{R}Z^2) \subset M_n$ has rel CT

→ $\varphi_n(\mathcal{U}(g, \omega)) \rightarrow \text{id}$ uniformly on $\mathcal{U}(\mathbb{R}Z^2)_1$

supp $\alpha(\mathbb{R}Z^2) \not\sim \mathbb{R}Z^2$, then $\exists (v_k)_k \in \mathcal{U}(\mathbb{R}Z^2)$ s.t.

$$\forall x, y \in M: \|E_{\mathbb{R}Z^2}(x\mathcal{U}_k y)\|_2 \rightarrow 0$$

in particular, $\|E_{L^2}(\alpha_n^d(v_2))\|_2 \rightarrow 0 \quad \forall \epsilon \in S_2 \mathbb{Z}$

~~let~~

We know that $\|\alpha(v_2)\|_2^2 = 1 \quad \forall k$

we know that this is not the case

~~let~~

Take $n \in \mathbb{N}$ s.t. $\|\alpha_n(d(v_2)) - d(v_2)\|_2^2 < \frac{1}{4} \quad \forall k$

Let ϕ_n ~~is~~ in C_0 . Take $F \subset S_2 \mathbb{Z}$ finite s.t. $|\phi_n(\varphi)|^2 < \frac{1}{8} \quad \forall \varphi \in F$

Let k s.t. $\|E_{L^2}(\alpha_n^d d(v_2))\|_2^2 < \frac{1}{8|F|} \quad \forall \varphi \in F$

$$\alpha_n(d(v_2)) = \sum_{\varphi} \alpha_{\varphi} v_{\varphi}$$

$$\Rightarrow \|d(v_2)\|_2^2 \leq \|\alpha_n(d(v_2))\|_2^2 + \|\alpha_n(d(v_2)) - d(v_2)\|_2^2$$

$$\|\alpha_n(d(v_2))\|_2^2 = \sum_{\varphi} |\alpha_{\varphi}|^2 \|v_{\varphi}\|_2^2 \leq \sum_{\varphi \in F} \dots + \sum_{\varphi \notin F} \dots$$

$$\leq \frac{1}{8} + \frac{1}{8} \sum_{\varphi \notin F} \|v_{\varphi}\|_2^2 \leq 1$$

$$\Rightarrow \|\alpha_n(d(v_2))\|_2 < \frac{1}{4} \Rightarrow n < \frac{1}{2} \Rightarrow$$