

# Projections in free product C\*-algebras, II

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## Abstract

Let  $(A, \varphi)$  be the reduced free product of infinitely many C\*-algebras  $(A_\iota, \varphi_\iota)$  with respect to faithful states. Assume that the  $A_\iota$  are not too small, in a specific sense. If  $\varphi$  is a trace then the positive cone of  $K_0(A)$  is determined entirely by  $K_0(\varphi)$ . If, furthermore, the image of  $K_0(\varphi)$  is dense in  $\mathbb{R}$ , then  $A$  has real rank zero. On the other hand, if  $\varphi$  is not a trace then  $A$  is simple and purely infinite.

## Introduction

Let  $I$  be a set having at least two elements and, for every  $\iota \in I$ , let  $A_\iota$  be a unital C\*-algebra with a state,  $\varphi_\iota$ , whose GNS representation is faithful. Their reduced free product,

$$(A, \varphi) = \underset{\iota \in I}{*} (A_\iota, \varphi_\iota) \quad (1)$$

was introduced by Voiculescu [20] and independently (in a more restricted way) by Avitzour [1]. Thus  $A$  is a unital C\*-algebra with canonical, injective, unital \*-homomorphisms,  $\pi_\iota: A_\iota \rightarrow A$ , and  $\varphi$  is a state on  $A$  such that  $\varphi \circ \pi_\iota = \varphi_\iota$  for all  $\iota$ . It is the natural construction in Voiculescu's free probability theory (see [21]), and Voiculescu's theory has been vital to the study of these C\*-algebras.

In [12], for reduced free product C\*-algebras  $A$  as in (1), when all the  $\varphi_\iota$  are faithful, we investigated projections in  $A$  and the related topic of positive elements in  $K_0(A)$ . The behaviour we discovered, under mild conditions specifying that the  $A_\iota$  are not too small, depended broadly on whether  $\varphi$  is a trace, (i.e. on whether all the  $\varphi_\iota$  are traces). If  $\varphi$  is a not trace then by [12]  $A$  is properly infinite. It remained open whether  $A$  must be purely infinite. (Some special classes of reduced free product C\*-algebras have in [13] and [9] been shown to be purely infinite.) When  $\varphi$  is a trace, then it follows from [12] that

for every element,  $x$ , of the subgroup,  $G$ , of  $K_0(A)$  generated by  $\bigcup_{\iota \in I} K_0(\pi_\iota)(K_0(A_\iota))$ , if  $K_0(\varphi)(x) > 0$  then  $x \geq 0$  and if  $0 < K_0(\varphi)(x) < 1$  then there is a projection  $p \in A$  such that  $x = [p]_0$ . By work of E. Germain [14], [15], [16], if each  $A_\iota$  is an amenable C\*-algebra then  $K_0(A) = G$  and  $G$  can be found from the groups  $K_0(A_\iota)$  by using exact sequences, (and by taking inductive limits if  $I$  is infinite); hence under the hypothesis of amenability, we used Germain's results to give a complete characterization of the positive cone of  $K_0(A)$  and of its elements corresponding to projections in  $A$ .

In the present paper we investigate similar questions for reduced free product C\*-algebras, (1), when  $I$  is infinite and when, for infinitely many  $\iota \in I$ , there is a unitary,  $u \in A_\iota$  such that  $\varphi_\iota(u) = 0$ . We show that in this case, if  $\varphi$  is not a trace then  $A$  is purely infinite and simple. If  $\varphi$  is a trace then, although we do not know in general if the subgroup  $G$  described above exhausts  $K_0(A)$ , we nonetheless show that for every  $x \in K_0(A)$ , if  $K_0(\varphi)(x) > 0$  then  $x \geq 0$ ; furthermore, we show that if  $x \in K_0(A)$  and if  $0 < K_0(\varphi)(x) < 1$  then there is a projection  $p \in A$  such that  $x = [p]_0$ . We also show that if the image of  $K_0(\varphi)$  is dense in  $\mathbb{R}$  then  $A$  has real rank zero.

The *real rank* of a C\*-algebra,  $A$ , is denoted  $\text{RR}(A)$  and was invented by L.G. Brown and G.K. Pedersen [4]. Of particular interest is the case  $\text{RR}(A) = 0$ , which is defined, for a unital C\*-algebra  $A$ , to mean that the invertible self-adjoint elements are dense in the set of all self-adjoint elements of  $A$ . If  $\varphi$  is a faithful state on an infinite dimensional, simple C\*-algebra  $A$ , then a necessary condition for  $\text{RR}(A) = 0$  is that there be projections,  $p$ , in  $A$  such that  $\varphi(p)$  is arbitrarily small and positive; hence in particular, the image of  $K_0(\varphi)$  must be dense in  $\mathbb{R}$ . We show that this condition is sufficient when  $A$  is a reduced free product of infinitely many algebras, as above, and when  $\varphi$  is a trace. (Moreover, when  $\varphi$  is not a trace then  $A$  is purely infinite, so by a result of S. Zhang [22],  $A$  has real rank zero.)

## 1 Comparison between positive elements and projections

Most of this section is a reformulation of results from [19]. The proof of Theorem 1.5 below is almost identical to the proof of [19, Theorem 7.2], but the statements of these two theorems are quite different.

We recall the notion of comparison of positive elements as introduced by J. Cuntz in [5] and [6] (see also [19]). Let  $A$  be a C\*-algebra, and let  $a, b$  be positive elements in  $A$ .

Then  $a \lesssim b$  will mean that there exists a sequence  $\{x_n\}_{n \in \mathbb{N}}$  in  $A$  with

$$\lim_{n \rightarrow \infty} \|a - x_n b x_n^*\| = 0.$$

If  $p, q \in A$  are projections, then the definition above of  $p \lesssim q$  agrees with the usual definition:  $p = vv^*$  and  $v^*v \leq q$  for some partial isometry  $v \in A$ .

If  $A$  is unital, and if  $\varphi$  is a state on  $A$ , then define  $D_\varphi: A^+ \rightarrow [0, 1]$  by

$$D_\varphi(a) = \lim_{\varepsilon \rightarrow 0^+} \varphi(f_\varepsilon(a)),$$

where  $f_\varepsilon: \mathbb{R}^+ \rightarrow [0, 1]$  is the continuous function, which is zero on  $[0, \varepsilon/2]$ , linear on  $[\varepsilon/2, \varepsilon]$ , and equal to 1 on  $[\varepsilon, \infty)$ . If  $\varphi$  is a trace, then  $D_\varphi$  is a dimension function (in the sense of Cuntz, [6]). Notice that  $D_\varphi(p) = \varphi(p)$  for all projections  $p \in A$ .

We shall use the following facts:

$$f_{2\varepsilon}(a) \leq f_\delta(f_\varepsilon(a)) \leq f_{\varepsilon/2}(a), \quad f_{\varepsilon/2}(a)f_\varepsilon(a) = f_\varepsilon(a), \quad (2)$$

when  $\varepsilon > 0$  and  $0 < \delta \leq 1/2$ , and, consequently,  $D_\varphi(f_\varepsilon(a)) \leq \varphi(f_{\varepsilon/2}(a))$ . Moreover, if  $0 \leq a \leq 1$ , then  $\varphi(a) \leq D_\varphi(a)$ . Recall from [18] that the *stable rank* of a unital  $C^*$ -algebra  $A$  is equal to 1 if and only if the set invertible elements of  $A$  is dense in  $A$ .

**Lemma 1.1** *Let  $A$  be a  $C^*$ -algebra of stable rank one, let  $B$  be a hereditary subalgebra of  $A$ , let  $a$  be a positive element in  $B$ , and let  $q$  be a projection in  $B$  such that  $a \lesssim q$ . Then for each  $\varepsilon > 0$  there is a projection  $p \in B$  such that  $f_\varepsilon(a) \leq p \sim q$ .*

*Proof:* Observe first that the comparisons  $a \lesssim p$  and  $p \sim q$  are independent of whether they are relative to  $A$ ,  $B$ , or  $\tilde{B}$ , where  $\tilde{B}$  denotes the  $C^*$ -algebra obtained by adjoining a unit to  $B$ . It follows from [18] and [3] that if  $A$  has stable rank one, then so do  $B$  and  $\tilde{B}$ . By [19, Proposition 2.4], there is for each  $\varepsilon > 0$  a unitary  $u$  in  $\tilde{B}$  with  $uf_\varepsilon(a)u^* \in q\tilde{B}q$  ( $= qBq$ ). Put  $p = u^*qu$ . Then  $p$  is as desired.  $\square$

**Lemma 1.2** *Let  $A$  be a  $C^*$ -algebra, let  $a$  be a positive element in  $A$ , and let  $p$  be a projection in  $A$ . Then the following are equivalent:*

- (i)  $p \lesssim a$ ,
- (ii)  $p = xax^*$  for some  $x \in A$ ,

(iii)  $p$  is equivalent to some projection in the hereditary subalgebra of  $A$  generated by  $a$ .

*Proof:* (i)  $\Rightarrow$  (ii). If  $p \lesssim a$ , then  $\|p - yay^*\| < 1/2$  for some  $y \in A$ . Hence  $pyay^*p$  is invertible (and positive) in  $pAp$ , and therefore  $p = zpyay^*pz^*$  for some  $z \in pAp$ .

(ii)  $\Rightarrow$  (iii). Put  $u = xa^{1/2}$ . Then  $uu^* = p$ , and hence  $u$  is a partial isometry. Put  $q = u^*u = a^{1/2}x^*xa^{1/2}$ . Then  $q$  is a projection in the hereditary subalgebra generated by  $a$ , and  $q \sim p$ .

(iii)  $\Rightarrow$  (i). Assume  $q$  is a projection in the hereditary subalgebra generated by  $a$ , and that  $q \sim p$ . Then there exists an  $n \in \mathbb{N}$  such that  $\|q - a^{1/n}qa^{1/n}\| < 1/2$ . It follows that  $qa^{1/n}qa^{1/n}q$  and, consequently,  $qa^{2/n}q$ , are invertible in  $qAq$ . Therefore  $q = rqa^{2/n}qr^*$  for some  $r \in qAq$ . This shows that  $p \sim q \lesssim a^{2/n} \lesssim a$ .  $\square$

**Lemma 1.3 ([19, Proposition 2.2])** *Let  $A$  be a unital  $C^*$ -algebra, let  $a, b$  be positive elements in  $A$ , and let  $\varepsilon > 0$ . If  $\|a - b\| < \varepsilon$ , then  $f_\varepsilon(a) \lesssim b$ .*

**Lemma 1.4** *Let  $A$  be a unital  $C^*$ -algebra, and let  $\mathfrak{A}$  be a dense unital  $*$ -subalgebra of  $A$ . Suppose that for each positive element  $a \in \mathfrak{A}$  and each  $\varepsilon > 0$  there is a projection  $p \in A$  and  $0 < \delta < \varepsilon$  such that  $f_\varepsilon(a) \leq p \leq f_\delta(a)$ . Then  $\text{RR}(A) = 0$ .*

*Proof:* To show that  $\text{RR}(A) = 0$  it will suffice (by [4]) to show that all self-adjoint elements in the dense  $*$ -subalgebra  $\mathfrak{A}$  can be approximated by invertible self-adjoint elements.

Let  $a$  be a self-adjoint element in  $\mathfrak{A}$ , and write  $a = a_+ - a_-$ . For each  $n \in \mathbb{N}$  find  $\delta_n > 0$  and projections  $p_n, q_n$  in  $A$  such that

$$f_{1/n}(a_+) \leq p_n \leq f_{\delta_n}(a_+), \quad f_{1/n}(a_-) \leq q_n \leq f_{\delta_n}(a_-).$$

Then  $p_n \perp q_n$ ,  $p_n a_+ p_n \rightarrow a_+$ , and  $q_n a_- q_n \rightarrow a_-$ . Set

$$b_n = (p_n a_+ p_n + \frac{1}{n} p_n) - (q_n a_- q_n + \frac{1}{n} q_n) + \frac{1}{n} (1 - p_n - q_n).$$

Then each  $b_n$  is invertible and self-adjoint, and  $b_n \rightarrow a$ .  $\square$

Let  $\mathcal{Q}$  be a compact convex subset of the state space of a unital  $C^*$ -algebra  $A$ , and let  $\text{Aff}(\mathcal{Q})$  denote the real vector space of all affine continuous functions  $\mathcal{Q} \rightarrow \mathbb{R}$ . Equip this space with the strict ordering, i.e.,  $f \geq 0$  if  $f = 0$  or if  $f(\varphi) > 0$  for all  $\varphi \in \mathcal{Q}$ , and, in turn, with the topology induced by this ordering. All self-adjoint elements  $a \in A$  induce

an element  $\hat{a} \in \text{Aff}(\mathcal{Q})$  through the formula  $\hat{a}(\varphi) = \varphi(a)$ . We will consider the interval  $[0, 1]$  of  $\text{Aff}(\mathcal{Q})$ , defined by

$$[0, 1] = \{f \in \text{Aff}(\mathcal{Q}) \mid 0 \leq f \leq 1\}.$$

**Theorem 1.5** *Let  $A$  be a unital  $C^*$ -algebra, let  $\mathcal{Q}$  be a compact convex subset of the state space of  $A$ , let  $\Pi$  be a subset of the set of projections in  $A$ , and let  $\mathfrak{A}$  be a dense  $*$ -subalgebra of  $A$ , which is closed under continuous function calculus (on its normal elements).*

*Assume that each state in  $\mathcal{Q}$  is faithful on  $\mathfrak{A}$ , and that the following comparison properties hold for all positive elements  $a \in \mathfrak{A}$  and for all projections  $p \in \Pi$ :*

- (α) *if  $D_\varphi(a) < \varphi(p)$  for all  $\varphi \in \mathcal{Q}$ , then  $a \lesssim p$ ,*
- (β) *if  $\varphi(p) < D_\varphi(a)$  for all  $\varphi \in \mathcal{Q}$ , then  $p \lesssim a$ , and*
- (γ) *the subset of  $\text{Aff}(\mathcal{Q})$  induced by  $\Pi$  is dense in the interval  $[0, 1]$  of  $\text{Aff}(\mathcal{Q})$ .*

*It follows that*

- (i) *if  $\text{sr}(A) = 1$ , then  $\text{RR}(A) = 0$ , and*
- (ii) *if all nonzero projections in  $\Pi$  are infinite and full, then  $A$  is simple and purely infinite.*

*Proof:* (i). We show that the conditions in Lemma 1.4 are satisfied. So let  $a \in \mathfrak{A}$  be a positive element, and let  $\varepsilon > 0$ . We must find  $0 < \delta < \varepsilon$  and a projection  $p \in A$  with  $f_\varepsilon(a) \leq p \leq f_\delta(a)$ .

If  $\text{sp}(a) \cap (\varepsilon/8, \varepsilon/4) = \emptyset$ , then  $p = f_{\varepsilon/8}(a)$  and  $\delta = \varepsilon/8$  will be as desired.

Assume now that  $\text{sp}(a) \cap (\varepsilon/8, \varepsilon/4) \neq \emptyset$ . Then  $0 \leq \varphi(f_{\varepsilon/4}(a)) < \varphi(f_{\varepsilon/8}(a)) \leq 1$  for all  $\varphi \in \mathcal{Q}$  because each such  $\varphi$  is assumed to be faithful on  $\mathfrak{A}$ . Since  $\Pi$  is dense in the interval  $[0, 1]$  of  $\text{Aff}(\mathcal{Q})$  there is  $q \in \Pi$  with  $\varphi(f_{\varepsilon/4}(a)) < \varphi(q) < \varphi(f_{\varepsilon/8}(a))$  for all  $\varphi \in \mathcal{Q}$ . By (2),

$$D_\varphi(f_{\varepsilon/2}(a)) \leq \varphi(f_{\varepsilon/4}(a)) < \varphi(q) < \varphi(f_{\varepsilon/8}(a)) \leq D_\varphi(f_{\varepsilon/8}(a)).$$

By assumptions (α) and (β) this implies that  $f_{\varepsilon/2}(a) \lesssim q \lesssim f_{\varepsilon/8}(a)$ .

From Lemma 1.2 there is a projection  $r$  in the hereditary subalgebra,  $B$ , generated by  $f_{\varepsilon/8}(a)$  such that  $q \sim r$ . By Lemma 1.1 there is a projection  $p \in B$  such that  $f_{1/2}(f_{\varepsilon/2}(a)) \leq p$  (and  $p \sim r$ ). By (2), this entails that  $f_\varepsilon(a) \leq p \leq f_{\varepsilon/16}(a)$ . The claim is therefore proved with  $\delta = \varepsilon/16$ .

(ii). If each non-zero hereditary subalgebra of  $A$  contains a full element, then  $A$  must be simple. If, moreover, each such hereditary subalgebra contains an infinite projection, then  $A$  is purely infinite and simple (c.f. Cuntz' definition of purely infinite simple  $C^*$ -algebras in [7]). It therefore suffices to show that each non-zero hereditary subalgebra of  $A$  contains an infinite full projection.

Let  $B$  be a non-zero hereditary subalgebra of  $A$ , and let  $b$  be a positive element in  $B$  with  $\|b\| = 1$ . Find a positive element  $a \in \mathfrak{A}$  with  $\|a - b\| < 1/2$ . Since each  $\varphi \in \mathcal{Q}$  is faithful, since  $f_{1/2}(a) \neq 0$ , and since  $\Pi$  is dense in the interval  $[0, 1]$  of  $\text{Aff}(\mathcal{Q})$ , there is  $q \in \Pi$  with  $\varphi(q) < \varphi(f_{1/2}(a))$  for all  $\varphi \in \mathcal{Q}$ . This implies that  $\varphi(q) < D_\varphi(f_{1/2}(a))$  for all  $\varphi \in \mathcal{Q}$ , and by assumption  $(\beta)$  we get  $q \lesssim f_{1/2}(a)$ . Using Lemma 1.3 we obtain that  $q \lesssim b$ , and Lemma 1.2 finally implies that there is a projection  $p$  in the hereditary subalgebra of  $A$  generated by  $b$  (which is contained in  $B$ , so that  $p \in B$ ) such that  $p \sim q$ . Since  $q$  is infinite and full, so is  $p$ , and the proof is complete.  $\square$

## 2 Application to reduced free products of $C^*$ -algebras

Throughout this section, we consider a reduced free product of  $C^*$ -algebras,

$$(A, \varphi) = *_{\iota \in I} (A_\iota, \varphi_\iota), \quad (3)$$

where  $I$  is an infinite set, where each  $\varphi_\iota$  is a faithful state and where for infinitely many  $\iota \in I$  there is a unitary  $u \in A_\iota$  with  $\varphi_\iota(u) = 0$ . It follows from [8] that  $\varphi$  is faithful on  $A$ .

Avitzour's result [1] gives that  $A$  is simple if, for example,  $\varphi$  is a trace. Indeed, by partitioning the set  $I$  into two suitable subsets,  $A$  can be viewed as a reduced free product,

$$(A, \varphi) = (B_1, \psi_1) * (B_2, \psi_2),$$

such that there are unitaries,  $u \in B_1$  and  $v, w \in B_2$  satisfying that  $\psi_1(u) = 0 = \psi_2(v) = \psi_2(w)$  and that  $v$  and  $w$  are  $*$ -free; hence also  $\psi_2(v^*w) = 0$ . (Avitzour's result also applies in somewhat more general instances.) In addition, if  $\varphi$  is a trace then by [10] the stable rank of  $A$  is equal to 1.

The  $K_0$ -group,  $K_0(D)$ , of a  $C^*$ -algebra  $D$  is equipped with a *positive cone* and a *scale*

defined respectively by

$$\begin{aligned} K_0(D)^+ &= \{[p]_0 \mid p \in \text{Proj}(D \otimes \mathcal{K})\}, \\ \Sigma(D) &= \{[p]_0 \mid p \in \text{Proj}(D)\}, \end{aligned}$$

where  $\text{Proj}(D)$  is the set of projection in  $D$ , and where  $[\cdot]_0: \text{Proj}(D \otimes \mathcal{K}) \rightarrow K_0(D)$  is the canonical map from which  $K_0$  is defined. The positive cone gives rise to an ordering on  $K_0(D)$  by  $x \leq y$  if  $y - x \in K_0(D)^+$ , and  $x < y$  if  $y - x \in K_0(D)^+ \setminus \{0\}$ . Each (positive) trace  $\varphi$  on  $D$  induces a positive group-homomorphism  $K_0(\varphi): K_0(D) \rightarrow \mathbb{R}$  which satisfies  $K_0(\varphi)([p]_0) = \varphi(p)$  for  $p \in \text{Proj}(D)$ , and  $K_0(\varphi)([p]_0) = (\varphi \otimes \text{Tr}_n)(p)$  for  $p \in \text{Proj}(D \otimes M_n(\mathbb{C}))$ , where  $\text{Tr}_n$  is the (unnormalized) trace on  $M_n(\mathbb{C})$ . The ordered abelian group  $(K_0(D), K_0(D)^+)$  is called *weakly unperforated* if  $nx > 0$  for some  $n \in \mathbb{N}$  and some  $x \in K_0(D)$  implies that  $x \geq 0$ .

**Theorem 2.1** *Let*

$$(A, \varphi) = \ast_{\iota \in I} (A_\iota, \varphi_\iota)$$

*be the reduced free product  $C^*$ -algebra, where each  $A_\iota$  is a unital  $C^*$ -algebra,  $\varphi_\iota$  is a faithful state on  $A_\iota$ , the index set  $I$  is infinite, and infinitely many  $A_\iota$  contain a unitary in the kernel of  $\varphi_\iota$ .*

*If  $\varphi$  is a trace (which is the case if all  $\varphi_\iota$  are traces), then*

- (i) whenever  $p, q \in A \otimes M_n(\mathbb{C})$  are projections such that  $(\varphi \otimes \text{Tr}_n)(p) < (\varphi \otimes \text{Tr}_n)(q)$ , it follows that  $p \lesssim q$ ;
- (ii) the positive cone and the scale of  $K_0(A)$  are given by

$$\begin{aligned} K_0(A)^+ &= \{0\} \cup \{x \in K_0(D) \mid 0 < K_0(\varphi)(x)\}, \\ \Sigma(A) &= \{0, 1\} \cup \{x \in K_0(D) \mid 0 < K_0(\varphi)(x) < 1\}, \end{aligned}$$

*and, as a consequence,  $(K_0(A), K_0(A)^+)$  is weakly unperforated;*

- (iii)  $\text{RR}(A) = 0$  if and only if  $K_0(\varphi)(K_0(A))$  is dense in  $\mathbb{R}$ .

*If  $\varphi$  is not a trace (i.e., if at least one  $\varphi_\iota$  is not a trace), then  $A$  is simple and purely infinite.*

*Proof:* We consider, for every finite subset  $F \subseteq I$ , the  $C^*$ -subalgebra,  $\mathfrak{A}_F$ , of  $A$  generated by  $\bigcup_{\iota \in F} \pi_\iota(A_\iota)$ , and we let  $\mathfrak{A} = \bigcup_{F \ll I} \mathfrak{A}_F$ , where the union is over all finite subsets of

I. Note that  $\mathfrak{A}$  is a dense, unital \*–subalgebra of  $A$  that is closed under the continuous functional calculus.

Suppose that  $\varphi$  is a trace, let  $n \in \mathbb{N}$  and let  $p, q \in A \otimes M_n(\mathbb{C})$  be projections with  $(\varphi \otimes \text{Tr}_n)(p) < (\varphi \otimes \text{Tr}_n)(q)$ . Using the density of  $\mathfrak{A}$  in  $A$  and continuous functional calculus, we find a finite subset  $F$  of  $I$  and projections  $\tilde{p}, \tilde{q} \in \mathfrak{A}_F \otimes M_n(\mathbb{C})$  such that  $\|\tilde{p} - p\| < 1$  and  $\|\tilde{q} - q\| < 1$ . This implies  $\tilde{p} \sim p$  and  $\tilde{q} \sim q$ . There are  $n^2$  distinct elements  $\iota(1), \iota(2), \dots, \iota(n^2) \in I \setminus F$  with unitaries  $u_k \in A_{\iota(k)}$  such that  $\varphi_{\iota(k)}(u_k) = 0$ . Let  $B$  be the  $C^*$ –algebra generated by  $\{u_1, u_2, \dots, u_{n^2}\}$ . Note that  $B$  and  $\mathfrak{A}_F$  are free. Then as in the proof of Proposition 3.3 of [12], from the unitaries  $u_1, u_2, \dots, u_{n^2}$  we can construct a Haar unitary,  $v \in B \otimes M_n(\mathbb{C})$  such that  $\{v\}$  and  $\mathfrak{A}_F \otimes M_n(\mathbb{C})$  are \*–free (with respect to the tracial state  $\varphi \otimes (\frac{1}{n} \text{Tr}_n)$ ). Now  $\tilde{q} \sim v^* \tilde{q} v$  and the pair  $\tilde{p}$  and  $v^* \tilde{q} v$  is free; moreover,  $(\varphi \otimes \text{Tr}_n)(v^* \tilde{q} v) = (\varphi \otimes \text{Tr}_n)(\tilde{q})$ . So by Proposition 1.1 of [12],  $v^* \tilde{q} v$  is equivalent to a subprojection,  $r$ , of  $\tilde{p}$ ; hence  $q \lesssim p$ . We have thus proved (i).

The inclusions  $\subseteq$  in (ii) are easy consequences of the fact that  $\varphi$  is faithful. Assume  $x \in K_0(A)$  and that  $K_0(\varphi)(x) > 0$ . Since  $A$  is unital, there are  $n \in \mathbb{N}$  and projections  $p, q \in A \otimes M_n(\mathbb{C})$  such that  $x = [p]_0 - [q]_0$ . Now,

$$(\varphi \otimes \text{Tr}_n)(p) - (\varphi \otimes \text{Tr}_n)(q) = K_0(\varphi)(x) > 0.$$

Hence, by (i),  $q$  is equivalent to a subprojection  $\tilde{q}$  of  $p$ . Thus  $x = [p - \tilde{q}]_0 \in K_0(A)^+$ .

Assume next that  $x \in K_0(A)$  and that  $0 < K_0(\varphi)(x) < 1$ . Then, by the argument above,  $x = [p]_0$  for some projection  $p \in A \otimes M_n(\mathbb{C})$ . Let  $1_A$  denote the unit of  $A$ , and let  $e \in A \otimes M_n(\mathbb{C})$  be the diagonal projection whose upper left corner is  $1_A$  and with all other entries equal to 0. Then  $(\varphi \otimes \text{Tr}_n)(p) = K_0(\varphi)(x) < 1 = (\varphi \otimes \text{Tr}_n)(e)$ . By (i), this implies that  $p$  is equivalent to a subprojection  $\tilde{p}$  of  $e$ . Hence  $x = [\tilde{p}]_0$ , and it is easily seen that  $[\tilde{p}]_0 \in \Sigma(A)$ .

Finally, to see that  $(K_0(A), K_0(A)^+)$  is weakly unperforated, assume that  $x \in K_0(A)$  and that  $nx > 0$  for some  $n \in \mathbb{N}$ . Then  $K_0(\varphi)(x) = \frac{1}{n} K_0(\varphi)(nx) > 0$ . Hence  $x > 0$ . We have thus shown (ii).

Let

$$\Pi = \bigcup_{F \ll I} \text{Proj}(\mathfrak{A}_F).$$

For the set  $\mathcal{Q}$  used in Theorem 1.5, we take the singleton  $\{\varphi\}$ . We now show that, regardless of whether  $\varphi$  is a trace or not, conditions  $(\alpha)$  and  $(\beta)$  of Theorem 1.5 hold for every  $p \in \Pi$  and every positive element,  $a \in \mathfrak{A}$ . Given a positive element  $a \in \mathfrak{A}$  and given  $p \in \Pi$ , there

is a finite subset  $F$  of  $I$  such that  $a, p \in \mathfrak{A}_F$ . Let  $u \in A_\iota$ , for some  $\iota \in I \setminus F$ , be a unitary such that  $\varphi_\iota(u) = 0$ . Then  $\{a, p\}$  and  $\{u\}$  are  $*$ -free with respect to  $\varphi$ . Now it follows that  $u^*pu$  is a projection with  $\varphi(u^*pu) = \varphi(p)$ , and that  $u^*pu$  and  $a$  are free. Hence by Lemma 5.3 of [12] it follows that  $a \lesssim u^*pu$  if  $D_\varphi(a) < \varphi(p)$  and  $u^*pu \lesssim a$  if  $\varphi(p) < D_\varphi(a)$ . But  $u^*pu \sim p$ , so  $(\alpha)$  and  $(\beta)$  hold.

Suppose now that  $\varphi$  is a trace and that the image of  $K_0(\varphi)$  is dense in  $\mathbb{R}$ , and let us show that  $\text{RR}(A) = 0$ . We will show that  $\{\varphi(p) \mid p \in \Pi\}$  is dense in  $[0, 1]$ , which will imply that condition  $(\gamma)$  of Theorem 1.5 holds. Since the image of  $K_0(\varphi)$  is dense in  $\mathbb{R}$ , the intersection of this image with  $[0, 1]$  is dense in  $[0, 1]$ . By (ii), it follows that  $\{\varphi(p) \mid p \in \text{Proj}(A)\}$  is dense in  $[0, 1]$ . Since  $\mathfrak{A}$  is dense in  $A$ , and using continuous functional calculus, we find for every  $p \in \text{Proj}(A)$ , a projection,  $\tilde{p} \in \mathfrak{A}$  such that  $\varphi(\tilde{p}) = \varphi(p)$ . But  $\tilde{p} \in \Pi$ . We have shown that condition  $(\gamma)$  of Theorem 1.5 holds, and we have already shown that conditions  $(\alpha)$  and  $(\beta)$  hold. Now using the fact that  $\text{sr}(A) = 1$ , we get from Theorem 1.5(i) that  $\text{RR}(A) = 0$ . This implies one direction of (iii), but the other direction follows from more general results. Indeed, the image of  $K_0(\varphi)$  will be dense in  $\mathbb{R}$  if  $A$  contains at least one projection and if  $A$  has no minimal projections. Both of these conditions hold if  $\text{RR}(A) = 0$ , and if  $A$  is simple and infinite dimensional, as in our case.

Now suppose that  $\varphi$  is not a trace, and let us show that  $A$  is purely infinite and simple. Let  $F$  be a finite subset of  $I$  such that for at least three distinct  $\iota \in F$  there is a unitary  $u \in A_\iota$  satisfying  $\varphi_\iota(u) = 0$ , and such that for some  $\iota \in F$ ,  $\varphi_\iota$  is not a trace. Then by Theorem 4 of [12], the unit is a properly infinite projection in  $\mathfrak{A}_F$ . Let  $\Pi' \subseteq \Pi$  be the set of all full, properly infinite projections in  $\mathfrak{A}$ . We have already shown that conditions  $(\alpha)$  and  $(\beta)$  are satisfied for every  $a \in \mathfrak{A}$  and every  $p \in \Pi'$ . Since 1 is a properly infinite projection in some  $\mathfrak{A}_F$ , using Lemma 2.2 below we get that  $\{\varphi(p) \mid p \in \Pi'\}$  is dense in  $[0, 1]$ , so condition  $(\gamma)$  is satisfied. Tautologically, each  $p \in \Pi'$  is infinite and full. Hence by Theorem 1.5(ii),  $A$  is purely infinite and simple.  $\square$

**Lemma 2.2** *Let  $A$  be a unital  $C^*$ -algebra in which 1 is properly infinite and let  $\varphi$  be a state on  $A$ . Then for every  $t \in \mathbb{R}$ ,  $0 < t \leq 1$ , there is a projection  $p \in A$  such that  $p \sim 1$  and  $\varphi(p) = t$ .*

*Proof:* Using that 1 is properly infinite, we find isometries,  $v_1, v_2, \dots$  in  $A$  whose range projections are mutually orthogonal. These generate a unital  $C^*$ -subalgebra of  $A$  isomorphic to the Cuntz algebra  $\mathcal{O}_\infty$ . By Cuntz's paper [7], it follows that if  $p, q \in \text{Proj}(\mathcal{O}_\infty) \setminus \{0, 1\}$  and if  $[p]_0 = [q]_0$  in  $K_0(\mathcal{O}_\infty)$  then  $p$  is homotopic to  $q$ . (Indeed, it follows that  $p \sim q$  and  $1 - p \sim 1 - q$ , hence  $p$  is unitarily similar to  $q$ . But the unitary group of  $\mathcal{O}_\infty$  is connected.)

Now let  $\varepsilon > 0$ . For some  $n$  we must have  $\varphi(v_n v_n^*) < \varepsilon$ ; let  $p = v_n v_n^*$ . Then  $q \stackrel{\text{def}}{=} 1 - v_n(1-p)v_n^*$  is a projection in  $\mathcal{O}_\infty$  with  $[q]_0 = [1]_0$ , and  $\varphi(q) > 1 - \varepsilon$ . Thus there is a continuous path  $r_t$  in  $\text{Proj}(\mathcal{O}_\infty)$  such that  $r_0 = p$  and  $r_1 = q$ . We have that  $r_t \sim 1$  for all  $t$  and  $\{\varphi(r_t) \mid t \in [0, 1]\} \supseteq (\varepsilon, 1 - \varepsilon)$ .  $\square$

Let us now state a straightforward application of Theorem 2.1 to reduced group C\*-algebras. For a group,  $G$ , taken with the discrete topology, the reduced group C\*-algebra of  $G$ , denoted  $C_{\text{red}}^*(G)$ , is the C\*-algebra generated by the left regular representation of  $G$ . The canonical tracial state,  $\tau_G$ , is the vector state for the characteristic function of the identity element of  $G$ . The following corollary was cited in [11], where also a partial converse was included.

**Corollary 2.3** *Let  $I$  be an infinite set and let*

$$G = *_\iota G_\iota$$

*be the free product of nontrivial groups,  $G_\iota$ . Suppose that  $G$  has finite subgroups of arbitrarily large order. Then*

$$\text{RR}(C_{\text{red}}^*(G)) = 0.$$

*Proof:* We have

$$(C_{\text{red}}^*(G), \tau_G) \cong *_\iota (C_{\text{red}}^*(G_\iota), \tau_{G_\iota}).$$

If  $x$  is a nontrivial element of  $G_\iota$  then the left translation operator,  $\lambda_x \in C_{\text{red}}^*(G_\iota)$  is a unitary and  $\tau_{G_\iota}(\lambda_x) = 0$ . In order to apply Theorem 2.1, it is thus sufficient to show that  $C_{\text{red}}^*(G)$  contains projections whose traces (under  $\tau_G$ ) are arbitrarily small and positive. But this is clear, since for a finite group  $H$ ,  $C_{\text{red}}^*(H)$  contains projections of trace  $1/|H|$ .  $\square$

It follows easily from the Kurosh Subgroup Theorem for free products of groups, (see page 178 of [17]), that  $G$  has finite subgroups of arbitrarily high order only if for every positive integer  $n$  there is  $\iota \in I$  such that  $G_\iota$  has a finite subgroup of order greater than  $n$ .

**Example 2.4** *If*

$$G = \bigast_{n=2}^{\infty} (\mathbb{Z}/n\mathbb{Z}),$$

*then  $C_{\text{red}}^*(G)$  has real rank zero. Moreover, this C\*-algebra is simple, has unique tracial state, has stable rank one and is not approximately divisible.*

*Proof:* It has real rank zero by the above corollary. It is simple and has unique tracial state by Avitzour [1]. It has stable rank one by [10]. That it is not approximately divisible follows from the argument in Example 4.8 of [2], because  $C_{\text{red}}^*(G)$  is weakly dense in the group von Neumann algebra  $L(G)$ , which does not have central sequences.  $\square$

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