

## Report problems<sup>1</sup>

**Problem 1.** Due: Tuesday, May 1, 2018, in Science Building 1, Room 105.

Let  $X$  be the prime spectrum of a ring and let  $x \in X$ .

- (i) Show that the closure  $\{x\}^-$  of the one-point subset  $\{x\} \subset X$  is an irreducible closed subset in the sense that it cannot be written as the union of two proper closed subsets.
- (ii) Show that  $x$  is a generic point of  $\{x\}^-$  in the sense that the only closed subset of  $\{x\}^-$  which contains  $x$  is the whole set.
- (iii) Show that every irreducible closed subset of  $X$  is of the form  $\{x\}^-$  and that  $x$  is its unique generic point. Conclude that the assignment  $x \mapsto \{x\}^-$  defines a one-to-one correspondence between the points of  $X$  and the irreducible closed subsets of  $X$ .

**Problem 2.** Due: Tuesday, May 8, 2018, in Science Building 1, Room 105.

Let  $\mathbf{C}$  be a category. We consider diagrams  $\mathcal{X}: I \rightarrow \mathbf{C}$  indexed by various index categories  $I$  and the limits of such diagrams, if they exist. If  $I$  is discrete in the sense that every morphism in  $I$  is an identity morphism, then a diagram  $\mathcal{X}: I \rightarrow \mathbf{C}$  determines and is determined by the family  $(\mathcal{X}(i))_{i \in \text{ob}(I)}$  of objects in  $\mathbf{C}$ . In this situation, a limit of the diagram  $\mathcal{X}: I \rightarrow \mathbf{C}$  is said to be a *product* of the family  $(\mathcal{X}(i))_{i \in \text{ob}(I)}$  and is denoted by

$$\prod_{i \in \text{ob}(I)} \mathcal{X}(i).$$

If  $I$  is the category with two objects 0 and 1 and with two parallel morphisms  $f, g: 0 \rightarrow 1$  (in addition to the identity morphisms of 0 and 1), then a diagram  $\mathcal{X}: I \rightarrow \mathbf{C}$  determines and is determined by the two parallel morphisms

$$\mathcal{X}(0) \begin{array}{c} \xrightarrow{\mathcal{X}(f)} \\ \xrightarrow{\mathcal{X}(g)} \end{array} \mathcal{X}(1).$$

In this situation, a limit of the diagram  $\mathcal{X}: I \rightarrow \mathbf{C}$  is said to be an *equalizer* of the parallel morphisms  $\mathcal{X}(f)$  and  $\mathcal{X}(g)$ .

Now, we let  $\mathcal{X}: I \rightarrow \mathbf{C}$  be any diagram in  $\mathbf{C}$  and assume that the products

$$\prod_{i \in \text{ob}(I)} \mathcal{X}(i) \quad \text{and} \quad \prod_{f: i \rightarrow j \in \text{mor}(I)} \mathcal{X}(j)$$

indexed by the set  $\text{ob}(I)$  of objects in  $I$  and the set  $\text{mor}(I)$  of morphisms in  $I$ , respectively, both exist. We consider the unique morphisms

$$\prod_{i \in \text{ob}(I)} \mathcal{X}(i) \begin{array}{c} \xrightarrow{a} \\ \xrightarrow{b} \end{array} \prod_{f: i \rightarrow j \in \text{mor}(I)} \mathcal{X}(j)$$

such that for every morphism  $f: i \rightarrow j$  in  $\text{mor}(I)$ ,

$$p_{f: i \rightarrow j} \circ a = \mathcal{X}(f) \circ p_i \quad \text{and} \quad p_{f: i \rightarrow j} \circ b = p_j.$$

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<sup>1</sup> Course homepage: [www.math.nagoya-u.ac.jp/~larsh/teaching/S2018\\_A](http://www.math.nagoya-u.ac.jp/~larsh/teaching/S2018_A)

Prove the following statements:

- (i) If  $(p_i: X \rightarrow \mathcal{X}(i))_{i \in \text{ob}(I)}$  is a limit of  $\mathcal{X}: I \rightarrow \mathbf{C}$ , then

$$X \xrightarrow{(p_i)} \prod_{i \in \text{ob}(I)} \mathcal{X}(i)$$

is an equalizer of  $a$  and  $b$ .

- (ii) Conversely, if  $(p_i: X \rightarrow \prod_{i \in \text{ob}(I)} \mathcal{X}(i))$  is an equalizer of  $a$  and  $b$ , then

$$(p_i: X \rightarrow \mathcal{X}(i))_{i \in \text{ob}(I)}$$

is a limit of  $\mathcal{X}: I \rightarrow \mathbf{C}$ .

**Problem 3.** Due: Tuesday, May 22, 2018, in Science Building 1, Room 105.

We have defined an *adjunction* from a category  $\mathbf{C}$  to a category  $\mathbf{D}$  to be a triple of a functor  $F: \mathbf{C} \rightarrow \mathbf{D}$ , a functor  $G: \mathbf{D} \rightarrow \mathbf{C}$ , and for every pair  $(c, d)$  of an object in  $\mathbf{C}$  and an object in  $\mathbf{D}$ , a bijection

$$\text{Hom}_{\mathbf{D}}(F(c), d) \xrightarrow{\alpha_{(c, d)}} \text{Hom}_{\mathbf{C}}(c, G(d)).$$

which is natural in  $c$  and  $d$ . That the bijection be natural means that if  $f: c_0 \rightarrow c_1$  is a morphism in  $\mathbf{C}$  and  $d$  is an object in  $\mathbf{D}$ , then the diagram

$$\begin{array}{ccc} \text{Hom}_{\mathbf{D}}(F(c_1), d) & \xrightarrow{\alpha_{(c_1, d)}} & \text{Hom}_{\mathbf{C}}(c, G(d)) \\ \downarrow \text{Hom}_{\mathbf{D}}(F(f), d) & & \downarrow \text{Hom}_{\mathbf{C}}(f, G(d)) \\ \text{Hom}_{\mathbf{D}}(F(c_0), d) & \xrightarrow{\alpha_{(c_0, d)}} & \text{Hom}_{\mathbf{C}}(c, G(d)) \end{array}$$

commutes, and, similarly, if  $c$  is an object in  $\mathbf{C}$  and  $g: d_0 \rightarrow d_1$  is a morphism in  $\mathbf{D}$ , then the diagram

$$\begin{array}{ccc} \text{Hom}_{\mathbf{D}}(F(c), d_0) & \xrightarrow{\alpha_{(c, d_0)}} & \text{Hom}_{\mathbf{C}}(c, G(d_0)) \\ \downarrow \text{Hom}_{\mathbf{D}}(c, g) & & \downarrow \text{Hom}_{\mathbf{C}}(c, G(g)) \\ \text{Hom}_{\mathbf{D}}(F(c), d_1) & \xrightarrow{\alpha_{(c, d_1)}} & \text{Hom}_{\mathbf{C}}(c, G(d_1)) \end{array}$$

commutes. In particular, for every object  $c$  in  $\mathbf{C}$ , we have the morphism

$$\eta_c = a_{(c, F(c))}(\text{id}_{F(c)}): c \rightarrow G(F(c)),$$

and the family of morphisms  $(\eta_c)_{c \in \text{ob}(\mathbf{C})}$  constitute a natural transformation

$$\text{id}_{\mathbf{C}} \xRightarrow{\eta} G \circ F$$

of functors from  $\mathbf{C}$  to  $\mathbf{C}$ . Similarly, for every object  $d$  of  $\mathbf{D}$ , we have the morphism

$$\epsilon_d = a_{(G(d), d)}^{-1}(\text{id}_{G(d)}): F(G(d)) \rightarrow d,$$

and the family of morphisms  $(\eta_d)_{d \in \text{ob}(\mathbf{D})}$  constitute a natural transformation

$$F \circ G \xRightarrow{\epsilon} \text{id}_{\mathbf{D}}$$

of functors from  $\mathbf{D}$  to  $\mathbf{D}$ . Prove the following statements:

- (i) The diagrams of natural transformations

$$\begin{array}{ccc}
 F & \xrightarrow{F \circ \eta} & F \circ G \circ F \\
 & \searrow & \swarrow \epsilon \circ F \\
 & F &
 \end{array}
 \qquad
 \begin{array}{ccc}
 G & \xrightarrow{\eta \circ G} & G \circ F \circ G \\
 & \searrow & \swarrow G \circ \epsilon \\
 & G &
 \end{array}$$

commute. That the left-hand diagram commutes means that for every object  $c$  in  $\mathbf{C}$ , the following diagram of morphisms in  $\mathbf{C}$  commutes.

$$\begin{array}{ccc}
 F(c) & \xrightarrow{F(\eta_c)} & F(G(F(c))) \\
 & \searrow & \swarrow \epsilon_{F(c)} \\
 & F(c) &
 \end{array}$$

The natural transformations  $\epsilon: F \circ G \Rightarrow \text{id}_{\mathbf{D}}$  and  $\eta: \text{id}_{\mathbf{C}} \Rightarrow G \circ F$  are called the *counit* and the *unit* of the adjunction, respectively.

- (ii) Let  $\epsilon: F \circ G \Rightarrow \text{id}_{\mathbf{D}}$  and  $\eta: \text{id}_{\mathbf{C}} \Rightarrow G \circ F$  be natural transformations such that the triangular diagrams in (i) commute. Given objects  $c$  in  $\mathbf{C}$  and  $d$  in  $\mathbf{D}$ , we define

$$\text{Hom}_{\mathbf{D}}(F(c), d) \xrightarrow{\alpha_{(c,d)}} \text{Hom}_{\mathbf{C}}(c, G(d))$$

to be the composite map

$$\text{Hom}_{\mathbf{D}}(F(c), d) \xrightarrow{G} \text{Hom}_{\mathbf{C}}(G(F(c)), d) \xrightarrow{\text{Hom}_{\mathbf{C}}(\eta_c, d)} \text{Hom}_{\mathbf{C}}(c, G(d)).$$

Show that  $\alpha_{(c,d)}$  is a bijection (what is the inverse?) and that it is natural in  $c$  and  $d$ . Conclude that  $(F, G, \alpha)$  is an adjunction.

The problem shows that we may define an adjunction from  $\mathbf{C}$  to  $\mathbf{D}$ , equivalently, to be a quadruple  $(F, G, \epsilon, \eta)$  of functors  $F: \mathbf{C} \rightarrow \mathbf{D}$  and  $G: \mathbf{D} \rightarrow \mathbf{C}$  and natural transformations  $\epsilon: F \circ G \Rightarrow \text{id}_{\mathbf{D}}$  and  $\eta: \text{id}_{\mathbf{C}} \Rightarrow G \circ F$  that make the triangular diagrams in (i) commute.

**Problem 4.** Due: Tuesday, June 12, 2018, in Science Building 1, Room 105.

The purpose this problem is to prove (v) below. This result is known by the French term *recollement*, which means something like reattachment. We let  $X$  be a space, let  $U \subset X$  be an open subset, and let  $Y \subset X$  be the closed complement. We write  $i: Y \rightarrow X$  and  $j: U \rightarrow X$  for the canonical inclusions.

- (i) Let  $j^{-1}: O(X) \rightarrow O(U)$  be the inverse image functor and recall the adjoint pair of functors  $(j^p, j_p)$  with  $j_p = (j^{-1})^*: U^\wedge \rightarrow X^\wedge$  and with  $j^p = (j^{-1})_!: X^\wedge \rightarrow U^\wedge$  the left Kan extension. Show that the functors  $j^p$  and  $j_p$  both preserve sheaves.
- (ii) We consider the functor  $u: O(U) \rightarrow O(X)$  that to  $V \subset U$  assigns  $V \subset X$ , let  $u^*: X^\wedge \rightarrow U^\wedge$  be the induced functor, and let  $u_!, u_*: U^\wedge \rightarrow X^\wedge$  be the left and right Kan extensions, respectively. Show that  $u^*$  and  $j^p$  (resp.  $u_*$  and  $j_p$ ) are canonically naturally isomorphic, and conclude that the functor

$$j_! = a_X u_! i_U: U^\sim \rightarrow X^\sim$$

is left adjoint to  $j^*$ .

- (iii) Prove that the functor  $u_! : U^\wedge \rightarrow X^\wedge$  from (2) is given by

$$(u_! F)(V) = \begin{cases} F(V) & \text{if } V \subset U \\ \emptyset & \text{if } V \not\subset U. \end{cases}$$

(Here  $\emptyset$  is the initial object in the category of sets. If we were considering presheaves in some other category, we would get an initial object in that category instead.)

- (iv) We let  $F$  be a sheaf on  $X$  and consider the following diagram of sheaves on  $X$  in which the horizontal maps (resp. the vertical maps) are induced from the unit map of the adjoint pair  $(j^*, j_*)$  (resp. of the adjoint pair  $(i^*, i_*)$ ).

$$\begin{array}{ccc} F & \longrightarrow & j_* j^* F \\ \downarrow & & \downarrow \\ i_* i^* F & \longrightarrow & i_* i^* j_* j^* F \end{array}$$

Show that the diagram is a pullback.<sup>2</sup> [Hint: Calculate the induced diagrams of stalks at  $x \in X$ , considering the cases  $x \in U$  and  $x \in Y$  separately.]

- (v) Define  $(Y^\sim, U^\sim, i^* j_*)$  to be the category, where the objects are triples  $(F_1, F_2, f)$  with  $F_1$  and  $F_2$  sheaves on  $Y$  and  $U$ , respectively, and with  $f : F_1 \rightarrow i^* j_* F_2$  a map of sheaves on  $Y$ , and where a morphism from  $(F_1, F_2, f)$  to  $(F'_1, F'_2, f')$  is a pair  $(g_1, g_2)$  of a morphisms  $g_1 : F_1 \rightarrow F'_1$  and  $g_2 : F_2 \rightarrow F'_2$  such that the diagram

$$\begin{array}{ccc} F_1 & \xrightarrow{f} & i^* j_* F_2 \\ \downarrow g_1 & & \downarrow i^* j_* g_2 \\ F'_1 & \xrightarrow{f'} & i^* j_* F'_2 \end{array}$$

commutes. Conclude from (iv) that the functor

$$X^\sim \rightarrow (Y^\sim, U^\sim, i^* j_*)$$

that takes  $F$  to  $(i^* F, j^* F, i^* \eta : i^* F \rightarrow i^* j_* j^* F)$  is an equivalence of categories.

- (vi) Show that, under the equivalence in (v), the functors  $i^*$ ,  $i_*$ ,  $j_!$ ,  $j^*$ , and  $j_*$  are given by the following formulas.

$$\begin{aligned} i^*(F_1, F_2, f) &= F_1 \\ i_*(F_1) &= (F_1, *, F_1 \rightarrow *) \\ j_!(F_2) &= (\emptyset, F_2, \emptyset \rightarrow F_2) \\ j^*(F_1, F_2, f) &= F_2 \\ j_*(F_2) &= (i^* j_* F_2, F_2, \text{id}) \end{aligned}$$

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<sup>2</sup> You may use the following theorem without proof: A morphism  $f : F \rightarrow G$  in  $X^\sim$  is an isomorphism if and only if for every  $x \in X$ , the induced map of stalks  $f_x : F_x \rightarrow G_x$  is a bijection.

**Problem 5.** Due: Tuesday, July 3, 2018, in Science Building 1, Room 105.

A morphism of schemes  $f: X \rightarrow Y$  is *quasi-compact* if for every affine open subset  $V \subset Y$ , the inverse image  $f^{-1}(V) \subset X$  is quasi-compact. If  $X$  is a topological space, if  $x \in X$ , and if  $x'$  is an element of the closure of  $\{x\} \subset X$ , then we say that  $x' \in X$  is a *specialization* of  $x$ .

- (i) Show that if  $f: X \rightarrow Y$  is a quasi-compact morphism of schemes, then the following are equivalent.
  - (a) The morphism  $f$  is closed. (This means that the underlying map of topological spaces is a closed map.)
  - (b) For every  $x \in X$  and for every specialization  $y'$  of  $y = f(x) \in Y$ , there exists a specialization  $x'$  of  $x$  such that  $f(x') = y'$ .

Let  $K$  be a field. A *valuation ring* in  $K$  is a subring  $V \subset K$  that is not a field and such that for all  $a \in K^*$ , either  $a \in V$  or  $a^{-1} \in V$  or both. The valuation rings in  $K$  are maximal among the local rings  $A \subset K$  that are not fields with respect to domination. (If  $A, B \subset K$  are two local rings, then  $B$  dominates  $A$  if  $A \subset B$  and  $\mathfrak{m}_A = A \cap \mathfrak{m}_B$ .) The prime spectrum  $\text{Spec}(V)$  of a valuation ring  $V$  has a unique closed point  $s$  and a unique generic point  $\eta$  and  $s$  is a specialization of  $\eta$ . (The elements of  $\text{Spec}(V)$  are in one-to-one correspondence with the convex subgroups of the value group  $K^*/V^*$ , which is totally ordered under the inclusion relation  $aV^* \subset bV^*$ .) We write  $j: \text{Spec}(K) \rightarrow \text{Spec}(V)$  for the morphism of schemes induced by the inclusion of  $V$  in  $K$ .

- (ii) Let  $f: X \rightarrow S$  be a morphism of schemes, let  $x \in X$ , let  $y = f(x) \in Y$ , and let  $y' \neq y$  be a specialization of  $y$ . Show that there exists a commutative diagram

$$\begin{array}{ccc} \text{Spec}(K) & \xrightarrow{g'} & X \\ \downarrow j & & \downarrow f \\ \text{Spec}(V) & \xrightarrow{g} & S \end{array}$$

with  $K$  a field and  $V$  a valuation ring in  $K$  such that  $g(s) = y'$  and  $g'(\eta) = x$ .