

# On the classification of non-simple real rank zero graph $C^*$ -algebras

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Classification of amenable  $C^*$ -algebras

BIRS

23 September 2010

A **(directed) graph**  $E = (E^0, E^1, r, s)$  consists of a countable set  $E^0$  of vertices, a countable set  $E^1$  of edges, and maps  $r, s : E^1 \rightarrow E^0$  which is called the **range** and **source** maps.

A **Cuntz-Krieger  $E$ -family** is a set of mutually orthogonal projections  $\{p_v : v \in E^0\}$  and a set of partial isometries  $\{s_e : e \in E^1\}$  with orthogonal ranges satisfying the **Cuntz-Krieger relations**:

(1)  $s_e^* s_e = p_{r(e)}$  for all  $e \in E^1$ ;

(2)  $s_e s_e^* \leq p_{s(e)}$  for every  $e \in E^1$ ; and

(3) for every  $v \in E^0$  with  $0 < |s^{-1}(\{v\})| < \infty$

$$p_v = \sum_{\{e \in E^1 : s(e)=v\}} s_e s_e^*$$

The **graph  $C^*$ -algebra**  $C^*(E)$  is defined to be the universal  $C^*$ -algebra generated by a Cuntz-Krieger  $E$ -family.

### Theorem (Raeburn et al)

*Let  $E$  be a graph satisfying Condition (K), i.e., no vertex of  $E$  is the base point of exactly one simple cycle.*

- (1) If  $\mathfrak{I}$  is an ideal of  $C^*(E)$ , then there exists a graph  $E_1$  such that  $C^*(E_1) \otimes \mathbb{K} \cong \mathfrak{I} \otimes \mathbb{K}$*
- (2) If  $\mathfrak{I}$  is an ideal of  $C^*(E)$ , then there exists a graph  $E_2$  such that  $C^*(E_2) \otimes \mathbb{K} \cong C^*(E)/\mathfrak{I} \otimes \mathbb{K}$ .*
- (3) If  $C^*(E)$  is simple, then  $C^*(E)$  either an AF algebra or a purely infinite, nuclear, simple  $C^*$ -algebra satisfying the UCT.*
- (4)  $C^*(E)$  has real rank zero.*

**Goal.** Find an algebraic invariant  $F$  such that

$$C^*(E_1) \otimes \mathbb{K} \cong C^*(E_2) \otimes \mathbb{K} \iff F(C^*(E_1)) \cong F(C^*(E_2))$$

where  $E_1$  and  $E_2$  are graphs satisfying Condition (K).

### Conjecture

*If  $C^*(E_1)$  and  $C^*(E_2)$  are graph  $C^*$ -algebras satisfying Condition (K).  
Then*

$$C^*(E_1) \otimes \mathbb{K} \cong C^*(E_2) \otimes \mathbb{K}$$

*if and only if*

$$FK_X^+(\mathfrak{A}) \cong FK_Y^+(\mathfrak{B})$$

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where  $C^*(E_i)$  has finitely many ideals.

### Conjecture

*If  $C^*(E_1)$  and  $C^*(E_2)$  are graph  $C^*$ -algebras with finitely many ideals. Then*

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## Filtrated, ordered $K$ -theory

Let  $\mathfrak{A}$  be a  $C^*$ -algebra with finitely many ideals. Let  $X = \text{Prim}(\mathfrak{A})$ . Suppose we have ideals  $\mathfrak{I} \trianglelefteq \mathfrak{D} \trianglelefteq \mathfrak{B}$  of  $\mathfrak{A}$ . Then

$$0 \rightarrow \mathfrak{D}/\mathfrak{I} \rightarrow \mathfrak{B}/\mathfrak{I} \rightarrow \mathfrak{B}/\mathfrak{D} \rightarrow 0$$

is a short exact sequence of  $C^*$ -algebras. Hence, we get

$$\begin{array}{ccccc} K_0(\mathfrak{D}/\mathfrak{I}) & \xrightarrow{\iota_*} & K_0(\mathfrak{B}/\mathfrak{I}) & \xrightarrow{\pi_*} & K_0(\mathfrak{B}/\mathfrak{D}) \\ \partial \uparrow & & & & \downarrow \partial \\ K_1(\mathfrak{B}/\mathfrak{D}) & \xleftarrow{\pi_*} & K_1(\mathfrak{B}/\mathfrak{I}) & \xleftarrow{\iota_*} & K_1(\mathfrak{D}/\mathfrak{I}) \end{array}$$

$\text{FK}_X^+(\mathfrak{A})$  of  $\mathfrak{A}$  is the collection of all  $K$ -groups, equipped with order on  $K_0$  and the natural transformation  $\{\iota_*, \pi_*, \partial\}$ .

Simple :  $\text{FK}_X^+(\mathfrak{A}) = (K_0(\mathfrak{A}), K_0(\mathfrak{A})_+, K_1(\mathfrak{A}))$

One-ideal:  $\text{FK}_X^+(\mathfrak{A})$  is the six-term exact sequence in  $K$ -theory

$$\begin{array}{ccccc} K_0(\mathfrak{J}) & \xrightarrow{\iota_*} & K_0(\mathfrak{A}) & \xrightarrow{\pi_*} & K_0(\mathfrak{A}/\mathfrak{J}) \\ \uparrow \partial & & & & \downarrow \partial \\ K_1(\mathfrak{A}/\mathfrak{J}) & \xleftarrow{\pi_*} & K_1(\mathfrak{A}) & \xleftarrow{\iota_*} & K_1(\mathfrak{J}) \end{array}$$

induced by

$$0 \rightarrow \mathfrak{J} \rightarrow \mathfrak{A} \rightarrow \mathfrak{A}/\mathfrak{J} \rightarrow 0$$

If  $\mathfrak{B}$  is a  $C^*$ -algebra with  $Y = \text{Prim}(\mathfrak{B})$ ,

$$\text{FK}_X^+(\mathfrak{A}) \cong \text{FK}_Y^+(\mathfrak{B})$$

if there exists a lattice isomorphism  $\beta : \text{Lat}(\mathfrak{A}) \rightarrow \text{Lat}(\mathfrak{B})$  and for all  $\mathfrak{I} \trianglelefteq \mathfrak{D}$  ideals of  $\mathfrak{A}$ , there exists a group isomorphism

$$\alpha_*^{\mathfrak{D}, \mathfrak{I}} : K_*(\mathfrak{D}/\mathfrak{I}) \rightarrow K_*(\beta(\mathfrak{D})/\beta(\mathfrak{I}))$$

preserving all natural transformations and order.

## Theorem (Restorff)

Let  $\mathfrak{A}$  and  $\mathfrak{B}$  be Cuntz-Krieger algebras satisfying Condition (II). Then

$$\mathfrak{A} \otimes \mathbb{K} \cong \mathfrak{B} \otimes \mathbb{K}$$

if and only if

$$\mathrm{FK}_X^+(\mathfrak{A}) \cong \mathrm{FK}_Y^+(\mathfrak{B})$$

## Theorem (Eilers-Sørensen)

Let  $E_1$  and  $E_2$  be finite graphs and let  $\bar{E}_i$  be the amplification of  $E_i$ . Then

$$C^*(\bar{E}_1) \otimes \mathbb{K} \cong C^*(\bar{E}_2) \otimes \mathbb{K}$$

if and only if

$$\mathrm{FK}_X^+(C^*(\bar{E}_1)) \cong \mathrm{FK}_Y^+(C^*(\bar{E}_2))$$

$$E_1 : \begin{bmatrix} 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

$$E_2 : \begin{bmatrix} 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\bar{E}_1 : \begin{bmatrix} 0 & \infty & 0 & 0 & 0 \\ \infty & 0 & \infty & 0 & 0 \\ 0 & 0 & 0 & \infty & 0 \\ 0 & 0 & 0 & 0 & \infty \\ 0 & 0 & 0 & 0 & \infty \end{bmatrix} \quad \bar{E}_2 : \begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty & \infty \\ 0 & 0 & 0 & \infty & \infty \\ 0 & 0 & 0 & 0 & \infty \\ 0 & 0 & 0 & 0 & \infty \end{bmatrix}$$

Simple sub-quotients of  $C^*(\bar{E}_i)$ :  $\mathbb{K}$ ,  $\mathcal{O}_\infty$ ,  $\mathcal{O}_{\infty^2}, \dots, \mathcal{O}_{\infty^k}$

$$C^*(\bar{E}_1) \otimes \mathbb{K} \cong C^*(\bar{E}_2) \otimes \mathbb{K}$$

## Primitive ideal space is finite and linear

If the primitive ideal space of  $C^*(E)$  is  $X : 1 \longrightarrow 2 \longrightarrow \cdots \longrightarrow n$ , then

$$0 \triangleleft \mathfrak{I}_1 \triangleleft \mathfrak{I}_2 \triangleleft \cdots \triangleleft \mathfrak{I}_n = C^*(E)$$

### Theorem (Eilers-Restorff-R)

Let  $C^*(E_1)$  and  $C^*(E_2)$  be graph  $C^*$ -algebras with primitive ideal space  $X$ . If the finite and infinite simple sub-quotients are separated. Then

$$C^*(E_1) \otimes \mathbb{K} \cong C^*(E_2) \otimes \mathbb{K} \iff \text{FK}_X^+(C^*(E_1)) \cong \text{FK}_X^+(C^*(E_2))$$

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- Ideas from Mikael Rørdam's classification result of extensions of Kirchberg algebras by Kirchberg algebras
- UCT of Meyer-Nest
- Kirchberg's result of lifting  $\mathrm{KK}(X)$ -equivalence to a  $C^*$ -algebra isomorphism

## Example

Let  $p$  be a prime number and consider the class of graph  $C^*$ -algebras given by adjacency matrices

$$\begin{bmatrix} 0 & 0 & 0 \\ z & p+1 & 0 \\ y & x & p+1 \end{bmatrix}$$

for  $y, z > 0$ .

$$0 \triangleleft \mathfrak{I}_1 \triangleleft \mathfrak{I}_2 \triangleleft C^*(E)$$

with  $\mathfrak{I}_1 = \mathbb{K}$ ,  $\mathfrak{I}_2/\mathfrak{I}_1 = \mathcal{O}_{p+1} \otimes \mathbb{K}$ , and  $\mathfrak{A}/\mathfrak{I}_2 = \mathcal{O}_{p+1}$ .

Let  $E_n$  be the graph with adjacency matrix

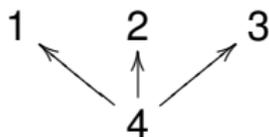
$$\begin{bmatrix} 0 & 0 & 0 \\ n & 3 & 0 \\ 1 & 1 & 3 \end{bmatrix}$$

Then

$$C^*(E_n) \otimes \mathbb{K} \cong C^*(E_{n+4}) \otimes \mathbb{K}$$

Primitive ideal space is  $V$ :  $1 \leftarrow 2 \leftarrow \cdots \leftarrow n-1 \rightarrow n$   
 $n+1$

Suppose  $\mathfrak{A} = C^*(E)$  has primitive ideal space



Then we have a smallest ideal  $\mathfrak{A}(4)$  of  $\mathfrak{A}$  and simple  $C^*$ -algebras  $\mathfrak{A}(1), \mathfrak{A}(2), \mathfrak{A}(3)$  such that

$$0 \rightarrow \mathfrak{A}(4) \rightarrow \mathfrak{A} \rightarrow \mathfrak{A}(1) \oplus \mathfrak{A}(2) \oplus \mathfrak{A}(3) \rightarrow 0$$

is an exact sequence.

## Theorem (Eilers-Restorff-R)

Let  $C^*(E_1)$  and  $C^*(E_2)$  be graph  $C^*$ -algebras with primitive ideal space  $V$ . Then

$$C^*(E_1) \otimes \mathbb{K} \cong C^*(E_2) \otimes \mathbb{K} \iff \mathrm{FK}_V^+(C^*(E_1)) \cong \mathrm{FK}_V^+(C^*(E_2))$$

for the following cases:

- (1) AF-(combination of PI and AF)
- (2) PI-(combination of PI and AF with at most 3 PI)

(2) requires

- UCT of Bentmann for accordion spaces for the case with 2 PI
- (Arklint-Restorff-R) lifting isomorphism of filtered  $K$ -theory to  $\mathrm{KK}(X)$ -equivalence for the case with 3 PI

Consider graphs given by

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ x & p+1 & 0 & 0 \\ y & 0 & p+1 & 0 \\ z & 0 & 0 & p+1 \end{bmatrix}$$

$x, y, z > 0$ . We get an extension

$$0 \rightarrow \mathbb{K} \rightarrow C^*(E) \rightarrow \mathcal{O}_{p+1} \oplus \mathcal{O}_{p+1} \oplus \mathcal{O}_{p+1} \rightarrow 0$$

With graphs  $E_1$  and  $E_2$  given by

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ x & p+1 & 0 & 0 \\ y & 0 & p+1 & 0 \\ z & 0 & 0 & p+1 \end{bmatrix} \qquad \begin{bmatrix} 0 & 0 & 0 & 0 \\ x' & p+1 & 0 & 0 \\ y' & 0 & p+1 & 0 \\ z' & 0 & 0 & p+1 \end{bmatrix}$$

Then

$$\begin{aligned} C^*(E_1) \otimes \mathbb{K} &\cong C^*(E_2) \otimes \mathbb{K} \\ \iff & \\ |\{r \in \{x, y, z\} : p \mid r\}| &= |\{r \in \{x', y', z'\} : p \mid r\}| \end{aligned}$$

## Ideas of the proof

Set  $\mathfrak{A}_i = C^*(E_i) \otimes \mathbb{K}$ . Let  $\alpha : \mathrm{FK}_X^+(\mathfrak{A}_1) \cong \mathrm{FK}_X^+(\mathfrak{A}_2)$ .

### Primitive ideal space linear with 2 points

#### Theorem (Rørdam, Eilers-Restorff-R)

*There exist invertible elements*

$$\beta_0 \in \mathrm{KK}(\mathfrak{I}_1, \mathfrak{I}_2) \quad \text{and} \quad \beta_2 \in \mathrm{KK}(\mathfrak{A}_1/\mathfrak{I}_1, \mathfrak{A}_2/\mathfrak{I}_2)$$

*such that*

$$[\epsilon_1] \times \beta_0 = \beta_2 \times [\epsilon_2] \quad \text{in} \quad \mathrm{KK}^1(\mathfrak{A}_1/\mathfrak{I}_1, \mathfrak{I}_2)$$

## Ideas of the proof

Set  $\mathfrak{A}_j = C^*(E_j) \otimes \mathbb{K}$ . Let  $\alpha : \mathrm{FK}_X^+(\mathfrak{A}_1) \cong \mathrm{FK}_X^+(\mathfrak{A}_2)$ .

For linear primitive ideal space with n points

### Theorem (The UCT of Meyer-Nest)

*There exists an invertible element  $\beta \in \mathrm{KK}(X; \mathfrak{A}_1, \mathfrak{A}_2)$  such that*

$$\mathrm{KK}(X; \beta) = \alpha$$

### Theorem (Eilers-Restorff-R)

*There exist invertible elements*

$$\beta_0 \in \mathrm{KK}(U; \mathfrak{I}_1, \mathfrak{I}_2) \quad \text{and} \quad \beta_2 \in \mathrm{KK}(Z; \mathfrak{A}_1/\mathfrak{I}_1, \mathfrak{A}_2/\mathfrak{I}_2)$$

*such that*

$$[\epsilon_1] \times \mathrm{KK}(\beta_0) = \mathrm{KK}(\beta_2) \times [\epsilon_2] \quad \text{in} \quad \mathrm{KK}^1(\mathfrak{A}_1/\mathfrak{I}_1, \mathfrak{I}_2)$$

## Theorem (Kirchberg)

Let  $\mathfrak{A}$  and  $\mathfrak{B}$  be separable, nuclear, simple, stable  $C^*$ -algebras such that  $\mathfrak{A}$  and  $\mathfrak{B}$  are  $\mathcal{O}_\infty$ -absorbing and  $\text{Prim}(\mathfrak{A}) \cong \text{Prim}(\mathfrak{B}) \cong Y$ . If  $\beta$  is an invertible element in  $\text{KK}(Y; \mathfrak{A}, \mathfrak{B})$ , then there exists an isomorphism  $\varphi$  from  $\mathfrak{A}$  to  $\mathfrak{B}$  such that  $\text{KK}(\varphi) = \beta$ .

## Theorem (Elliott)

Let  $\mathfrak{A}$  and  $\mathfrak{B}$  be AF-algebras. If  $\alpha \in \text{KK}(\mathfrak{A} \otimes \mathbb{K}, \mathfrak{B} \otimes \mathbb{K})$  such that

$$K_0(\alpha) : (K_0(\mathfrak{A} \otimes \mathbb{K}), K_0(\mathfrak{A} \otimes \mathbb{K})_+) \cong (K_0(\mathfrak{B} \otimes \mathbb{K}), K_0(\mathfrak{B} \otimes \mathbb{K})_+)$$

then there exists an isomorphism  $\varphi$  from  $\mathfrak{A} \otimes \mathbb{K}$  to  $\mathfrak{B} \otimes \mathbb{K}$  such that  $\text{KK}(\varphi) = \alpha$ .

Lift  $\text{KK}(\beta_0)$  and  $\text{KK}(\beta_2)$  to isomorphisms  $\varphi_0$  and  $\varphi_2$

$$\begin{array}{ccccccc}
\epsilon_1 & 0 & \longrightarrow & \mathfrak{I}_1 & \longrightarrow & \mathfrak{A}_1 & \longrightarrow & \mathfrak{A}_1/\mathfrak{I}_1 & \longrightarrow & 0 \\
& & & \downarrow \phi_0 & & \downarrow & & \parallel & & \\
\tilde{\epsilon}_1 & 0 & \longrightarrow & \mathfrak{I}_2 & \longrightarrow & \tilde{\mathfrak{A}}_1 & \longrightarrow & \mathfrak{A}_1/\mathfrak{I}_1 & \longrightarrow & 0 \\
& & & & & & & & & \\
\tilde{\epsilon}_2 & 0 & \longrightarrow & \mathfrak{I}_2 & \longrightarrow & \tilde{\mathfrak{A}}_2 & \longrightarrow & \mathfrak{A}_1/\mathfrak{I}_1 & \longrightarrow & 0 \\
& & & \parallel & & \downarrow & & \downarrow \varphi_2 & & \\
\epsilon_2 & 0 & \longrightarrow & \mathfrak{I}_2 & \longrightarrow & \mathfrak{A}_2 & \longrightarrow & \mathfrak{A}_2/\mathfrak{I}_2 & \longrightarrow & 0
\end{array}$$

$$[\tilde{\epsilon}_1] = [\tilde{\epsilon}_2] \quad \text{in} \quad \text{KK}^1(\mathfrak{A}_1/\mathfrak{I}_1, \mathfrak{I}_2)$$

## Theorem (Eilers-Tomforde, Eilers-Restorff-R)

Let  $C^*(E)$  be a graph  $C^*$ -algebra with linear ideal lattice. If finite and infinite simple sub-quotients are separated and  $C^*(E)$  is not an AF algebra, then

$$0 \rightarrow \mathfrak{J} \otimes \mathbb{K} \rightarrow C^*(E) \otimes \mathbb{K} \rightarrow C^*(E)/\mathfrak{J} \otimes \mathbb{K} \rightarrow 0$$

is a full extension.

Results of Kucerovsky-Ng on full extensions implies that

$$\tilde{\mathfrak{A}}_1 \cong \tilde{\mathfrak{A}}_2 \implies \mathfrak{A}_1 \cong \mathfrak{A}_2$$