

# *Weak containment and amenability*

*joint work with  
Alcides Buss and Rufus Willett*

Richard Kadison and his mathematical legacy - A memorial conference.  
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## Motivation

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- $G$  is amenable.
- $1_G \prec \lambda_G$  ( $:\Leftrightarrow$  there exists a net of compactly supported positive definite functions  $\varphi_i : G \rightarrow \mathbb{C}$  which approximate  $1_G$  uniformly on compact subsets of  $G$ ).
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**Weak containment problem (Anantharaman-Delaroche):**

Suppose  $\alpha : G \rightarrow \text{Aut}(A)$  is a strongly continuous action of a l.c. group  $G$  on a  $C^*$ -algebra  $A$ . Is it true that

$$A \rtimes_{\max} G = A \rtimes_r G \quad \stackrel{?}{\Leftrightarrow} \quad \alpha : G \rightarrow \text{Aut}(A) \text{ amenable.}$$

What is the correct **definition** of an amenable action?

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**Ozawa 2000, Brodzki-Cave-Li 2017.** A l.c. group  $G$  is exact if and only if:  $\exists$  topologically amenable  $G \curvearrowright X$  with  $X$  compact.

## Functions of positive type (Anantharaman-Delaroche)

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Let  $\alpha : G \rightarrow \text{Aut}(A)$  be a strongly continuous action. A continuous function  $\theta : G \rightarrow A$  is said to be *of positive type*, if for all  $g_1, \dots, g_l \in G$  we have

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(1) Every function of pos. type is of the form  $g \mapsto \langle \xi, \gamma_g(\xi) \rangle_A$  where  $\xi \in \mathcal{E}$  for some  $G$ -equivariant Hilbert  $A$ -module  $(\mathcal{E}, \gamma)$ .

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(2) Let  $L^2(G, A) = \overline{C_c(G, A)}^{\langle \cdot, \cdot \rangle_A}$  w.r.t  $\langle \xi, \eta \rangle_A = \int_G \xi(g)^* \eta(g) dg$  and let

$$\lambda^\alpha : G \rightarrow \text{Aut}(L^2(G, A)); \lambda_g^\alpha(\xi)(h) = \alpha_g(\xi(g^{-1}h)).$$

Then every compactly supported p.t. function is of the form

$$g \mapsto \langle \xi, \lambda_g^\alpha(\xi) \rangle_A, \quad \text{for some } \xi \in L^2(G, A).$$

## The enveloping $G$ -von Neumann algebra

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**Defintion.** Let  $\iota \rtimes U : A \rtimes_{\max} G \rightarrow (A \rtimes_{\max} G)^{**}$  be the inclusion. We define  $A''_{\alpha} := \iota(A)'' \subseteq (A \rtimes_{\max} G)^{**}$  and  $\alpha'' := \text{Ad } U$ .

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**Universal property:** For every nondeg covariant represent.  $(\pi, u) : (A, G) \rightarrow \mathcal{B}(H_{\pi})$  there exists a unique normal  $\alpha'' - \text{Ad } u$  equivariant  $*$ -homomorphism  $\pi'' : A''_{\alpha} \rightarrow \pi(A)''$  extending  $\pi$ .

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**Notice:** If  $G$  is discrete, then  $\iota^{**} : A^{**} \rightarrow (A \rtimes_{\max} G)^{**}$  is faithful, hence  $A''_{\alpha} = A^{**}$ . But this does not hold in general!



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**Example:** Let  $\tau : G \curvearrowright C_0(X)$ . Then  $C_0(G) \rtimes G \cong \mathcal{K}(L^2(G))$ .

Hence  $(C_0(G) \rtimes G)^{**} = \mathcal{K}(L^2(G))^{**} = \mathcal{B}(L^2(G))$

Thus  $C_0(G)''_{\tau} \cong L^{\infty}(G) \not\cong C_0(G)^{**}$  for  $G$  non-discrete.

## Amenable actions of locally compact groups

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**Notation:** If  $\beta : G \rightarrow \text{Aut}(B)$  is a possibly non-continuous action, we write  $B_c := \{b \in B : g \mapsto \beta_g(b) \text{ norm continuous}\}$ .

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**Definition (A.-D., Brown-Ozawa, Buss-E-Willett)**  $\alpha : G \curvearrowright A$  is

- (1) **strongly amenable** if  $\exists$  a net  $\phi_i : G \rightarrow ZM(A)_c$  of cont. compactly supported p.t. functions s.t.  $\|\phi_i(e)\| = 1$  and  $\phi_i(g) \rightarrow 1_A$  **strictly & unif. on compact subsets** of  $G$ .

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- (2) **amenable** if  $\exists$  a net  $\phi_i : G \rightarrow Z(A''_\alpha)_c$  of cont. compactly supported p.t. functions s.t.  $\|\phi_i(e)\| = 1$  and  $\phi_i(g) \rightarrow 1_A$  **weakly & unif. on compact subsets** of  $G$ .

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**Remarks:** We always have (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3) and if  $G$  is **discrete**, then (2)  $\Leftrightarrow$  (3). If  $G$  is **discrete** and  $A = C_0(X)$ , then (1)  $\Leftrightarrow$  (2)  $\Leftrightarrow$  (3). (A.-D. 2003). Note that (2)  $\not\Rightarrow$  (1) (**Suzuki 2018**).

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**Proof of  $\Leftarrow$ :** Let  $L^\infty(G, A''_\alpha) \xrightarrow{P} A''_\alpha$  be a  $G$ -projection. It restricts to a projection  $L^\infty(G, Z(A''_\alpha)) \xrightarrow{P} Z(A''_\alpha)$ . Then consider

$$\Phi : C_{lub}(G) \rightarrow L^\infty(G, Z(A''_\alpha)) \xrightarrow{P} Z(A''_\alpha); \quad f \mapsto P(f \otimes 1).$$

By **BCL**  $\exists$  a net  $\{\theta_i : G \rightarrow C_{lub}(G)\}$  of comp. supp. positive type funct. with  $\theta_i(e) = 1_G$  and  $\theta_i(g) \rightarrow 1_G$  in norm & unif. on comp. in  $G$ . Then  $(\Phi \circ \theta_i)$  is a net as in the definition of amenability for  $\alpha$ . **q.e.d.**

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## Amenable actions of locally compact groups

**Proposition (A.-D. '87, BEW '19)** Let  $G \curvearrowright A$  be a continuous action of the locally compact group  $G$ .

- (1) If  $G \curvearrowright A$  is amenable, then  $A \rtimes_{\max} G = A \rtimes_r G$ .
- (2) If  $G \curvearrowright A$  is amenable, then so is  $\alpha \otimes \beta : G \curvearrowright A \otimes_{\max} B$  for every  $\beta : G \curvearrowright B$ .
- (3) If  $G \curvearrowright A$  is amenable, then  $A$  nuclear  $\implies A \rtimes_r G$  nuclear. If  $G$  is **discrete**, the converse holds as well.

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**(3)** Is an easy consequence of (1) and (2):

$$\begin{aligned} (A \rtimes_r G) \otimes_{\max} B &= (A \rtimes_{\max} G) \otimes_{\max} B = (A \otimes_{\max} B) \rtimes_{\max} G \\ &= (A \otimes_{\min} B) \rtimes_r G = (A \rtimes_r G) \otimes_{\min} B \quad \text{q.e.d.} \end{aligned}$$

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- $A = C(X)$ , then  $A \rtimes_{\max} G = A \rtimes_r G \iff G \curvearrowright A$  amenable
- If  $A$  is nuclear, then  $(A \otimes A^{op}) \rtimes_{\max} G = (A \otimes A^{op}) \rtimes_r G \iff G \curvearrowright A$  is amenable.

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**Idea of proof:** Matsumura constructs a commutative diagram

$$\begin{array}{ccccc}
 A \rtimes_r G & & & & \\
 \downarrow & \searrow & \xrightarrow{\iota^{**}} & & \\
 (\ell^\infty(G) \otimes A) \rtimes_r G & \xrightarrow{\phi} & A^{**} \rtimes_r G & \xrightarrow{\psi} & (A \rtimes_r G)^{**}
 \end{array}$$

This implies that  $\iota^{**} : A \rtimes_r G \rightarrow (A \rtimes_r G)^{**}$  is nuclear!



## Matsumura's theorem

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**Question:** What is the role of exactness?

If  $G \curvearrowright X$  is an action with  $G$  is **discrete** and **exact** and  $X$  compact, then Matsumura's result shows that

$$X \rtimes G \text{ amenable} \iff C^*(X \rtimes G) = C_r(X \rtimes G).$$

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If  $G \curvearrowright X$  is an action with  $G$  **discrete** and **exact** and  $X$  compact, then Matsumura's result shows that

$$X \rtimes G \text{ amenable} \iff C^*(X \rtimes G) = C_r^*(X \rtimes G).$$

**Theorem (Willett '15)** There exist (**non-exact**) étale groupoids  $\mathcal{G}$  (with compact base  $X = \mathcal{G}_0$ ) such that  $C_{\max}^*(\mathcal{G}) = C_r^*(\mathcal{G})$  but  $\mathcal{G}$  is not amenable!

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**Question:** Is Matsumura's theorem still true for (exact) **locally compact groups** and **locally compact**  $G$ -spaces  $X$ ?

In what follows we want to show that the answer is positive!

# The injective crossed product

**Definition** For a  $G$ -algebra  $A$  the *injective crossed product* is defined as

$$A \rtimes_{\text{inj}} G := \overline{C_c(G, A)}^{\|\cdot\|_{\text{inj}}}$$

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**Theorem (Buss-E-Willett, 2018)**  $(A, \alpha) \mapsto A \rtimes_{\text{inj}} G$  is the largest (exotic) crossed-product functor which is *injective* in the sense

$$\phi : A \hookrightarrow B \quad (G\text{-embedding}) \quad \Rightarrow \quad \phi \rtimes G : A \rtimes_{\text{inj}} G \hookrightarrow B \rtimes_{\text{inj}} G.$$

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**Notice:**

$$A \rtimes_{\text{max}} G = A \rtimes_{\text{inj}} G \iff [A \hookrightarrow B \Rightarrow A \rtimes_{\text{max}} G \hookrightarrow B \rtimes_{\text{max}} G].$$

## Injective covariant representations

**Definition** A covariant rep.  $(\pi, u) : (A, G) \rightarrow \mathcal{B}(H)$  is **injective** if:

$$\forall \phi : A \hookrightarrow B \text{ (} G\text{-hom.)} \exists \left\{ \begin{array}{l} \text{ccp map } \sigma : B \rightarrow \mathcal{B}(H) \text{ s.t. } \sigma \circ \phi = \pi \\ \text{and } (\sigma, u) \text{ is covariant for } (B, G). \end{array} \right\}$$



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**Theorem (BEW)** Let  $A$  be a  $G$ -algebra. TFAE:

- (a)  $A \rtimes_{\max} G = A \rtimes_{\text{inj}} G$ .
- (b) Every covariant rep  $(\pi, u)$  of  $(A, G)$  is injective.
- (c)  $\exists$  an injective covariant rep.  $(\pi, u)$  of  $(A, G)$  such that  $\pi \rtimes u : A \rtimes_{\max} G \rightarrow \mathcal{B}(H)$  is faithful.

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(c)  $\Rightarrow$  (a)

$$\begin{array}{ccc} & B \rtimes_{\max} G & \\ & \uparrow \phi \rtimes G & \searrow \sigma \rtimes u \\ A \rtimes_{\max} G & \xrightarrow{\pi \rtimes u} & \mathcal{B}(H) \end{array}$$

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(a)  $\Rightarrow$  (b) 
$$\begin{array}{ccc} M(B \rtimes_{\max} G) & & \\ \uparrow \phi \rtimes G & \searrow \widetilde{\pi \rtimes u} & \\ A \rtimes_{\max} G & \xrightarrow{\pi \rtimes u} & \mathcal{B}(H) \end{array}$$

$\sigma := \widetilde{\pi \rtimes u}|_B$

## Injective covariant representations

**Lemma.** Let  $(\pi, u) : (A, G) \rightarrow \mathcal{B}(H)$  be injective with  $\pi$  nondeg..  
Let  $C$  be any unital  $G$ -algebra.

Then there exists a ucp map

$$\phi : C \rightarrow \pi(A)' \subseteq \mathcal{B}(H) \quad \text{s.t. } (\phi, u) \text{ is covariant for } (C, G).$$

This applies in particular to  $C = C_{lub}(G)$ .

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This applies in particular to  $C = C_{lub}(G)$ .

*Proof :* Consider  $\iota_A, \iota_C : A, C \hookrightarrow M(C \otimes A)_c$ .

Injectivity of  $(\pi, u)$  implies:

$\exists$  ucp map  $\sigma : M(C \otimes A)_c \rightarrow \mathcal{B}(H)$  s.t.  $\sigma \circ \iota_A = \pi$  and  $(\sigma, u)$  covariant.

Put  $\phi = \sigma \circ \iota_C$ . Then  $(\phi, u)$  is covariant and  $\phi(C) \subseteq \pi(A)'$

(notice that  $\iota_A(A)$  lies in the multiplicative domain of  $\sigma$ !)

**q.e.d.**

## Commutant amenability

**Definition**  $(\pi, u) : (A, G) \rightarrow \mathcal{B}(H)$  is **commutant amenable** if there exists a net of compactly supported continuous positive type functions  $\theta_i : G \rightarrow (\pi(A)')_c$  (with resp. to  $\beta = \text{Ad } u$ ) such that

- (i)  $\theta_i(e) = 1$ , and
- (ii)  $\forall g \in G : \theta_i(g) \rightarrow 1$  ultraweakly and unif. on compacts in  $G$ .

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We say  $\alpha : G \curvearrowright A$  is **commutant amenable** (or **C-amenable**) if this holds **for all**  $(\pi, u)$  with  $\pi : A \rightarrow \mathcal{B}(H)$  nondegenerate.

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**Remark** If  $(\pi, u)$  is a nondeg. covariant rep. there exists  $\pi'' : A''_{\alpha} \rightarrow \pi(A)''$ . Then  $\pi''(Z(A''_{\alpha})) \subseteq Z(\pi(A)'') \subseteq \pi(A)'$ .  
Thus:  $G \curvearrowright A$  amenable  $\Rightarrow G \curvearrowright A$  commutant amenable.



## Commutant amenability

**Theorem (BEW '19)** If  $\alpha : G \curvearrowright A$  is commutant amenable, then

$$A \rtimes_{\max} G = A \rtimes_r G.$$

Moreover, if  $G$  is exact, the converse holds as well!

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**Proof “ $\Rightarrow$ ”:** Use same ideas as of **A.-D.** for amenable actions.

**“ $\Leftarrow$ ”** Assume that  $G$  is **exact**. If  $A \rtimes_{\max} G = A \rtimes_r G$ , then every nondeg. cov. rep.  $(\pi, u)$  is injective. Thus there exists a  $G$ -ucp map

$$\phi : C_{lub}(G) \rightarrow \pi(A)'.$$

Since  $G$  is exact there exists a net  $\{\theta_i : G \rightarrow C_{lub}(G)\}$  of compactly sup. pos. type functions approximating  $1_X$ . Then

$\{\theta_i = \phi \circ \eta_i : G \rightarrow \pi(A)'\}$  implements C-amenability of  $(\pi, U)$ .

**q.e.d.**

## Matsumura's theorem revisited

**Theorem (BEW '19)** Let  $\alpha : G \curvearrowright A$  be an action with  $G$  exact. Then the following are equivalent:

- (1)  $G \curvearrowright A$  is amenable (von-Neumann amenable).
- (2)  $G \curvearrowright A \otimes_{\max} A^{op}$  is amenable.
- (3)  $G \curvearrowright A \otimes_{\max} A^{op}$  is commutant amenable.
- (4)  $(A \otimes_{\max} A^{op}) \rtimes_{\max} G = (A \otimes_{\max} A^{op}) \rtimes_{\max} G$ .

If, moreover,  $A = C_0(X)$  is abelian, then the above conditions are equivalent to

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**Proof.** Already know (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3)  $\Leftrightarrow$  (4) and (1)  $\Rightarrow$  (5)  $\Leftrightarrow$  (6).

Thus it suffices to show (3)  $\Rightarrow$  (1) and (5)  $\Rightarrow$  (1) if  $A = C_0(X)$ .

## Haagerup's standard form

**Theorem (Haagerup '75)** Let  $\alpha : G \curvearrowright A$  be an action. Then there exist normal, unital, and faithful reps.

$$\pi : A''_{\alpha} \rightarrow \mathcal{B}(H), \quad \pi^{op} : (A^{op})''_{\alpha^{op}} \rightarrow \mathcal{B}(H)$$

and a strongly cont. unitary rep  $u : G \rightarrow U(H)$  such that

- (i)  $(\pi, u)$  and  $(\pi^{op}, u)$  are covariant;
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**Corollary (a)**  $(\pi \otimes \pi^{op}, u)$  is a cov. rep. of  $G \curvearrowright A \otimes_{\max} A^{op}$  such that  $\pi \otimes \pi^{op}(A \otimes_{\max} A^{op})' \cong Z(A''_{\alpha})$ . Thus, if  $G \curvearrowright A \otimes_{\max} A^{op}$  is commutant amenable, then  $G \curvearrowright A$  is amenable.

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**(b)** If  $A$  is commutative then (iii) implies:

$$G \curvearrowright A \text{ commutant amenable} \implies G \curvearrowright A \text{ amenable.}$$

## Measure-wise amenability

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Recall that an action  $G \curvearrowright (X, \mu)$  is amenable if there exists a  $G$ -equivariant cond. exp.  $P : L^\infty(G \times X) \rightarrow L^\infty(X)$ .



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**Definition (Renault '80)** Let  $G \curvearrowright X$  be an action of the second countable l.c. group  $G$  on the second countable l.c. space  $X$ .

Then  $G \curvearrowright X$  is called **measure-wise amenable** if  $G \curvearrowright (X, \mu)$  is amenable for every quasi-invariant measure  $\mu$  on  $X$ .

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- (3)  $C_0(X) \rtimes_{\max} G \cong C_0(X) \rtimes_r G$ .

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**Proof.** We only need to show that  $G \curvearrowright C_0(X)$  commutant amenable implies  $G \curvearrowright X$  is measure-wise amenable.

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For this let  $\mu$  be a quasi-invariant measure on  $X$ . Let  $(M, u)$  be the cov. rep. of  $(C_0(X), G)$  on  $L^2(X, \mu)$  given by

- $M : C_0(X) \rightarrow \mathcal{B}(L^2(X, \mu))$  by multiplication operators
- $(u_g \xi)(x) = \left( \frac{d\mu(g^{-1}x)}{d\mu(x)} \right)^{1/2} \xi(g^{-1}x)$  (Koopman repr.)

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Following **A.-D.** these allow the construction of approximately  $G$ -invariant projections  $P_n : L^\infty(G \times X) \rightarrow L^\infty(X)$  and a suitable compactness argument then gives the desired  $G$ -projection  $P : L^\infty(G \times X) \rightarrow L^\infty(X)$ . **q.e.d.**

# Resumé

We extended the notion of **amenable actions**  $G \curvearrowright A$  due to **Anantharaman-Delaroche** for **discrete** groups  $G$  to the case of actions of **locally compact** groups and we introduced the new notion of **commutant amenable** actions.

For  $A = C_0(X)$  and  $G$  **exact** we show **equivalence** of

- $G \curvearrowright C_0(X)$  is **amenable**.
- $G \curvearrowright C_0(X)$  is **commutant amenable**.
- $G \curvearrowright X$  is **measure-wise amenable**.
- $C_0(X) \rtimes_{\max} G = C_0(X) \rtimes_r G$ .

extending an earlier result of **Matsumura** for  $G$  discrete and  $X$  compact.



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# Thank you for your attention!