

Norm maps in Milnor K -theory

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The purpose of this note is to give a detailed exposition of the construction of norm maps in Milnor K -theory following the original papers of Bass and Tate [1] and Kato [4]. Needless to say that we make no claim of originality.

The Milnor K -theory of a field k is defined to be the graded ring

$$K_*^M(k) = T_{\mathbb{Z}}(k^*) / (x \otimes (1-x) \mid x \in k \setminus \{0, 1\})$$

and the class of $x_1 \otimes \cdots \otimes x_n$ is denoted by $\{x_1, \dots, x_n\}$ and called a symbol. We derive some immediate consequences of the relation that $\{x, 1-x\} = 0$. First, since we can write $-x = (1-x)/(1-x^{-1})$, we have

$$\{x, -x\} = \{x, 1-x\} + \{x^{-1}, 1-x^{-1}\} = 0.$$

This shows

$$\{x, y\} + \{y, x\} = \{x, -x\} + \{x, y\} + \{y, x\} + \{y, -y\} = \{xy, -xy\} = 0$$

so the Milnor ring is anti-symmetric. However, we have

$$\{x, x\} = \{x, -(-x)\} = \{x, -1\} + \{x, -x\} = \{x, -1\},$$

which is generally non-zero, so the Milnor ring is generally not alternating.

PROPOSITION 1. *Let K be a field, and let v be a normalized discrete valuation on K . Let $\mathcal{O}_v \subset K$ be the valuation ring, let $\mathfrak{m}_v \subset \mathcal{O}_v$ be the maximal ideal, and let $k(v) = \mathcal{O}_v / \mathfrak{m}_v$ be the residue field. Then there is a unique homomorphism*

$$\partial_v : K_n^M(K) \rightarrow K_{n-1}^M(k(v))$$

such that for all $u_1, \dots, u_{n-1} \in \mathcal{O}_v^*$ and $x \in K^*$,

$$\partial_v(\{u_1, \dots, u_{n-1}, x\}) = v(f)\{\bar{u}_1, \dots, \bar{u}_{n-1}\},$$

where \bar{u}_i is the class of u_i in $k(v)^*$.

PROOF. The uniqueness is clear since the symbols $\{u_1, \dots, u_{n-1}, x\}$ generate $K_n^M(K)$ as an abelian group. To prove the existence, we choose a generator $\pi \in \mathfrak{m}_v$ and show that there is a map of graded rings

$$\theta_\pi : K_*^M(K) \rightarrow K_*^M(k(v))[\varepsilon] / (\varepsilon^2 - \{-1\}\varepsilon)$$

that to $\{\pi^i u\}$ with $u \in \mathcal{O}_v^*$ assigns $\{\bar{u}\} + i\varepsilon$. An easy calculation then shows that the homomorphism $\partial_v : K_n^M(K) \rightarrow K_{n-1}^M(k(v))$ defined by the formula

$$\theta_\pi(z) = \psi_\pi(z) + \partial_v(z)\varepsilon$$

maps $\{u_1, \dots, u_{n-1}, x\}$ to $v(x)\{\bar{u}_1, \dots, \bar{u}_{n-1}\}$ as desired. We have

$$\theta_\pi(\{\pi^{i_1}u_1, \pi^{i_2}u_2\}) = \{\bar{u}_1, \bar{u}_2\} + (i_2\{\bar{u}_1\} - i_1\{\bar{u}_2\} + i_1i_2\{-1\})\varepsilon$$

and must show this expression is zero whenever $\pi^{i_1}u_1 + \pi^{i_2}u_2 = 1$. There are four cases to consider. If $i_1 > 0$, then $i_2 = 0$ and $\bar{u}_1 = 1$. So $\theta_\pi(\{\pi^{i_1}u_1, \pi^{i_2}u_2\}) = 0$. Similarly, if $i_1 = 0$, and $i_2 > 0$, we have $\theta_\pi(\{\pi^{i_1}u_1, \pi^{i_2}u_2\}) = 0$. If $i_1 = i_2 = 0$, then $\bar{u}_1 + \bar{u}_2 = 1$, so $\theta_\pi(\{\pi^{i_1}u_1, \pi^{i_2}u_2\}) = 0$. Finally, if $i_1 < 0$, then $i_2 = i_1$ and $\bar{u}_1 + \bar{u}_2 = 0$. In this case, we have

$$\begin{aligned} \theta_\pi(\{\pi^{i_1}u_1, \pi^{i_2}u_2\}) &= \{\bar{u}_i, -\bar{u}_1\} + (i_1\{\bar{u}_1\} - i_1\{-\bar{u}_1\} + i_1^2\{-1\})\varepsilon \\ &= 0 + (i_1\{\bar{u}_1\} + i_1\{-1\} - i_1\{\bar{u}_1\} + i_1^2\{-1\})\varepsilon \\ &= i_1(i_1 + 1)\{-1\}\varepsilon \end{aligned}$$

which is zero, since $i_1(i_1 + 1)$ is even. This proves the claim. It is now an easy calculation to see that the map ∂_v given by the formula

$$\theta_\pi(x) = \psi_\pi(x) + \partial_v(x)\varepsilon$$

is given by the stated formula and, in particular, is independent of the choice of generator $\pi \in \mathfrak{m}_v$. \square

By definition, $\partial_v: K_1^M(K) \rightarrow K_0^M(k(v))$ takes $\{x\}$ to $v(f)$. It is also not difficult to see that $\partial_v: K_2^M(K) \rightarrow K_1(k(v))$ takes $\{x, y\}$ to $\{(x, y)_v\}$, where

$$(x, y)_v = (-1)^{v(x)v(y)}y^{v(x)}x^{-v(y)}$$

is the tame symbol.

LEMMA 2. *Let K be a field, and let v be a discrete valuation on K . Let L be a finite extension field K , and let w be a discrete valuation on L that extends v . Suppose that $\mathfrak{m}_v\mathcal{O}_w = \mathfrak{m}_w^{e_w/v}$. Then the following diagram commutes:*

$$\begin{array}{ccc} K_n^M(L) & \xrightarrow{\partial_w} & K_{n-1}^M(k(w)) \\ \uparrow j_{L/K^*} & & \uparrow e_{w/v}j_{k(w)/k(v)^*} \\ K_n^M(K) & \xrightarrow{\partial_v} & K_{n-1}^M(k(v)). \end{array}$$

PROOF. Indeed, if $u_1, \dots, u_{n-1} \in \mathcal{O}_v^*$ and $x \in K^*$, then

$$\partial_w(\{u_1, \dots, u_{n-1}, x\}) = w(x)\{\bar{u}_1, \dots, \bar{u}_{n-1}\} = e_{w/v}v(x)\{\bar{u}_1, \dots, \bar{u}_{n-1}\}$$

as stated. \square

We shall now state the theorem of Kato that characterizes the norm homomorphisms associated with a finite field extension; the proof occupies the rest of this note. Let $k(t)$ be the field of rational functions in one variable over a field k . Then

$$v_\infty(f) = -\deg(f)$$

is a discrete valuation on $k(t)$ that is trivial on k and for which t^{-1} is a generator of \mathfrak{m}_{v_∞} . Every other discrete valuation v on $k(t)$ that is trivial on k determines and is determined by a monic irreducible polynomial $\pi_v \in k[t]$ that is a generator of \mathfrak{m}_v , and the residue field $k(v)$ is $k[t]/(\pi_v)$.

THEOREM 3. *There exists a unique family of natural homomorphisms*

$$N_{k'/k}: K_n^M(k') \rightarrow K_n^M(k)$$

associated with finite field extensions k'/k such that $N_{k/k} = \text{id}$ and such that the reciprocity formula holds: Let $k(t)$ be the field of rational functions in one variable over a field k . Then, for all $x \in K_*^M(k(t))$, the sum $\sum_v N_{k(v)/k}(\partial_v(x))$ that ranges over all discrete valuations v of $k(t)$ that are trivial on k is equal to zero.

REMARK 4. We first note that for $n = 0$, we must define $N_{k'/k}$ to be multiplication by the index $[k' : k]$. Indeed, this is the statement that for every $f \in k(t)^*$,

$$\sum_v [k(v) : k] v(f) = 0.$$

To see this, we recall that $k[t]$ is a unique factorization domain with quotient field $k(t)$. Hence, for every $f \in k(t)^*$, we have

$$f = \text{lead}(f) \prod_{v \neq v_\infty} \pi_v^{v(f)}$$

where $\text{lead}(f) \in k$ is the leading coefficient of f . Hence,

$$\sum_{v \neq v_\infty} [k(v) : k] \cdot v(f) = \sum_{v \neq v_\infty} \deg(\pi_v) \cdot v(f) = \deg(f),$$

and since $v_\infty(f) = -\deg(f)$, the statement follows.

We also note that for $n = 1$, we must define $N_{k'/k}(\{x\}) = \{N_{k'/k}(x)\}$ where on the right-hand side $N_{k'/k}$ is the usual norm that to $x \in k'^*$ assigns the determinant of the endomorphism of the k -vector space k' that is given by multiplication by x . Indeed, if v is a valuation on $k(t)$ that is trivial on k , then

$$\partial_v(\{f, g\}) = \{(f, g)_v\},$$

where $(f, g)_v$ is the tame symbol, and hence, the statement is equivalent to the Weil reciprocity formula

$$\prod_v N_{k(v)/k}((f, g)_v) = 1.$$

A proof is given in [1, Thm. 5.6].

We now begin the construction of the norm maps in general following Bass and Tate [1]. The starting point is the following theorem of Milnor and Tate.

THEOREM 5. *There is an exact sequence of graded $K_*^M(k)$ -modules*

$$0 \rightarrow K_*^M(k) \xrightarrow{j_{k(t)/k^*}} K_*^M(k(t)) \xrightarrow{(\partial_v)} \bigoplus_{v \neq v_\infty} K_{*-1}^M(k(v)) \rightarrow 0$$

where, on the right-hand side, the sum ranges over all discrete valuations v on $k(t)$ that are trivial on k and that are different from v_∞ .

PROOF. We first note that the map

$$\psi_{t^{-1}}: K_*^M(k(t)) \rightarrow K_*^M(k)$$

that takes $\{f_1, \dots, f_r\}$ to $\{\text{lead}(f_1), \dots, \text{lead}(f_r)\}$ defines a retraction of the left-hand map of the statement. Now, let d be a non-negative integer, and let

$$\text{Fil}_d K_*^M(k(t)) \subset K_*^M(k(t))$$

be the subring generated by the symbols $\{f\} \in K_1^M(k(t))$ such that $f \in k[t] \cap k(t)^*$ and $\deg(f) \leq d$. The subring $\text{Fil}_0 K_*^M(k(t))$ is identified with the image of the map

$$j_{k(t)/k^*} : K_*^M(k) \rightarrow K_*^M(k(t)),$$

which is split injective. We claim that, for d positive, $\text{Fil}_d K_*^M(k(t))$ is generated as a left $K_*^M(k)$ -module by the symbols $\{\pi_1, \dots, \pi_r\}$, where π_1, \dots, π_r are monic irreducible polynomials and $0 < \deg(\pi_1) < \dots < \deg(\pi_r) \leq d$. Granting this for the moment, we see that the maps ∂_v induce an isomorphism

$$\text{gr}_d K_*^M(k(t)) \xrightarrow{\sim} \bigoplus K_*^M(k(v))$$

onto the sum the $K_*^M(k(v))$ such that $v \neq v_\infty$ and such that $[k(v) : k] = d$. Indeed, if $x = \{\pi_1, \dots, \pi_r\}$, where $\pi_1, \dots, \pi_r \in k[t]$ are monic irreducible polynomials and $0 < \deg(\pi_1) < \dots < \deg(\pi_r) \leq d$, then $\partial_v(x)$ is non-zero if and only if $\pi_r = \pi_v$, and in this case, $\partial_v(x) = \{\bar{\pi}_1, \dots, \bar{\pi}_{r-1}\}$.

We prove the claim by induction on d starting from the case $d = 1$ which is trivial. To prove the induction step, it suffices to show that if $\pi, \pi' \in k[t]$ are two irreducible monic polynomials of degree d , then

$$\text{Fil}_{d-1} K_*^M(k(t)) \cdot \{\pi, \pi'\} \subset \text{Fil}_{d-1} K_*^M(k(t)) \cdot \{\pi\} + \text{Fil}_{d-1} K_*^M(k(t)) \cdot \{\pi'\}.$$

To this end, we write $\pi = \pi' + f$ where $f \in k[t]$ and $\deg(f) < d$. If $f = 0$, then we have $\{\pi, \pi'\} = \{\pi, \pi\} = \{-1, \pi\}$. And if $f \neq 0$, then $(\pi'/\pi) + (f/\pi) = 1$, so

$$(\{f\} - \{\pi\})(\{\pi'\} - \{\pi\}) = \left\{ \frac{f}{\pi}, \frac{\pi'}{\pi} \right\} = 0,$$

and hence,

$$\{\pi, \pi'\} = \{f, \pi'\} - \{f, \pi\} + \{-1, \pi\}.$$

This completes the proof. \square

ADDENDUM 6. *Let k be a field with the property that the degree of every finite extension of k is a power of a fixed prime p , and let k' be a finite extension of k of degree p . Then $K_n^M(k')$ is generated by symbols of the form $\{x, y_2, \dots, y_n\}$ where $x \in k'^*$ and $y_2, \dots, y_n \in k^*$.*

PROOF. In general, an extension k'/k is generated by a single element $a \in k'$ if and only if the set of intermediate extensions $k \subset L \subset k'$ is finite. In the case at hand, there are no non-trivial intermediate extensions, since $[k' : k]$ is a prime, and hence $k' = k(a)$, for some $a \in k'$. Let π be the minimal polynomial of a , and let v be the discrete valuation on $k(t)/k$ with $\pi_v = \pi$. Hence, the proof of Thm. 5 shows that, as a $K_*^M(k)$ -module, $K_*^M(k')$ is generated by symbols of the form $\{\pi_1(a), \dots, \pi_r(a)\}$, where $\pi_1, \dots, \pi_{r-1} \in k[t]$ are irreducible monic polynomials and $0 < \deg(\pi_1) < \dots < \deg(\pi_{r-1}) < p$. Since there are no finite extensions of k of degree prime to p , we have $r-1 = 1$ and $\deg(\pi_{r-1}) = 1$. The statement follows. \square

It follows from Thm. 5 that there are unique homomorphisms

$$N_v : K_{n-1}^M(k(v)) \rightarrow K_{n-1}^M(k)$$

such that $N_{v_\infty} = \text{id}$ and such that the composite map

$$K_n^M(k(t)) \xrightarrow{(\partial_v)} \bigoplus_v K_{n-1}^M(k(v)) \xrightarrow{\sum N_v} K_{n-1}^M(k)$$

is equal to zero.

DEFINITION 7. Let k be a field, and let $k' = k(a)$ be a finite simple extension with minimal polynomial π . Let v be the unique discrete valuation on $k(t)$ such that $\mathfrak{m}_v \subset k[t]$ is generated by π , and let $j_{k'/k(v)}: k(v) \rightarrow k'$ be the isomorphism that maps the class of t to a . Then the norm map

$$N_{a/k}: K_n^M(k') \rightarrow K_n^M(k)$$

is defined to be the composition of $j_{k'/k(v)}^{-1}$ and N_v .

LEMMA 8 (Projection formula). *Let k be a field, and let $k' = k(a)$ be a finite simple extension. Then for all $x \in K_*^M(k')$ and $y \in K_*^M(k)$,*

$$N_{a/k}(x \cdot j_{k'/k*}(y)) = N_{a/k}(x) \cdot y.$$

In particular, the composite $N_{a/k} \circ j_{k'/k}$ is multiplication by $[k' : k]$.*

PROOF. The projection formula is a reformulation of the fact that the norm maps N_v are $K_*^M(k)$ -linear. The projection formula shows in particular that the composite $N_{a/k} \circ j_{k'/k*}$ is multiplication by $N_{a/k}(1) \in K_0^M(k)$, and Rem. 4 shows that $N_{a/k}(1) = [k' : k]$. \square

COROLLARY 9. *If $k' = k(a) = k$, then $N_{a/k}$ is the identity map.*

PROOF. Indeed, the map $j_{k/k*}$ and the composite $N_{a/k} \circ j_{k/k*}$ both are the identity map of $K_*^N(k)$. \square

We use the projection formula to prove the following result. I thank Tyler Lawson for help with the proof.

LEMMA 10. *Let k be a field, and let p be a prime. Then there exists an algebraic extension L of k such that every finite extension of L has order a power of p and such that the map $j_{L/k*}: K_n^M(k)_{(p)} \rightarrow K_n^M(L)_{(p)}$ is injective.*

PROOF. We let k^a be an algebraic closure of k and consider the partially ordered set S defined as follows. An element of S is a pair $(\alpha, \{L_\beta \mid \beta \leq \alpha\})$ of an ordinal α and, for every ordinal $\beta \leq \alpha$, an extension field $k \subset L_\beta \subset k^a$ such that $L_0 = k$, such that for every $\beta < \alpha$, $L_{\beta+1}$ is a non-trivial finite extension of L_β of degree prime to p , and such that for every limit ordinal $\gamma \leq \alpha$, L_γ is the union of the fields L_β , where $\beta < \gamma$. Since the cardinality of the ordinal α is necessarily less than or equal to the cardinality of k^a , S is indeed a set. We define

$$(\alpha, \{L_\beta \mid \beta \leq \alpha\}) \leq (\alpha', \{L'_{\beta'} \mid \beta' \leq \alpha'\})$$

to mean that $\alpha \leq \alpha'$ and that, for all $\beta \leq \alpha$, $L_\beta = L'_{\beta}$. The set S is non-empty since $(0, \{k\})$ is an element. We use Zorn's lemma to show that S has a maximal element. We must show that every non-empty totally ordered subset

$$T = \{(\alpha(i), \{L_{\beta(i)} \mid \beta \leq \alpha(i)\}) \mid i \in I\} \subset S$$

has an upper bound $(\alpha, \{L_\beta \mid \beta \leq \alpha\})$. We define α to be the smallest ordinal such that, for all $i \in I$, $\alpha(i) \leq \alpha$, and we define L_β , for $\beta \leq \alpha$, to be the union of all $L_{\beta(i)}$ with $\beta(i) \leq \beta$. Then $(\alpha, \{L_\beta \mid \beta \leq \alpha\})$ is an upper bound of T in S . By Zorn's lemma, the partially ordered set S has a maximal element $(\alpha, \{L_\beta \mid \beta \leq \alpha\})$. It is

then clear that the field $L = L_\alpha$ does not have any finite extensions $L \subset L' \subset k^a$ of degree prime to p . We show by transfinite induction that the map

$$j_{L/k*}: K_n^M(k)_{(p)} \rightarrow K_n^M(L)_{(p)}$$

is injective. The composite

$$K_n^M(L_\beta)_{(p)} \xrightarrow{j_{L_{\beta+1}/L_\beta*}} K_n^M(L_{\beta+1})_{(p)} \xrightarrow{N_{L_{\beta+1}/L_\beta}} K_n^M(L_\beta)_{(p)}$$

is given by multiplication by the index $[L_{\beta+1}, L_\beta]$, and therefore, is an isomorphism. Hence, the left-hand map is injective. If $\gamma \leq \alpha$ is a limit ordinal, then the map

$$\operatorname{colim}_{\beta < \gamma} K_n^M(L_\beta)_{(p)} \rightarrow K_n^M(L_\gamma)_{(p)}$$

induced by the maps $j_{L_\gamma/L_\beta*}$ is an isomorphism, and the canonical map

$$K_n^M(L_\beta)_{(p)} \rightarrow \operatorname{colim}_{\beta < \gamma} K_n^M(L_\beta)_{(p)}$$

is injective since the limit system is filtered and since the structure maps in the limit system are injective. \square

LEMMA 11. *Let $k' = k(a)$ be a finite extension of k , and let $\pi \in k[t]$ be the minimal polynomial of a . Let L be an extension of the field k , and let*

$$\pi = \prod_i \pi_i^{e_i}$$

be the decomposition of π into a product of irreducible monic polynomials in $L[t]$. Let $L'_i = L[t]/(\pi_i)$, let $a_i \in L'_i$ be the class of t , and let $j_{L'_i/k}$ be the embedding of k in L'_i that maps a to a_i and that maps k to L by the embedding $j_{L/k}$. Then the following diagram commutes:

$$\begin{array}{ccc} K_n^M(k') & \xrightarrow{(e_i j_{L'_i/k*})} & \bigoplus_i K_n^M(L'_i) \\ \downarrow N_{a/k} & & \downarrow \sum N_{a_i/L} \\ K_n^M(k) & \xrightarrow{j_{L/k*}} & K_n^M(L). \end{array}$$

PROOF. Let v be a discrete valuation on $k(t)$ that is trivial on k , and let w range over all extension of v to a valuation on $L(t)$ that is trivial on L . If $v = v_\infty$, then $w = w_\infty$ is the only extension, and t^{-1} is a uniformizer for both v_∞ and w_∞ . If $v \neq v_\infty$, then the monic irreducible polynomial π_v that generates the kernel of the canonical projection $k[t] \rightarrow k(v)$ decomposes in $L[t]$ as a product of monic irreducible polynomials

$$\pi_v = \prod_{w/v} \pi_w^{e_w/v}.$$

The map $k[t]/(\pi_v) \rightarrow L[t]/(\pi_w)$ that maps t to t and k to L by the embedding $j_{L/k}$ defines an embedding $j_{k(w)/k(v)}$ of $k(w)$ in $k(v)$. We prove that the following

diagram commutes:

$$\begin{array}{ccc}
0 & & 0 \\
\downarrow & & \downarrow \\
K_n^M(k) & \xrightarrow{j_{L/k^*}} & K_n^M(L) \\
\downarrow & & \downarrow \\
K_n^M(k(t)) & \xrightarrow{j_{L(t)/k(t)^*}} & K_n^M(L(t)) \\
\downarrow (\partial_v) & & \downarrow (\partial_w) \\
\bigoplus_v K_{n-1}^M(k(v)) & \xrightarrow{\bigoplus_v (e_{w/v} j_{k(w)/k(v)^*})} & \bigoplus_v \bigoplus_{w/v} K_{n-1}^M(k(w)) \\
\downarrow \Sigma N_v & & \downarrow \Sigma N_w \\
K_{n-1}^M(k) & \xrightarrow{j_{L/k^*}} & K_{n-1}^M(L) \\
\downarrow & & \downarrow \\
0 & & 0.
\end{array}$$

The top square commutes since Milnor K -theory is a functor, and one immediately verifies from the definitions that the middle square commutes. Since the columns in the diagram are exact, it follows that there exists a unique map

$$h: K_{n-1}^M(k) \rightarrow K_{n-1}^M(L)$$

that makes the lower square commutes. We must show that $h = j_{L/k^*}$. In particular, the following square commutes:

$$\begin{array}{ccc}
K_{n-1}^M(k(v_\infty)) & \xrightarrow{j_{k(w_\infty)/k(v_\infty)^*}} & K_{n-1}^M(k(w_\infty)) \\
\downarrow N_{v_\infty} & & \downarrow N_{w_\infty} \\
K_{n-1}^M(k) & \xrightarrow{h} & K_{n-1}^M(L).
\end{array}$$

But $j_{k(w_\infty)/k(v_\infty)^*} = j_{L/k^*}$ and N_{v_∞} and N_{w_∞} are the respective identity maps. Hence, the diagram commutes as stated. The lemma follows. \square

COROLLARY 12. *Let k be a field, and let $k' = k(a)$ be a finite extension.*

- (i) *If k'/k is Galois, then $j_{k'/k^*} \circ N_{a/k} = \sum_{\sigma \in G_{k'/k}} \sigma_*$.*
- (ii) *If k'/k is purely inseparable, then $j_{k'/k^*} \circ N_{a/k} = [k' : k]$.*

PROOF. If k'/k is Galois, then the minimal polynomial $\pi \in k[t]$ of $a \in k'$ decomposes in $k'[t]$ as the product

$$\pi = \prod_{\sigma \in G_{k'/k}} (t - \sigma(a)).$$

Hence, Lemma 11 gives a commutative square

$$\begin{array}{ccc} K_n^M(k') & \xrightarrow{(\sigma_* \circ j_{k'/k^*})} & \bigoplus_{\sigma \in G_{k'/k}} K_n^M(k') \\ \downarrow N_{a/k} & & \downarrow \sum N_{\sigma(a)/k'} \\ K_n^M(k) & \xrightarrow{j_{k'/k^*}} & K_n^M(k'). \end{array}$$

Since j_{k'/k^*} is the identity map of k' , the projection formula shows that $N_{\sigma(a)/k'}$ is the identity map of $K_n^M(k')$. The statement (i) follows. Similarly, if k'/k is a purely inseparable extension, then k and k' are both of positive characteristic p and $[k' : k] = p^r$, for some $r \geq 0$. The minimal polynomial $\pi \in k[t]$ of $a \in k'$ is $\pi = t^{p^r} - \alpha$, where $\alpha = a^{p^r} \in k$. It decomposes as the product

$$\pi = t^{p^r} - \alpha = t^{p^r} - a^{p^r} = (t - a)^{p^r}$$

in $k'[t]$. Hence, Lemma 11 gives a commutative square

$$\begin{array}{ccc} K_n^M(k') & \xrightarrow{p^r} & K_n^M(k') \\ \downarrow N_{a/k} & & \downarrow N_{a/k'} \\ K_n^M(k) & \xrightarrow{j_{k'/k^*}} & K_n^M(k'). \end{array}$$

Again, $N_{a/k'}$ is the identity map, and the statement (ii) follows. \square

PROPOSITION 13. *Let k be a field, let k' be a finite extension of prime degree, and let $a \in k'$ be an element such that $k' = k(a)$. Then the map*

$$N_{a/k} : K_n^M(k') \rightarrow K_n^M(k)$$

is independent of the choice of generator $a \in k'$.

PROOF. Since $[k' : k] = p$ is a prime, there exists $a \in k'$ such that $k' = k(a)$. Suppose first that, for some prime p , all finite extensions of k have degree a power of p . Then Addendum 6 shows that the abelian group $K_n^M(k')$ is generated by symbols of the form $\{x, y_2, \dots, y_n\}$, where $x \in k'^*$ and $y_2, \dots, y_n \in k^*$, and the projection formula and the Weil reciprocity formula show that

$$N_{a/L}(\{x, y_2, \dots, y_n\}) = \{N_{L'/L}(x), y_2, \dots, y_n\}.$$

It follows that, in this case, the norm map $N_{k'/k} = N_{a/k}$ is independent of the choice of generator $a \in k'$.

Let k be any field. It will suffice to show that, for every prime p , the map

$$N_{a/k} : K_n^M(k')_{(p)} \rightarrow K_n^M(k)_{(p)}$$

does not depend on a . By Lemma 10, there exists an extension L of k such that every finite extension of L has order a power of p and such that the map

$$j_{L/k^*} : K_n^M(k)_{(p)} \rightarrow K_n^M(L)_{(p)}$$

is injective. Hence, it suffices to show that the composite map

$$K_n^M(k')_{(p)} \xrightarrow{N_{a/k}} K_n^M(k)_{(p)} \xrightarrow{j_{L/k^*}} K_n^M(L)_{(p)}$$

is independent of a . Since $[k' : k]$ is a prime, the extension k'/k is either separable or purely inseparable.

Suppose first that k' is separable over k . Then the ring $L \otimes_k k'$ is a product of fields, and since $[k' : k]$ is a prime, this ring is either a field L' or product of copies of L . If $L \otimes_k k' = L'$ is a field, then $[k' : k] = p$, since otherwise, L'/L would be a finite extension of degree prime to p . By Lemma 11, there is a commutative diagram

$$\begin{array}{ccc} K_n^M(k') & \xrightarrow{j_{L'/k'^*}} & K_n^M(L') \\ \downarrow N_{a/k} & & \downarrow N_{L'/L} \\ K_n^M(k) & \xrightarrow{j_{L/k^*}} & K_n^M(L), \end{array}$$

and hence, the composite $j_{L/k^*} \circ N_{a/k}$ is independent of a . If $L \otimes_k k'$ is a product of copies of L , then Lemma 11 gives a commutative diagram

$$\begin{array}{ccc} K_n^M(k') & \xrightarrow{(\sigma_*)} & \bigoplus_{\sigma} K_n^M(L) \\ \downarrow N_{a/k} & & \downarrow \sum N_{L/L} \\ K_n^M(k) & \xrightarrow{j_{L/k^*}} & K_n^M(L), \end{array}$$

where the sum in the upper right-hand term ranges over the possible embeddings σ of k' in L [3, Chap. V, §2, Prop. 4]. So $j_{L/k^*} \circ N_{a/k}$ is independent of a .

Suppose next that k' is a purely inseparable extension of k . Then k has positive characteristic ℓ , and $k' = k[t]/(t^\ell - a)$. If $a \notin L^\ell$, then $L \otimes_k k' = L'$ is a purely inseparable extension of L of degree ℓ , and if $a \in L^\ell$, then $L \otimes_k k'$ is a product of copies of L . In the former case, Lemma 11 gives a commutative diagram

$$\begin{array}{ccc} K_n^M(k') & \xrightarrow{j_{L'/k'^*}} & K_n^M(L') \\ \downarrow N_{a/k} & & \downarrow N_{L'/L} \\ K_n^M(k) & \xrightarrow{j_{L/k^*}} & K_n^M(L) \end{array}$$

which shows that $j_{L/k^*} \circ N_{a/k}$ is independent of a . In the latter case, we have

$$L \otimes_k k' = L[t]/(t^\ell - a) = L[t]/((t - \alpha)^\ell),$$

where $\alpha \in L$ is the unique ℓ th root of a . Hence, in this case, Lemma 11 gives a commutative diagram

$$\begin{array}{ccc} K_n^M(k') & \xrightarrow{\ell \cdot j_{L/k'^*}} & K_n^M(L) \\ \downarrow N_{a/k} & & \downarrow N_{L/L} \\ K_n^M(k) & \xrightarrow{j_{L/k^*}} & K_n^M(L) \end{array}$$

which shows that, also in this case, $j_{L/k^*} \circ N_{a/k}$ is independent of a . This completes the proof. \square

It follows from Prop. 13 that we have well-defined norm map

$$N_{k'/k} = N_{a/k} : K_n^M(k') \rightarrow K_n^M(k),$$

for every finite extension $k' = k(a)$ of k of prime degree. Before we state the next result, we recall from [5, Chap. II, §2] that, if K is a complete discrete valuation field,

and if L is a finite extension of K , then the discrete valuation on K extends uniquely to a discrete valuation on L and L is complete with respect to this valuation. Moreover, if k_L/k_K is the extension of residue fields, then

$$[L : K] = e_{L/K} \cdot [k_L : k_K],$$

where $e_{L/K}$ is the ramification index defined by $\mathfrak{m}_K \mathcal{O}_L = \mathfrak{m}_L^{e_{L/K}}$.

LEMMA 14. *Let K be a complete discrete valuation field, and let K' be a finite normal extension of K of prime degree. Let k and k' be the residue fields of K and K' , respectively. Then the following diagram commutes:*

$$\begin{array}{ccc} K_n^M(K') & \xrightarrow{\partial_{K'}} & K_{n-1}^M(k') \\ \downarrow N_{K'/K} & & \downarrow N_{k'/k} \\ K_n^M(K) & \xrightarrow{\partial_K} & K_{n-1}^M(k). \end{array}$$

PROOF. We wish to show that the map

$$\delta_{K'/K} = \partial_K \circ N_{K'/K} - N_{k'/k} \circ \partial_{K'}$$

is equal to zero. We first show that $p\delta_{K'/K}$ is zero. We consider several cases. Suppose first that K'/K is unramified. If K'/K is separable, then the extension k'/k is normal [5, Chap. I, §7, Prop. 20]. If, in addition, k'/k is separable, then the Galois groups $G_{K'/K}$ and $G_{k'/k}$ are canonically isomorphic, and we have

$$\begin{aligned} j_{k'/k*}(\delta_{K'/K}(z)) &= j_{k'/k*}(\partial_K(N_{K'/K}(z))) - j_{k'/k*}(N_{k'/k}(\partial_{K'}(z))) \\ &= \partial_{K'}(j_{K'/K*}(N_{K'/K}(z))) - j_{k'/k*}(N_{k'/k}(\partial_{K'}(z))) \\ &= \sum_{\sigma \in G_{K'/K}} \partial_{K'}(\sigma_*(z)) - \sum_{\bar{\sigma} \in G_{k'/k}} \bar{\sigma}_*(\partial_{K'}(z)) \end{aligned}$$

which is equal to zero, since $\partial_{K'}$ is a natural homomorphism. Here the second equality uses Lemma 2, and the third equality uses Cor. 12(i). If, instead, k'/k is a purely inseparable extension, we find as above that

$$j_{k'/k*}(\delta_{K'/K}(z)) = \sum_{\sigma \in G_{K'/K}} \partial_{K'}(\sigma_*(z)) - p\partial_{K'}(z).$$

But $\sigma \in G_{K'/K}$ induces the identity map of k' [3, Chap. V, §6, Prop. 3], so this expression is equal to zero. If K'/K is purely inseparable, then k'/k is also purely inseparable [5, Chap. I, §6, Prop. 16], so

$$j_{k'/k*}(\delta_{K'/K}(z)) = \partial_{K'}(pz) - p\partial_{K'}(z) = 0.$$

Suppose next that K'/K is totally ramified. If K'/K is Galois, then

$$\begin{aligned} p\delta_{K'/K}(z) &= p\partial_K(N_{K'/K}(z)) - p\partial_{K'}(z) \\ &= \partial_{K'}(j_{K'/K*}(N_{K'/K}(z))) - p\partial_{K'}(z) \\ &= \sum_{\sigma \in G_{K'/K}} \partial_{K'}(\sigma_*(z)) - p\partial_{K'}(z) \end{aligned}$$

which is zero, since $G_{K'/K}$ acts trivially on $k' = k$. Finally, if K'/K is purely inseparable, then

$$\begin{aligned} p\delta_{K'/K}(z) &= \partial_{K'}(j_{K'/K^*}(N_{K'/K}(z))) - p\partial_{K'}(z) \\ &= \partial_{K'}(pz) - p\partial_{K'}(z) \end{aligned}$$

which again is zero. We conclude from the above that $p\delta_{K'/K}(z)$ is zero. Therefore, it suffices to show that, for every $z \in K_n^M(K')$, there exists an integer m prime to p such that $m\delta_{K'/K}(z)$ is zero.

Suppose that L is an extension of K of degree prime to p , and let L' be the compositum of L and K' in an algebraic closure of K . Since K'/K is a normal extension whose degree is prime to the degree of the extension L/K , the extension L'/L is again normal of degree p . By Lemma 11, the diagram

$$\begin{array}{ccc} K_n^M(K') & \xrightarrow{j_{L'/K'^*}} & K_n^M(L') \\ \downarrow N_{K'/K} & & \downarrow N_{L'/L} \\ K_n^M(K) & \xrightarrow{j_{L/K^*}} & K_n^M(L) \end{array}$$

commutes. Moreover, the discussion before the statement shows that the ramification indices $e_{L'/L}$ and $e_{K'/K}$ are equal and that the canonical map from $k' \otimes_k k_L$ to $k_{L'}$ is an isomorphism. Therefore, we conclude from Lemma 11 that there is a commutative diagram

$$\begin{array}{ccc} K_n^M(k') & \xrightarrow{j_{k_{L'}/k'^*}} & K_n^M(k_{L'}) \\ \downarrow N_{k'/k} & & \downarrow N_{k_{L'}/k_L} \\ K_n^M(k) & \xrightarrow{j_{k_L/k^*}} & K_n^M(k_L), \end{array}$$

and from Lemma 2 that there is a pair of commutative diagrams

$$\begin{array}{ccc} K_n^M(L) & \xrightarrow{\partial_L} & K_n^M(k_L) \\ \uparrow j_{L/K^*} & & \uparrow e_{L/K}j_{k_L/k^*} \\ K_n^M(K) & \xrightarrow{\partial_K} & K_n^M(k) \end{array} \quad \begin{array}{ccc} K_n^M(L') & \xrightarrow{\partial_{L'}} & K_n^M(k_{L'}) \\ \uparrow j_{L'/K'^*} & & \uparrow e_{L'/K'}j_{k_{L'}/k'^*} \\ K_n^M(K') & \xrightarrow{\partial_{K'}} & K_n^M(k') \end{array}$$

We now fix an element $z \in K_n^M(K')$ and consider the difference

$$\delta_{K'/K}(z) = \partial_K(N_{K'/K}(z)) - N_{k'/k}(\partial_{K'}(z))$$

which we wish to show is zero. Then the diagrams above show that

$$e_{L/K}j_{k_L/k^*}\delta_{K'/K}(z) = \delta_{L'/L}(j_{L'/K'^*}(z)),$$

where $\delta_{L'/L}(w)$ is defined similarly. We claim that for a given $z \in K_n^M(K')$, there exists L/K such that the right-hand side is zero. The projection formula then shows that $[L : K] \cdot \delta_{K'/K}(z)$ is zero which complete the proof of the proposition.

It remains to prove the claim. It follows from Lemma 10 that there exists a finite extension L/K of degree prime to p such that $j_{L'/K'^*}(z) \in K_n^M(L')$ is a sum of symbols of the form $\{x, y_2, \dots, y_n\}$, where $x \in L'^*$ and $y_2, \dots, y_n \in L^*$. Hence, we may assume that z is a sum of symbols $\{x, y_2, \dots, y_n\}$ with $x \in K'^*$ and $y_2, \dots, y_n \in K^*$ and show that $\delta_{K'/K}(z)$ is zero in this case. This, in turn, is

proved by direct calculation. The extension K'/K is either unramified or totally ramified. We consider the latter case and leave the former to the reader.

Let $\pi_{K'}$ and π_K be uniformizers of K' and K , respectively. Then

$$\pi_{K'}^p + \theta_{K'/K}(\pi_{K'})\pi_K = 0$$

where $\theta_{K'/K}(X) \in \mathcal{O}_K[X]$ is a polynomial of degree at most $p-1$ such that $\theta_{K'/K}(0) \in \mathcal{O}_K^*$. Since \mathcal{O}'_K is \mathfrak{m}' -adically complete, it follows that $\theta_{K'/K}(\pi_{K'}) \in \mathcal{O}_{K'}^*$, such that

$$\pi_K = -\theta_{K'/K}(\pi_{K'})^{-1}\pi_{K'}^p.$$

By using the K -basis $1, \pi_{K'}, \dots, \pi_{K'}^{p-1}$ of K' , one shows that

$$N_{K'/K}(\pi_{K'}) = (-1)^p \theta_{K'/K}(0)\pi.$$

We show that, if $x \in K'^*$ and $y \in K^*$, then

$$\partial_{K'}(\{x, y\}) = \partial_K(N_{K'/K}(\{x, y\}));$$

the general case is only notationally more complicated. We write $x = \pi_{K'}^i u$ with $u \in \mathcal{O}_{K'}^*$, and $y = \pi_K^j v$ with $v \in \mathcal{O}_K^*$. Then

$$\begin{aligned} \{x, y\} &= \{\pi_{K'}^i u, \pi_K^j v\} = \{\pi_{K'}^i u, (-\theta_{K'/K}(\pi_{K'})^{-1}\pi_{K'}^p)^j v\} \\ &= ij\{\pi_{K'}, -1\} - ij\{\pi_{K'}, \theta_{K'/K}(\pi_{K'})\} + pij\{\pi_{K'}, \pi_{K'}\} + i\{\pi_{K'}, v\} \\ &\quad + j\{u, -1\} - j\{u, \theta_{K'/K}(\pi_{K'})\} + pj\{u, \pi_{K'}\} + \{u, v\}, \end{aligned}$$

and hence,

$$\partial_{K'}(\{x, y\}) = (p+1)ij\{-1\} + ij\{\overline{\theta_{K'/K}(\pi_{K'})}\} - i\{\bar{v}\} + pj\{\bar{u}\}.$$

On the other hand,

$$\begin{aligned} N_{K'/K}(\{x, y\}) &= \{N_{K'/K}(x), y\} = \{((-1)^p \theta_{K'/K}(0)\pi_K)^i N_{K'/K}(u), \pi_K^j v\} \\ &= pij\{-1, \pi_K\} + ij\{\theta_{K'/K}(0), \pi\} + ij\{\pi_K, \pi_K\} + j\{N_{K'/K}(u), \pi\} \\ &\quad + pi\{-1, v\} + i\{\theta_{K'/K}(0), v\} + i\{\pi_K, v\} + \{N_{K'/K}(u), v\}, \end{aligned}$$

and hence,

$$\partial_K(N_{K'/K}(\{x, y\})) = (p+1)ij\{-1\} + ij\{\overline{\theta_{K'/K}(0)}\} + j\{\overline{N_{K'/K}(u)}\} - i\{\bar{v}\}.$$

To finish the proof we must show that

$$\overline{N_{K'/K}(u)} = (\bar{u})^p.$$

But this is easily seen by using $1, \pi_{K'}, \dots, \pi_{K'}^{p-1}$ as a K -basis of K' . \square

PROPOSITION 15. *Let k be a field, and let k' be a finite normal extension of k of prime degree p . Let $F = k(a)$ be a finite extension, and suppose that $F' = k'(a)$ is a field. Then the following diagram commutes:*

$$\begin{array}{ccc} K_n^M(F') & \xrightarrow{N_{a/k'}} & K_n^M(k') \\ \downarrow N_{F'/F} & & \downarrow N_{k'/k} \\ K_n^M(F) & \xrightarrow{N_{a/k}} & K_n^M(k). \end{array}$$

PROOF. Let v be a discrete valuation on $k(t)/k$, and let $k(t)_v$ be the completion of $k(t)$ at v . Since $k(t)_v$ is a separable extension of $k(t)$, the minimal polynomial $\Pi \in k(t)[x]$ of a generator α of $k'(t)/k(t)$ decomposes as a product

$$\Pi = \prod_{w/v} \Pi_{w/v}$$

where $\Pi_{w/v} \in k(t)_v[x]$ are distinct monic irreducible polynomials, and where w ranges over the possible extensions of v to a discrete valuation on $k'(t)/k'$. We then consider the following diagram:

$$\begin{array}{ccccc} K_{n+1}^M(k'(t)) & \xrightarrow{(j_{k'(t)_w/k'(t)^*})} & \bigoplus_{w/v} K_{n+1}^M(k'(t)_w) & \xrightarrow{\bigoplus \partial_w} & \bigoplus_{w/v} K_n^M(k(w)) \\ \downarrow N_{k'(t)/k(t)} & & \downarrow \sum N_{k'(t)_w/k(t)_v} & & \downarrow \sum N_{k(w)/k(v)} \\ K_{n+1}^M(k(t)) & \xrightarrow{j_{k(t)_v/k(t)^*}} & K_{n+1}^M(k(t)_v) & \xrightarrow{\partial_v} & K_n^M(k(v)). \end{array}$$

It follows from Lemma 2 that the left-hand square commutes and from Lemma 14 that the right-hand square commutes. Now let $\pi \in k[t]$ and $\pi' \in k'[t]$ be the minimal polynomials of a over k and k' , respectively. Given $x' \in K_n^M(F')$, Thm. 5 shows that there exists $y' \in K_{n+1}^M(k'(t))$ such that $\partial_{w_{\pi'}}(y') = x'$, and such that $\partial_w(y') = 0$, if $w \neq w_{\pi'}$ and $w \neq w_\infty$. Then, by definition,

$$N_{a/k'}(x') = -\partial_{w_\infty}(y').$$

We define $x = N_{F'/F}(x')$ and $y = N_{k'(t)/k(t)}(y')$. The diagram above then shows that $\partial_{v_\pi}(y) = x$ and that $\partial_v(y) = 0$, if $v \neq v_\pi$ and $v \neq v_\infty$, such that

$$N_{a/k}(x) = -\partial_{v_\infty}(y).$$

Applying the diagram again for $v = v_\infty$ gives

$$\partial_{v_\infty}(N_{k'(t)/k(t)}(y')) = N_{k'/k}(\partial_{w_\infty}(x'))$$

which shows that $N_{a/k}(N_{F'/F}(x')) = N_{k'/k}(N_{a/k'}(x'))$ as desired. \square

DEFINITION 16. Let k be a field, and let $k' = k(a_1, \dots, a_r)$ be a finite field extension of k . Then the norm map

$$N_{a_1, \dots, a_r/k}: K_n^M(k') \rightarrow K_n^M(k)$$

is defined to be the composite map

$$N_{a_1, \dots, a_r/k} = N_{a_1/k} \circ N_{a_2/k(a_1)} \circ \cdots \circ N_{a_r/k(a_1, \dots, a_{r-1})}.$$

PROOF OF THM. 3. Suppose $k' = k(a_1, \dots, a_n)$. We show that the map

$$N_{a_1, \dots, a_r/k}: K_n^M(k') \rightarrow K_n^M(k)$$

does not depend on the choice of generators $a_1, \dots, a_n \in k'$. The proof is by induction on the degree $d = [k' : k]$. The case $d = 1$ was proved in Cor. 9. So we assume that the statement has been proved for all finite extensions of degree strictly smaller than d and let k'/k be a finite extension of degree d . It will suffice to show that, for every prime number p , the map

$$N_{a_1, \dots, a_r/k}: K_n^M(k')_{(p)} \rightarrow K_n^M(k)_{(p)}$$

does not depend on the choice of generators $a_1, \dots, a_n \in k'$. By Lemma 10, there exists an extension L of k such that every finite extension of L has degree a power of p and such that the map

$$j_{L/k*}: K_n^M(k)_{(p)} \rightarrow K_n^M(L)_{(p)}$$

is injective. Hence, it suffices to show that the composite map

$$K_n^M(k')_{(p)} \xrightarrow{N_{a_1, \dots, a_r/k}} K_n^M(k)_{(p)} \xrightarrow{j_{L/k*}} K_n^M(L)_{(p)}$$

is independent of $a_1, \dots, a_r \in k'$.

The ring $L' = L \otimes_k k'$ is an artinian L -algebra. Let $\mathfrak{p}_1, \dots, \mathfrak{p}_m$ be the minimal prime ideals of L' , let $L'_i = L'_{\mathfrak{p}_i}/\mathfrak{p}_i L'_{\mathfrak{p}_i}$ be the residue field at \mathfrak{p}_i , and let

$$e_i = \text{length}_{L'_{\mathfrak{p}_i}}(L'_{\mathfrak{p}_i}).$$

Suppose that L' is not a field. Then $[L'_i : L] < d$, for all $1 \leq i \leq m$, and hence, we have a well-defined norm map $N_{L'_i/L}: K_n^M(L'_i) \rightarrow K_n^M(L)$. Moreover, iterated use of Lemma 11 shows that the following diagram commutes:

$$\begin{array}{ccc} K_n^M(k') & \xrightarrow{(e_i j_{L'_i/k'^*})} & \bigoplus_{1 \leq i \leq m} K_n^M(L'_i) \\ \downarrow N_{a_1, \dots, a_r/k} & & \downarrow \sum N_{L'_i/L} \\ K_n^M(k) & \xrightarrow{j_{L/k*}} & K_n^M(L). \end{array}$$

Hence, the composite $j_{L/k*} \circ N_{a_1, \dots, a_r/k}$ does not depend on $a_1, \dots, a_r \in k'$ in this case. It remains to consider the case where L' is a field. We claim that, in this case, there exists a sequence of field extensions

$$L = E_0 \subset E_1 \subset \dots \subset E_m = L'$$

such that, for all $1 \leq i \leq m$, E_i is a finite normal extension of E_{i-1} of degree p . Given this, iterated use of Prop. 15 shows that

$$N_{a_1/L} \circ \dots \circ N_{a_r/L(a_1, \dots, a_{r-1})} = N_{E_1/E_0} \circ \dots \circ N_{E_m/E_{m-1}},$$

and the right-hand side is independent of the choice of $a_1, \dots, a_r \in k'$. This proves the induction step and hence the theorem.

It remains to prove the claim. We first decompose the extension L'/L as a purely inseparable extension F/L followed by a separable extension L'/F . The extension F/L is simple and the minimal polynomial $\pi \in L[t]$ of a generator a takes the form

$$\pi = t^{p^s} - \alpha,$$

where $\alpha = a^{p^s} \in L$. We define E_i , $0 \leq i \leq s$, to be the subfield $L(a^{p^{s-i}})$ of F . Then E_i is a normal extension of E_{i-1} of degree p for $0 \leq i \leq s$. We next choose a finite Galois extension M of F that contains L' as a subfield. Then L' is the subfield of M fixed by the subgroup $G_{M/L'}$ of the Galois group $G_{M/F}$. Since every finite extension of L , and hence F , has degree a power of p , the Galois group $G_{M/L}$ is a finite p -group. We recall from [2, Chap. I, §6, Prop. 12] that every proper subgroup of a finite p -group is contained in a normal subgroup of index p . It follows that there exists a sequence of subgroups

$$G_{M/F} = G^s \supset G^{s+1} \supset \dots \supset G^m = G_{M/L'}$$

such that G^i is a normal subgroup of G^{i-1} of index p , $s+1 \leq i \leq m$. We define E_i to be the subfield of M fixed by the subgroup $G^i \subset G_{M/L}$. Then E_i is a normal extension of E_{i-1} of degree p , for all $s+1 \leq i \leq m$, as desired. \square

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